

1 **Quantifying song categories in Adelaide's Warbler (*Setophaga adelaidae*)**

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10  
11 **Abstract**

12 Many migratory wood-warblers in the genus *Setophaga* divide their song repertoires into two categories. Category B  
13 songs are usually sung before dawn, with immediate variety and short latencies between songs, whereas category A  
14 songs are sung exclusively after dawn, with eventual variety and longer latencies between songs. Songs in different  
15 categories may also differ with respect to their acoustic structure. We used an unsupervised clustering algorithm to  
16 identify song categories in Adelaide's Warbler (*Setophaga adelaidae*), a year-round territorial species. We identified  
17 two categories of song types, the characteristics of which are similar to song categories in other migratory wood-  
18 warblers. Clusters were not well-separated, suggesting that song categories may not be discrete. Song structures in  
19 the two categories were similar, but category B songs were shorter and had fewer notes than did category A songs.  
20 On average, dyads of males shared more category B songs than category A songs, and were more likely to use  
21 category B songs when song type matching other males. The most important song delivery variable for separating  
22 clusters was residual average run length (residual values control for covariation with time of day), followed by  
23 percent of songs delivered before dawn, residual latency, and percent of songs used as song-type matches. We  
24 recommend a scheme based on the first three variables to classify novel song types.

25  
26 Key words: Adelaide's Warbler, Neotropical birds, singing modes, song repertoires, song types, year-round  
27 territoriality

29 **Acknowledgments**

30 We are grateful to P. Sánchez-Jaureguí, F. L. Tarazona, J. Illanas, K. Medina, and A. Lamela for helping with data  
31 scoring. We also thank B. Parker, T. Shlakoff, C. Logue, J. Báez, R. Irizarry, and A. García who processed song  
32 recordings, and J. McClure who measured frequency excursion. P. Linhart, D. Spector, and an anonymous referee  
33 offered valuable comments on an earlier version of this manuscript. This research was funded in part by a Discovery  
34 Grant from the Natural Sciences and Engineering Research Council of Canada (RGPIN-2015-06553) to D.M.L. The  
35 U.S. Fish and Wildlife Service granted permission to work at the Cabo Rojo Wildlife Refuge (permit 2012-01).  
36 Protocols were approved by the Institutional Animal Care and Use Committee at the University of Puerto Rico,  
37 Mayagüez (permit 2010 09 17).

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39 Conflict of interest: The authors declare that they have no conflict of interests

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41 In many songbird species, individuals can produce more than one song type. The set of song types that an individual  
42 can sing is called a repertoire. Song type repertoires are hypothesised to function in both mate attraction and in  
43 competition with rival conspecifics (Catchpole & Slater, 2008). Some species divide their song repertoires into  
44 distinct categories (Illes, 2015; Molles & Vehrencamp, 1999; Spector, 1992). This pattern is especially common in  
45 wood-warblers belonging to the genus *Setophaga*, many of which use two song categories (Family: Parulidae;  
46 Bolsinger, 2000; Demko et al., 2013; Price & Crawford, 2013; Spector, 1992; Wiley et al., 1994). The two song  
47 categories may be characterized by distinct song delivery patterns, acoustic structures, and / or behavioral contexts  
48 (Spector, 1992). Throughout this report, we follow Spector’s (1992) recommendation to refer to these categories as  
49 “first category” and “second category” when referencing multiple species, and to use pre-existing terminology when  
50 discussing individual species (e.g., “category A” and “category B,” in the case of our focal species). Many, but not  
51 all, *Setophaga* wood-warblers deliver first category songs in a repetitive manner, with relatively long silent gaps  
52 between songs, during the daytime, and in the context of male-female interactions (Spector, 1992). By comparison,  
53 second category songs are typically delivered with immediate variety, at higher singing rates, mostly around dawn  
54 and in the context of male-male interactions (Beebee, 2004b; Kroodsma et al., 1989; Price & Crawford, 2013;  
55 Spector, 1992; Staicer, 1989, 1991, 1996a).

56 In addition to differences in delivery patterns and behavioral context, songs from the two categories can be  
57 structurally distinct. In American Yellow Warblers (*Setophaga petechia*), for example, type I (first category) songs  
58 have higher trill rates and wider frequency bandwidths than type II (second category) songs (Beebee, 2004b).  
59 Similarly, Price and Crawford (2013) showed that Pine Warblers’ (*Setophaga pinus*) first category songs have  
60 significantly higher trill rates than their second category songs.

61 The existence of song categories in wood-warblers is a foundational example of functional variation in  
62 song type repertoires (Catchpole & Slater, 2008; Macdougall-Shackleton, 1997). Most published research on song  
63 categories in wood-warblers, however, has come from North-temperate, migratory species. These studies may not  
64 represent the many wood-warbler species that breed at other latitudes because the vocal behavior of tropical and  
65 southern-hemisphere songbirds is often different from that of North-temperate species (Curson et al., 1984;  
66 Stutchbury & Morton, 2001). In the present study, we evaluate the evidence for two distinct song categories in a  
67 year-round territorial, tropical wood-warbler.

68            Adelaide's Warbler (*Setophaga adelaidae*) is endemic to Puerto Rico and the nearby island of Vieques  
69 (Toms, 2010). Socially monogamous pairs defend all-purpose territories throughout the year. Previous studies of this  
70 species conclude that males have two distinct song categories (Staicer, 1991, 1996a, 1996b). Those studies indicate  
71 that males deliver category A (first category) songs after dawn, throughout the year, with eventual variety, and  
72 relatively long intervals between songs. Category B (second category) songs occur primarily before dawn, during  
73 the breeding season, with immediate variety, and relatively short intervals between songs. Category B songs are also  
74 reported to occur later in the day, when they are still delivered with immediate variety and short intervals (although  
75 intervals are longer and variety is lower than during pre-dawn singing). Males tend to use Category A songs when  
76 interacting with females and Category B songs when interacting with other males.

77            Although the categorization of songs into two distinct modes is easily achieved for some wood-warbler  
78 species, Staicer (1996b) found that Adelaide's Warbler songs could not be easily categorized because the acoustic  
79 properties of songs in the two categories overlap. Song categorization is further complicated by the fact that  
80 different males can assign a given song type to different categories (throughout the manuscript we use the term  
81 "assign" to indicate that a bird delivers a song in a way that is characteristic of a given category). For example, one  
82 male could assign song X to category A, but a different male could assign song X to category B. This type of  
83 individual-specific usage of song types has also been observed in some other wood-warbler species (Beebee, 2004b;  
84 Lemon et al., 1985; Price & Crawford, 2013; Spector, 1991; Staicer, 1989).

85            Staicer (1991, 1996a) used song delivery patterns to categorize songs. In one study, songs sung before  
86 dawn (hereafter, "dawn" after Staicer, 1991) and after dawn ("morning") were taken to represent category B and A  
87 songs, respectively (Staicer, 1996a). In another study, Staicer (1991, p. 21) describes a slightly different  
88 categorization scheme: "Songs that males sang in the dawn chorus were called B songs and those that males  
89 switched to around sunrise were called A songs.... If I recorded a song type only after sunrise but at a rate typical of  
90 B songs, and usually in the same bout (consecutive song sequence) with B songs, I classified it as a B song" (a  
91 similar description can be found in Staicer, 1996b).

92            Staicer's categorization schemes succeeded in elucidating important patterns in Adelaide's Warblers' vocal  
93 communication system (Staicer, 1996a, 1996b). Nevertheless, we see room for improvement. The first approach  
94 described in the previous paragraph has the potential to miscategorise category B songs, which are reported to occur  
95 both before and after dawn. The second approach improves on the first, but relies on the analyst to determine typical

96 rates of category B songs and whether a given song is sufficiently linked to other category B songs. We had several  
97 motivations to develop a different approach to song categorization. First, we wanted to test whether Adelaide's  
98 Warbler repertoires are best divided into two categories, as opposed to one category, three categories, etc. Second,  
99 we were interested in which song delivery variables were most useful for categorizing songs. Third, we wanted to  
100 develop an algorithm to categorize novel songs (see Chapter 3 of Hastie & Dawes 2010 for a discussion of the  
101 benefits of automatic judgement algorithms). Finally, we wanted to compare our results to Staicer's (1991, 1996a, b)  
102 results because replication with novel data and a novel analytic approach is a critical, but underutilized, component  
103 of the scientific method (Baker, 2016).

104         The present study examines song categories in male Adelaide's Warbler. We applied an unsupervised  
105 clustering algorithm to song type repertoires. Specifically, we ran cluster analyses for all fifteen combinations of  
106 four song delivery variables believed to be important for distinguishing song categories. We chose the best number  
107 of clusters (k) from each analysis, and then the best analyses based on a given number of variables. We evaluated  
108 the four remaining clustering schemes with respect to the number of song categories, their characteristics, their  
109 discreteness, and their distributions across individuals. Finally, we looked at patterns of song sharing and compared  
110 song structures across categories.

111

## 112 **Methods**

### 113 **Field data collection**

114 We recorded nine mated male Adelaide's Warblers at the Cabo Rojo National Wildlife Refuge in south-western  
115 Puerto Rico (17° 59' N, 67° 100' W) during the breeding season between March and June, 2012. Males were  
116 captured using mist nets and marked with three colored leg bands and a numbered metal band prior to recording.

117         Individual males were recorded continuously for approximately 3.5 hours per day, for four days each.  
118 Consecutive recordings of a given male were separated by at least four days, except on two occasions when  
119 recordings were made on consecutive days because of logistical constraints. Observations started 45 minutes before  
120 sunrise to ensure that we recorded the first song of the day. Recordists announced the singer's identity after each  
121 song, as well as song type matches and fights with neighboring conspecifics. The identity of focal males was  
122 confirmed by inspecting the colored leg bands prior to the end of each recording session. Recordings were made  
123 with Marantz PMD 661 digital recorders and Sennheisser ME67 shotgun microphones (file format = wav, sampling

124 rate = 44.1 kHz, bit depth = 16 bits). We sampled a large number of songs from a small number of individuals. The  
125 decision to emphasize recording effort per individual resulted in increased accuracy of singing variables at the song  
126 type within individual level, but the small sample of individuals may have limited our ability to fully capture the  
127 range of variation among individuals. This is the same set of recordings used in Schraft et al. (2017) and Hedley et  
128 al. (2018).

129

### 130 **Scoring and acoustic analysis**

131 We inspected sound spectrograms of all recordings in Syrinx PC v2.6f sound analysis software (John Burt,  
132 <http://www.Syrinxpc.com>; Blackman window; window size = 1024 points). We assigned songs to song types based  
133 on their appearance on a spectrogram. Several observers assigned songs to types as they scored the field recordings  
134 for each male. Then, one person (D.M.L.) scored song types across males (i.e., decided which songs from different  
135 males' repertoires belonged to the same type), and corrected scoring errors. We measured the inter-rater reliability  
136 of song type scoring within an individual bird. We randomly selected 100 songs from one individual, and two  
137 experienced bio-acousticians used a classification key to score them independently. The result was 100% agreement.  
138 This analysis demonstrates that different observers agree on how to score song types within males. Most of the  
139 analyses in the present study use "song type within male" as the independent sampling unit, so it is important to  
140 verify that song type scoring within male is repeatable across observers. We then estimated the repeatability of  
141 scoring song types among individual birds by having an experienced bio-acoustician (C.D.K.) re-classify 22-23  
142 randomly selected songs from each of the nine males (total = 200 songs) using the population-level classification  
143 key. In this analysis, 175 of 200 (87%) scores matched the originals. This number is lower than the within-individual  
144 repeatability because song structure can vary among individuals. Among-individual repeatability is relevant to the  
145 song type sharing analyses in the present study.

146 Song recordings with high signal-to-noise ratios, as determined by visual inspection of spectrograms, were  
147 subjected to detailed acoustic analysis. We used Luscinia v.2.14 (Lachlan, 2007) sound analysis software to obtain  
148 the minimum and maximum peak frequency, number of notes, and song duration for high signal-to-noise-ratio song  
149 recordings from the breeding season dataset (settings: maximum frequency = 10 kHz, frame length [equivalent to  
150 "bin" or "FFT" length] = 5 ms, time step = 1 ms, dynamic range = 35 dB, dynamic equalization = 100 ms, de-  
151 reverberation = 100%, de-reverberation range = 100 ms, high-pass threshold = 1.0 kHz, noise removal = 10 dB). In

152 Luscinia, users identify focal signals by outlining their images on a sound spectrogram. Sounds in the outlined area  
153 that exceed user-defined thresholds for amplitude and duration are labeled with a colored trace that users can  
154 compare to the spectrogram to correct errors. The program stores acoustic information about the signal (as defined  
155 by the trace) in a database, which users can query (e.g., for the minimum peak frequency for each note). Minimum  
156 frequency was subtracted from maximum frequency to calculate the frequency bandwidth of each note. The  
157 frequency bandwidth of each song was defined as the average frequency bandwidth of its notes. Trill rate was  
158 calculated as note number / song duration (sec). We measured the frequency excursion (FEX) of the same songs  
159 using the program FEX Calculator (Podos et al., 2016, J. McClure, <https://github.com/BehaviorEnterprises/Fex>).  
160 FEX is a putative metric of vocal performance that measures changes in fundamental frequency, including changes  
161 that are not voiced, over time.

162 We performed a series of unsupervised cluster analyses to categorize songs. We treated “song type within  
163 individual” as the independent sampling unit, because different males may assign individual song types to different  
164 categories (Staicer, 1991). There was a high risk of misclassifying rare songs types, so we omitted song types within  
165 individual that were recorded 10 times or fewer (10 was chosen as a cut-off point because it is a round number).  
166 Clustering was based on variables linked to four of the five attributes hypothesized to separate song categories  
167 (Table 1): mean residual latency (a measure of the interval between songs), mean residual run length (a proxy for  
168 delivery mode), percent matching (a proxy for social context), and percent of songs sung during the pre-dawn  
169 period.

170 Latency was defined as the time since the focal male’s prior song. Latency co-varies with time of day  
171 (Staicer 1991). To better isolate latency from time of day, we regressed latency against time and used the residual  
172 latency to generate means (logistic regression:  $r^2 = 0.387$ ,  $F_{1, 8998} = 5684$ ,  $p < 0.001$ , constant = 0.76, beta = 1.0). Run  
173 length was the number of songs in a continuous run of a given song type (we only used a single run length value for  
174 each run to avoid pseudoreplication). Like latency, run length was correlated with time of day, so we regressed run  
175 length against time and used the residual latency to generate means (logistic regression:  $r^2 = 0.159$ ,  $F_{1, 5933} = 1124$ ,  $p$   
176  $< 0.001$ , constant = 0.84, beta = 1.0).

177 We chose song type matches by the focal males as our measure of vocal interaction, because it was less  
178 likely to occur by chance than other kinds of vocal interaction (e.g., song overlapping, unmatched counter-singing),  
179 and so more likely to represent a deliberate interaction on the part of the focal male. Song type matching was scored

180 when a focal male sang the same song type as a neighbor had sung in the previous two seconds. Two seconds was  
181 chosen as the cut-off for song type matching because it corresponds to the average duration of a male song (mean =  
182 2.0 sec.,  $n = 2776$ ). Matching was scored if the recordist dictated “song type match” or if examination of sound  
183 spectrograms revealed a match.

184 To calculate the percent of songs delivered before dawn, we first obtained sunrise time from the website  
185 *www.timeanddate.com* and scored “time to sunrise” (in seconds) for each song. Negative values of this variable  
186 correspond to times before sunrise, and positive values correspond to times after sunrise. We defined the end of the  
187 dawn chorus as the time that song rates stabilized after the period of intense pre-dawn singing. Two of us (C.D. K.  
188 and D.M.L.) visually inspected a histogram of song delivery times, and determined that 700 seconds after sunrise  
189 was the optimal cut-off time. Following (Staicer, 1991), we refer to the period before this cut-off as “dawn,” and the  
190 period after the cut-off as “morning”. We controlled for sampling effort by dividing the proportion of dawn songs  
191 from the focal male that belong to the focal song type by the proportion of all songs from the focal male that belong  
192 to the focal song type, and multiplying by 100. All data were standardized by subtracting the mean and dividing by  
193 the standard deviation prior to cluster analysis.

194 We ran the *NbClust* function in the R package *NbClust* to cluster the data (Charrad et al., 2014). We chose  
195 the k-means analysis with Euclidean distance because it is a simple and effective approach to unsupervised  
196 clustering. We ran separate analyses for each of the 15 unique combinations of the four clustering variables (Table  
197 2). For each analysis, we interpreted the number of clusters that produced the highest average silhouette index. The  
198 average silhouette index measures the average similarity of objects to other objects in their own clusters relative to  
199 objects in other clusters (Rousseeuw, 1987). The index ranges from -1 to +1, where higher values indicate better  
200 clustering. We used the silhouette index to choose the best categorization schemes because it is a simple, widely-  
201 used metric that reflects our intuitive concept of clustering. At this point, we had 15 clustering schemes (one for  
202 each unique combination of variables). We then identified the clustering scheme with the highest average silhouette  
203 index for each number of clustering variables, one through four. We were curious whether clustering strength was  
204 influenced by sample size, so we calculated separate average silhouette indices for well-sampled ( $n > 40$  songs) song  
205 types and poorly-sampled ( $n \leq 40$  songs) song types for each of these four clustering schemes.

206 We compared the assignment of song types to categories across males to test the hypothesis that different  
207 individuals assign the same song type to different categories. We then tested whether song type sharing differed



208 between song categories. First, we identified song types that were shared and assigned to the same category for all  
209 possible pairs of males. We then conducted a paired t-test in Microsoft Excel 2013 (Microsoft Corp., Redmond,  
210 WA). This test treated dyads of males as the independent sampling units. It tested the null hypothesis that the mean  
211 difference between the number of shared category A songs and shared category B songs shared was zero.

212 To test whether there were structural differences between song categories, we developed mixed models  
213 with the following structural properties as dependent variables: frequency excursion (FEX, unitless), song duration  
214 (ms), number of notes, trill rate (notes / sec), minimum frequency (kHz), maximum frequency (kHz) and average  
215 frequency bandwidth (kHz; averaged over the notes in the song). The sampling units were individual song  
216 utterances, and the dataset was restricted to the high-quality song recordings that were suitable for fine-scale  
217 structural analysis. For the structural models, song category was included as a fixed factor, and individual, recording  
218 day nested within individual, and song type within individual (not a statistically nested variable) were included as  
219 random factors. We chose to include song type in this model because structural variables (but not song delivery  
220 variables) are inextricably linked to song type, and therefore different utterances of a given song type are not  
221 independent with respect to acoustic structure. Mixed models were constructed with the *lmer* function of the  
222 package *lme4* (Bates et al., 2015). We examined residual plots to evaluate model fit. All mixed models are in the  
223 online resource.

224

## 225 **Results**

226 The breeding season recordings of nine focal males included 9,420 songs comprising 71 song types. Summing all  
227 males' repertoires, there were 261 song types within individual (average  $\pm$  SD =  $29.0 \pm 4.0$  song types per male).  
228 Removing uncommon song types (song types recorded 10 times or less within individual) resulted in 9032 song  
229 utterances comprising 57 song types and 168 song types within individual ( $18.7 \pm 2.4$  song types per male). Of  
230 those, 2776 song recordings were of sufficient quality for structural analysis.

231 The best clustering schemes for all fifteen combinations of song delivery variables are described in Table 2.  
232 Most of these schemes included two (seven schemes) or three (six schemes) clusters, but one scheme used five, and  
233 another used seven. The average silhouette index was negatively correlated to the number of variables, as is typical  
234 of real world clustering problems ( $n = 15$ ,  $r = -0.90$ ; Anzanello & Fogliatto 2011).

235 Of the four clustering schemes with the highest average silhouette indices for a given number of variables,  
236 three were based on two clusters, and one (the best two-variable scheme) was based on three clusters. All four  
237 schemes included one category characterized by high (> 50%) average percent predawn, low (< 50 s) average  
238 latency, short (< 2.5 songs) average run lengths, and high (> 4.9%) average percent song matching (Table 3). We  
239 refer to these clusters as “category B” because of their similarity to previous descriptions of category B (Table 1).  
240 We refer to the other clusters as “category A” because of their similarity to previous descriptions of category A. The  
241 best two-variable scheme includes two clusters that are like category A. We refer to them as categories A1 and A2.

242 There was considerable variation among clustering schemes in the number of song types assigned to each  
243 category for each male (Fig. 1). The best one-variable clustering scheme put most song types within individual  
244 (86.3%) in category B. One male's (RDY's) songs were all assigned to category B. The best two-variable scheme  
245 assigned 69.0% of songs to category B. Two males were not assigned any A1 songs, and one was not assigned any  
246 A2 songs. The best three variable scheme, based on residual run length, residual latency, and percent pre-dawn,  
247 assigned 64.3% of songs to category B. All males were assigned A and B songs. Similarly, the best four variable  
248 scheme assigned 61.9% of songs to category B and assigned all males both A and B songs.

249 Cluster plots for the four best clustering schemes all included a relatively dense cluster that corresponds to  
250 category B (Fig. 2). The points outside of that cluster were more diffuse. None of the scatterplots showed a clear gap  
251 between the category B cluster and the other points. Average silhouette indices were significantly higher for well-  
252 sampled song types than they were for poorly sampled song types in three of the four best clustering schemes (Table  
253 SX). Separate plots for each male can be found in the electronic supplementary material (Fig. S1).

254 No song types were recorded from all nine subjects. We identified 41 (71.9%) song types that were shared  
255 by at least two birds and 14 (24.6%) song types that were shared by at least five birds. Assignment of song types to  
256 categories varied among individuals (Table S1). For example, in both the three-variable and four-variable schemes,  
257 20 (48.8%) song types were used in different categories by different birds. Focusing on song types that are both  
258 shared and assigned to the same category, dyads shared more category B songs than category A for all four schemes  
259 (Table S1). For example, in both the three-variable and four variable schemes, dyads shared an average of 3.31 ( $\pm$   
260 2.33) category B songs, but only 1.25 ( $\pm$  2.33) category A songs.

261 We studied the clustering results to rank variables by their importance for clustering. The best clustering  
262 schemes for a given number of variables formed a nested hierarchy (Table 2). Residual run length was present in all

263 four schemes, residual latency was in three, percent pre-dawn was in two, and percent matching was in one. Among  
264 the one-variable clustering schemes, the scheme based on residual run length produced the highest average  
265 silhouette index (0.771), followed by schemes based on percent pre-dawn (0.738), residual latency (0.730), and  
266 percent matching (0.688). In the four variable scheme, the mean difference in standardized values between clusters  
267 was largest for percent pre-dawn (1.68), followed by residual latency (1.44), residual run length (1.04), and percent  
268 matching (0.46).

269         Relative to category A songs, category B songs were shorter, with fewer notes and slightly lower trill rates  
270 according to the three-variable clustering scheme (Tables 4, see Tables S2 & S3 for detailed results from the four  
271 best clustering schemes). Frequency excursion, maximum frequency, minimum frequency, and frequency bandwidth  
272 were not significantly different between song categories. Models based on the best one-, two-, and four-variable  
273 clustering schemes produced qualitatively similar results, but the FEX effect was statistically significant in the four-  
274 variable scheme, and the trill rate effect was not significant in the one-variable and two-variable schemes.

275

276

## 277 **Discussion**

278 The four clustering schemes with the highest average silhouette index for a given number of variables (hereafter, the  
279 “best” schemes) all included one cluster that matched previous descriptions of category B song and one or two  
280 clusters that matched previous descriptions of category A songs (Tables 1 & 3; Staicer 1991). Compared to category  
281 A songs, category B songs were more likely to be sung before dawn. Category B songs were also delivered in  
282 shorter runs and with shorter intervals between songs (controlling for time of day). Category B songs were more  
283 likely than category A songs to be used as song type matches with neighbors, suggesting they may be especially  
284 important for male-male vocal interactions. These song delivery patterns are similar to those of several migratory  
285 species of wood-warblers (Demko et al., 2013; Price & Crawford, 2013; Spector, 1991, 1992). We found that male  
286 Adelaide’s Warbler repertoires included fewer A songs than B songs (Fig. 1; Table 3), which is consistent with the  
287 results of previous studies on Adelaide’s Warbler (Staicer, 1991, 1996a) and other wood-warbler species (Lemon et  
288 al., 1985; Spector, 1991; Staicer, 1989). Overall, our results strongly support Staicer’s (1991, 1996a)  
289 characterization of song categories in Adelaide’s Warbler.

290 In the four best clustering schemes, category B songs were clustered in song delivery space, whereas  
291 category A songs were more dispersed (Fig. 2). There was no clear break between categories A and B in song  
292 delivery space. The average silhouette index from the four-variable scheme (0.379) suggests only weak evidence of  
293 clustering, but the indices from the other schemes suggest moderate-to-strong clustering (range = 0.495-0.771).  
294 Importantly, the best sampled song types within male ( $n > 40$  songs), were significantly more clustered than the  
295 other song types in three of the four best clustering schemes (the sole exception was the one-variable scheme, Table  
296 SX). This finding indicates that sampling error depressed the average clustering values in the full dataset, and  
297 validates our decision to collect emphasize intensive sample within individuals (as opposed to collecting fewer  
298 samples from more individuals). We conclude that there are song categories in this population, but they may not be  
299 entirely discrete. Perhaps song types within male exist on a continuum from “B-like” to “A-like,” with many songs  
300 clustering near the B-like end of the continuum. Larger samples permitted more precise estimates of average song  
301 delivery variables, which increased the separation between the song categories. Interestingly, a recent Pine Warbler  
302 study concluded that species’ song system lacked, “the clear distinction between song categories typical of most  
303 other *Setophaga* wood-warblers” (Price & Crawford, 2013, p.559). Those results are based on recordings from the  
304 later part of the breeding season, calling into question whether song delivery patterns may be more distinct earlier in  
305 the year. Nevertheless, the hypothesis that song categories may not be entirely distinct merits further research.

306 The best two-variable clustering scheme included two “A” categories (Table 3, Figs. 1 & 2). Relative to  
307 category A2, category A1 was characterized by lower percent pre-dawn, lower latency, and much longer runs. We  
308 are reluctant to endorse a three-category hypothesis for several reasons. First, only one of the four best clustering  
309 schemes suggested three categories, while the others all agreed that two categories were best. Second, we know of  
310 no evidence of three-category systems in other *Setophaga* species. Third, samples from three of our nine subjects  
311 were missing either category A1 songs or category A2 songs. We conclude that certain category A songs may be  
312 used in particularly long runs, but the bulk of the evidence suggests these songs should be lumped in with category  
313 A songs, rather than being placed in a separate category.

314 As previously described in this species, different individuals assigned specific song types to different  
315 categories (Staicer, 1991, 1996b). Any hypothesis to explain the development of function of song categories in  
316 Adelaide’s warbler must account for this finding. Males shared more category B songs than category A songs, as has  
317 previously been shown for Yellow Warblers (Beebe, 2002). This pattern was not found in Pine Warblers (Price &

318 Crawford, 2013), but again, that study was conducted much later in the breeding season than was ours. The findings  
319 that males shared more category B songs, and that B songs were more likely to be used as song type matches, lend  
320 credence to the hypothesis that category B songs are especially important in male-male vocal interactions.

321 The four best clustering schemes all indicated that relative to category A songs, category B songs were  
322 shorter and contained fewer notes. Some clustering schemes indicated statistically significant effects of song  
323 category on FEX and trill rate, but the effect sizes were small. Contrary to our results, Staicer (1996a) found no  
324 difference in song duration between categories. Otherwise, Staicer's (1996a) song structure results agree with our  
325 own: no differences in trill rate, minimum frequency, maximum frequency, or frequency bandwidth. Staicer (1996a)  
326 did not compare note number or frequency excursion between song categories, but she did find differences in  
327 syllable complexity (category B songs were more complex) and frequency spectra (category B songs had lower peak  
328 frequencies) that we did not test for.

329 Structural differences between song categories vary among wood-warbler species. For example, category A  
330 songs in Golden-cheeked Warblers (*Setophaga chrysoparia*) are shorter with fewer notes, the opposite of the pattern  
331 identified in the current study (Bolsinger, 2000). In Pine Warblers (Price & Crawford, 2013), trill rates are  
332 significantly higher in category A songs. Similarly, Yellow Warblers sing category A songs with lower vocal  
333 deviation (corresponding to higher rates of frequency modulation) than category B songs (Beebee, 2004b). Taken  
334 together with our results, these findings suggest that no single explanation can account for between-category  
335 structural variation among wood-warblers with divided repertoires.

336 We used three lines of evidence to rank song delivery variables by their importance for clustering: the  
337 hierarchical structure of the four best models, the average silhouette indices of the one-variable clustering schemes,  
338 and the magnitude of between-group differences in the four-variable scheme. The ranks of residual run length,  
339 residual latency and percent pre-dawn varied among analyses. Average ranks across analyses suggest that residual  
340 run length (mean rank = 1.7) is the most important variable for clustering, followed by percent pre-dawn (2.0),  
341 residual latency (2.3), and percent matching (4), which consistently ranked as the least important variable. This  
342 ranking suggests a different approach from previous efforts to categorize song in Adelaide's Warbler, which relied  
343 primarily on time of delivery and secondarily on latency (see Introduction). We propose three non-mutually-  
344 exclusive explanations for the finding that percent matching was weakly predictive of category membership. First,  
345 percent matching may not be very different between categories. Second, song type matching may be too rare to

346 accurately estimate percent matching with our sample size (sampling error). Third, we may have mismeasured  
347 matching rates (measurement error). Specifically, it is likely we missed some instances of this behavior (e.g., when a  
348 neighbor's song was audible to the focal bird, but did not show up on a spectrogram).

349 We favor the three-variable clustering scheme based on residual latency, residual run length, and percent  
350 pre-dawn over the other clustering schemes considered in this study. When variables are not perfectly predictive of  
351 category membership, the average silhouette index tends to correlate negatively with the number of variables  
352 because each additional variable generates another dimension in which distance can be measured. Comparing  
353 average silhouette indices across clustering schemes with different numbers of variables is therefore not a sound  
354 method to identify natural clusters. Based on *a priori* expectations about song categories in wood-warblers, we  
355 expected that our samples should contain examples of all categories from all males, which was not the case in the  
356 one-variable and two-variable schemes. Prior research indicates that two clusters were more likely than three, further  
357 discounting the validity of the two-variable scheme (Spector 1992). The three-variable and four-variable schemes  
358 were very similar, but we prefer the three-variable scheme because (1) it is easier to measure three variables than  
359 four, (2) the cluster corresponding to category B is more compact in the three-variable scheme, (3) and song type  
360 matching (which contributes to the four-variable scheme but not the three-variable scheme) is harder to accurately  
361 estimate than the other variables. Future studies could assign novel song types to categories by comparing the  
362 distance in feature space between novel songs and the centroids of the two song categories using the three-variable  
363 clustering scheme (Table 3).

364 The goal of this study was to test for and characterize song categories. In doing so, we set the stage to  
365 address functional differences between song categories in future studies. Several studies of the behavioral context in  
366 which birds sing songs from the two categories have concluded that first category songs are directed at females and  
367 second category songs are directed at other males in *Setophaga* (Bolsinger, 2000; Kroodsma et al., 1989; Spector,  
368 1991; Staicer, 1996b; Wiley et al., 1994). Other studies, however, have failed to find support for key prediction of  
369 one or both of those hypotheses (Beebee, 2004a; MacNally & Lemon, 1985). For example, both females (Beebee  
370 2004a) and males (Beebee 2004a, MacNally & Lemon 1985, Weary et al. 1992, Weary et al. 1994, but see Kelly &  
371 Ward 2017) tend to respond similarly to playback of category A and category B songs. Our finding that song type  
372 matching and song sharing were higher for category B songs are consistent with the hypothesis that category B  
373 songs are important for male-male vocal interactions in this species. It is not clear, however, why category B songs

374 would be limited to the breeding season if they are only used for male-male communication. Nor is clear how  
375 between-category variation in song structure might facilitate communication with different classes of receivers.  
376 Information about when females prospect for mates, how female presence affects males' choice of song categories,  
377 and whether females attend to male-male singing interactions like song type matching (Logue & Forstmeier, 2008),  
378 would help to clarify the functional differences between song categories.

379 In summary, we applied an unsupervised clustering algorithm to Adelaide's Warbler song types, and  
380 identified two song categories with different song delivery parameters. The delivery styles in the two categories are  
381 similar to patterns described in several other *Setophaga* species, and are largely consistent with previous studies on  
382 the focal species. Our findings do not allow us to exclude the possibility that song categories are not entirely  
383 discrete. Whether the use of song categories, as defined in this study, varies seasonally remains an open question  
384 (Table 1). We found evidence that category B songs are used more than category A songs during male-male vocal  
385 interactions, but further studies are required to understand the functional significance of song categories in this  
386 species.

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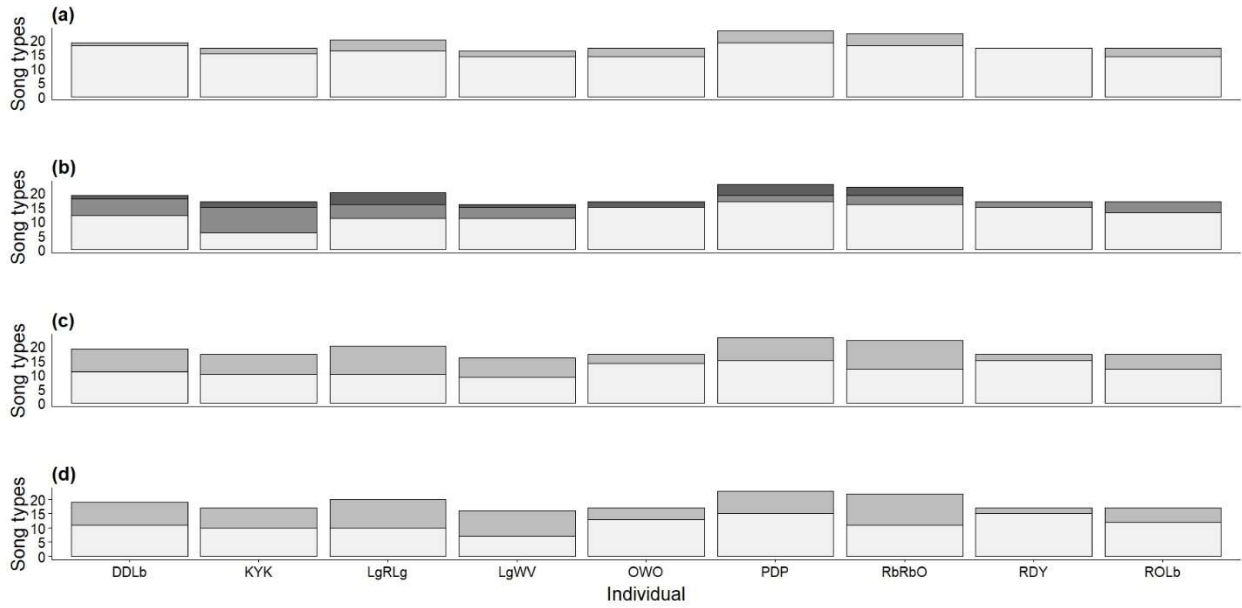
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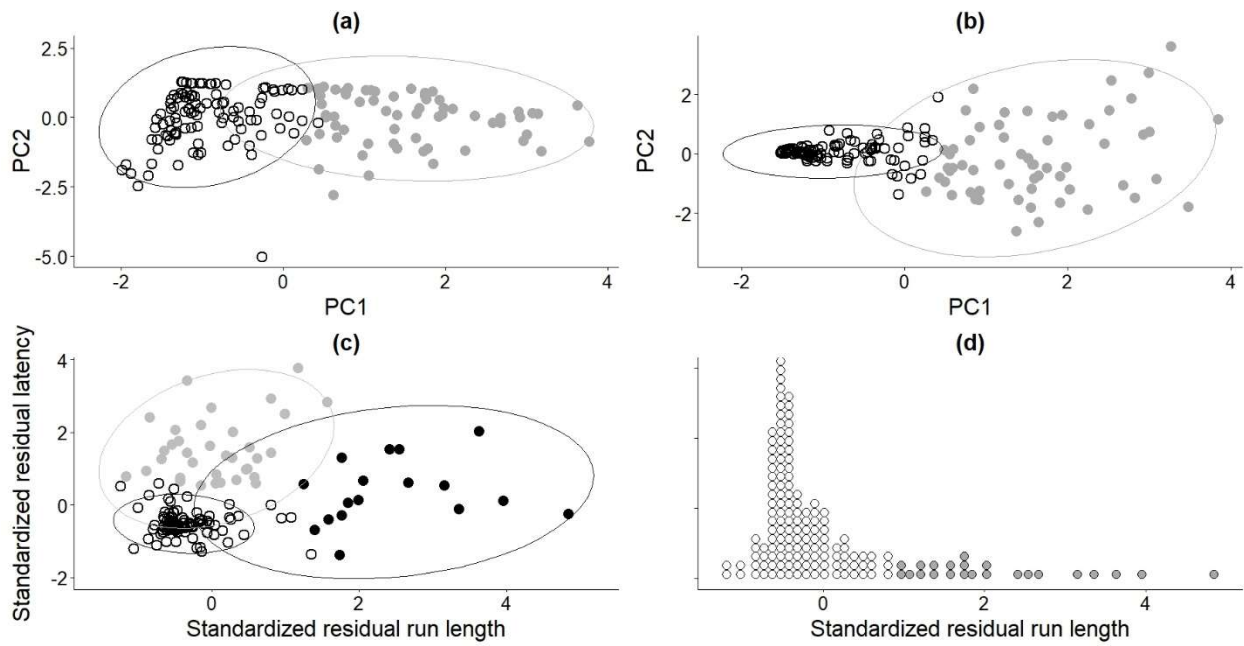
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**Figure 1.** Assignment of song types to song categories by individual male Adelaide's Warbler according to the best (a) four-variable, (b) three-variable, (c) two-variable, and (d) one-variable clustering schemes. White bars represent category B songs, and gray bars represent category A songs. For the two-variable clustering scheme, dark gray represents category A1 and light gray represents category A2.

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478 **Figure 2.** Cluster plots showing the best (a) four-variable, (b) three-variable, (c) two-variable, and (d) one-variable

479 clustering schemes. Open circles represent category B songs, and filled circles represent category A songs. For the

480 two-variable clustering scheme, black circles represent category A1 songs and gray circles represent category A2

481 songs.

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487 **Table 1.** Hypothesized song delivery patterns of category A and category B songs in Adelaide’s warbler.

Attribute	Category A	Category B
Time of year*	All year	Breeding season only
Time of day	After dawn	Before dawn and sporadically after dawn
Social context	Male-female interactions	Male-male interactions
Delivery mode	Eventual variety	Immediate variety
Latency between songs	Longer	Shorter

488 After Staicer (1991)

489 \* Time of year was not examined in the present study.

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**Table 2.** Fifteen clustering schemes for Adelaide’s Warbler song types. Variables included in each model are marked with an “X.”

<b>% predawn</b>	<b>residual latency</b>	<b>residual run length</b>	<b>% matching</b>	<b># of variables</b>	<b>best k</b>	<b>ASI of best k</b>
<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>4</b>	<b>2</b>	<b>0.379</b>
<b>X</b>	<b>X</b>	<b>X</b>		<b>3</b>	<b>2</b>	<b>0.495</b>
	X	X	X	3	2	0.433
X		X	X	3	5	0.404
X	X		X	3	2	0.402
	<b>X</b>	<b>X</b>		<b>2</b>	<b>3</b>	<b>0.617</b>
X		X		2	3	0.583
X	X			2	3	0.552
X			X	2	3	0.491
		X	X	2	3	0.490
	X		X	2	3	0.450
		<b>X</b>		<b>1</b>	<b>2</b>	<b>0.771</b>
X				1	2	0.738
	X			1	2	0.730
			X	1	7	0.688

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ASI = Average silhouette index.  
 The models with the highest ASI for each number of variables are in bold font.

537 **Table 3.** Means  $\pm$  standard deviations of song delivery variables from the best (highest average silhouette index)  
 538 categorization schemes based on one, two, three, and four song delivery variables.

# of variables	cluster	% predawn	latency (s)	residual latency	run length (songs)	residual run length	% match	category
4	1	71.87 $\pm$ 26.64	21.92 $\pm$ 16.48	1.64 $\pm$ 17.59	2.24 $\pm$ 2.71	0.09 $\pm$ 0.41	5.62 $\pm$ 4.98	B
	2	7.06 $\pm$ 13.43	112.43 $\pm$ 48.25	70.87 $\pm$ 50.59	5.85 $\pm$ 4.60	1.26 $\pm$ 1.49	3.40 $\pm$ 4.23	A
3	1	69.89 $\pm$ 28.38	23.79 $\pm$ 18.54	2.74 $\pm$ 18.16	2.15 $\pm$ 2.23	0.07 $\pm$ 0.35	5.17 $\pm$ 4.54	B
	2	6.31 $\pm$ 12.24	115.09 $\pm$ 48.86	73.52 $\pm$ 51.16	6.25 $\pm$ 4.91	1.37 $\pm$ 1.51	4.05 $\pm$ 5.24	A
2	1	63.21 $\pm$ 33.81	27.41 $\pm$ 22.69	3.16 $\pm$ 15.68	2.35 $\pm$ 2.38	0.1 $\pm$ 0.43	5.02 $\pm$ 4.48	B
	2	3.22 $\pm$ 6.47	90.72 $\pm$ 41.89	45.1 $\pm$ 42.49	11.65 $\pm$ 5.32	3.31 $\pm$ 1.13	4.16 $\pm$ 6.70	A1
	3	15.40 $\pm$ 24.69	135.80 $\pm$ 46.55	102.09 $\pm$ 40.99	3.93 $\pm$ 2.48	0.6 $\pm$ 0.7	4.25 $\pm$ 4.92	A2
1	1	4.07 $\pm$ 6.93	102.95 $\pm$ 61.51	55.34 $\pm$ 62.87	11.45 $\pm$ 4.97	2.93 $\pm$ 1.17	3.67 $\pm$ 5.86	A
	2	54.02 $\pm$ 37.30	49.02 $\pm$ 49.87	23.68 $\pm$ 43.79	2.37 $\pm$ 1.71	0.15 $\pm$ 0.44	4.95 $\pm$ 4.63	B

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541 **Table 4:** Effects of song category on song structure, based on the three-variable clustering scheme. Estimated  
 542 effects are relative to category A songs. See Table S2 for equivalent data from the other clustering schemes.

	<b>duration (ms)</b>	<b>notes</b>	<b>trill rate (notes / s)</b>	<b>FEX</b>	<b>F<sub>min</sub> (kHz)</b>	<b>F<sub>max</sub> (kHz)</b>	<b>bandwidth (kHz)</b>
<i>fixed effects</i>							
Intercept	2098.16	25.00	11.91	66.11	3178.41	6137.28	2951.89
category B	-123.67***	-2.12***	-0.31*	1.44	-46.02	-22.22	32.10
<i>random effects</i>							
song type	5479	2.72	0.28	16.15	16.805	11.78	17.82
bird:day	3998	1.01	0.15	6.14	5.767	11.32	7.52
Bird	691	0.52	0.06	2.25	0.283	3.81	1.29
<i>Average ± SD</i>							
A	2119.66 ± 291.14	25.70 ± 5.24	12.09 ± 1.56	65.87 ± 9.70	3190.03 ± 402.29	6115.27 ± 475.84	2925.25 ± 451.16
B	2119.66 ± 291.14	25.70 ± 5.24	12.09 ± 1.56	65.87 ± 9.70	3190.03 ± 402.29	6115.27 ± 475.84	2925.25 ± 451.16

543 \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.0001$

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