

1 **Pleistocene glacial cycles and physical barriers influence phylogeographic structure in**
2 **black-capped chickadees, a widespread North American passerine**

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10 Running title: Phylogeography of black-capped chickadees

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13 **Abstract**

14 The non-migratory black-capped chickadee (*Poecile atricapillus*, Linnaeus 1766) has a
15 continent-wide distribution extending across large parts of North America. To investigate the
16 phylogeographic structure, and verify possible refugia during the last glacial maximum, we
17 sequenced a 678 base pair region of the mitochondrial control region from 633 chickadees at 35
18 sites across North America, and performed paleoecological distribution modeling. Two
19 genetically distinct groups were found using multiple analyses: one in Newfoundland and a
20 widespread continental group, with additional substructure evident in western continental
21 populations. While gene flow is low throughout the range, it is especially low in peripheral
22 populations. The Newfoundland population has remained isolated from continental populations
23 for at least 65,000 years and contains a number of fixed nucleotide differences. Within the
24 continental populations, chickadees are subdivided into Pacific Coast, Alaska, southeast Rockies
25 and main-northeast groups consistent with late Pleistocene vicariance events. Evidence of
26 secondary contact was identified between the Pacific and main-northeast populations in
27 northwest British Columbia and between the southeast Rockies and main-northeast group in
28 Montana. Paleoecological distribution modeling predicted suitable habitat in Alaska, off the
29 coast of Newfoundland and several locations across the southern United States during the last
30 glacial maximum; whereas suitable habitat during the last interglacial was more similar to the
31 contemporary distribution.

32

33 *Keywords:* black-capped chickadee, dispersal barriers, mitochondrial DNA, phylogeography,
34 *Poecille atricapillus*, post-glacial colonization, refugia

35 **Introduction**

36 Climate oscillations during the Quaternary had a profound effect on biodiversity, and are
37 responsible for shaping the genetic structure of animal and plant communities globally (Hewitt
38 2000; Taberlet and Chiddari 2002). During Pleistocene glaciations, habitat became fragmented
39 resulting in populations being isolated in ice-free refugia (Bennet and Provan 2008). The
40 contraction of forest habitat and corresponding changes to species' ranges during this time are
41 thought to be responsible for a number of recent speciation events (Weir and Schluter 2004;
42 Levsen et al. 2012). Determining how species were distributed throughout the Pleistocene will
43 help to improve our comprehension of how climate influences evolutionary processes (Keppel et
44 al. 2012); this question is of critical importance given the growing threat that climate change
45 poses to many species worldwide (Thomas et al. 2004; Pimm et al. 2014).

46 In North America, the biogeographical history is quite complex (Pielou 1991). During the
47 Last Glacial Maximum (LGM), North American species were restricted to areas south of the ice
48 sheets, or one of the putative refugia to the north such as Beringia, Haida Gwaii, or
49 Newfoundland (Pielou 1991). Further, barriers to dispersal including mountain ranges, and large
50 areas with unsuitable habitat for forest dwelling species may have restricted gene flow
51 throughout the LGM and during recolonization. For this reason, North America offers an
52 interesting region to investigate questions pertaining to barrier mediated dispersal and patterns of
53 postglacial expansion.

54 In this study we examine phylogeographic patterns of the black-capped chickadee
55 (*Poecile atricapillus*, Linnaeus 1766) using the highly variable mtDNA (mitochondrial DNA)
56 control region (CR). The black-capped chickadee is a non-migratory species primarily inhabiting
57 deciduous and mixed deciduous/coniferous woodlands (Foote et al. 2010). Their distribution

58 spans the entire width of North America, extending from the treeline in the north to Colorado
59 and North Carolina in the south (Figure 1). Given their broad distribution, black-capped
60 chickadees are an ideal species for investigating how Pleistocene glaciations and geographical
61 features have shaped genetic structure and diversity. Several previous studies have examined the
62 genetic structure of black-capped chickadees (Gill et al. 1993; Pravosudov et al. 2012; Adams
63 and Burg 2014). Gill et al. (1993) used 11 restriction enzymes to examine mtDNA variation
64 between black-capped chickadee populations, although relatively few sites were surveyed in this
65 study. Pravosudov et al. (2012) used mtDNA control region sequences and amplified fragment
66 length polymorphisms to examine the relationships between morphology and hippocampal
67 morphology and population structure; in this study they noted strong population structure within
68 this species but again examined only part of the range of the black-capped chickadee. Finally,
69 Adams and Burg (2014) analyzed range-wide genetic patterns using microsatellites and found
70 high levels of contemporary population structure across the range. In this study Adams and Burg
71 (2014) determined that both ecological and geographical factors influence contemporary
72 population structure in this species. Despite these previous studies, questions still remain on
73 whether genetic patterns reflect recent processes (i.e. human mediated habitat changes) or events
74 pertaining to Pleistocene glaciations? In comparison to the nuclear markers used in these
75 previous studies, mtDNA control region sequences evolve at much slower rate, thereby
76 providing a greater resolution to explore the effects of historical processes, including Pleistocene
77 glaciations, on population structure. Further the greater sampling resolution used in this study
78 will build upon the previous study by Gill et al. (1993) which sampled considerably fewer
79 individuals and populations.

80 We analyzed mtDNA control region haplotypes to test two main hypotheses: 1) black-
81 capped chickadees were isolated in multiple glacial refugia and 2) physical barriers restrict gene
82 flow. Gill et al. (1993) proposed expansion out of a single, common refugium approximately 10
83 kya following the retreat of ice sheets, but the sample size was relatively limited ($n=64$). Despite
84 this prediction by Gill et al. (1993), two of the nine black-capped chickadee haplotypes they
85 found were restricted to Newfoundland suggesting the potential for genetic isolation of this
86 island population. Therefore, we predict the presence of at least two glacial refugia, one main
87 refugium on the North American mainland and a second one near Newfoundland. To answer this
88 question we combined genetic analyses with ecological niche modeling to determine where
89 suitable habitats may have existed for this species at the LGM. Large mountain ranges such as
90 the Rockies and Cascades correspond with genetic breaks in other bird species (e.g.,
91 Barrowclough et al. 2004; Ruegg 2008). Given black-capped chickadees are primarily restricted
92 to lower elevations (<3200 m) and are year round residents, we predicted that physical barriers
93 like mountain ranges may act as barriers to gene flow.

94

95 **Materials and methods**

96 *Sampling*

97 A total of 633 black-capped chickadees were used in this study. Most samples ($n=588$)
98 were in the form of blood or feather samples taken from birds captured using mist nets (Adams
99 and Burg 2014). The remaining samples ($n=45$) were from collections (Supplemental Table S1).
100 An additional 26 Carolina chickadee (*Poecille carolinensus*, Audubon 1834) samples (Mike
101 Braun and Brian Davidson) were included for outgroup comparison. The combined dataset
102 represents 36 sampling sites (hereafter referred to as populations; Figure 1; 32 black-capped

103 chickadee, three of both species, and one Carolina chickadee) from across the contemporary
104 black-capped chickadee range (Ridgely et al. 2007). Samples were collected during the breeding
105 season, and all samples within each sample site were collected within a 50 km² radius. DNA was
106 extracted from whole blood or tissue using a modified chelex protocol (Burg and Croxall 2001;
107 Walsh et al. 1991).

108 *Ecological niche modeling*

109 In order to predict possible refugia during the LGM (~22 kya) and the last interglacial (LIG,
110 ~120-140 kya), we reconstructed black-capped chickadee distribution (i.e., suitable conditions)
111 through the use of ecological niche modeling (ENM) with the program MAXENT v3.3.3e
112 (Phillips et al. 2006). Ecological niche models have been shown to be strong predictors of
113 phylogeographic patterns, suggesting that the two methods are complementary (Waltari et al.
114 2007). Bioclimatic variables were obtained from the WorldClim dataset v1.4 with 2.5 arc-min
115 (LGM) and 30 arc-seconds (LIG) resolution (Hijmans et al. 2005). We used 10 variables (annual
116 mean temperature, mean diurnal temperature range, isothermality, temperature seasonality,
117 mean temperature of wettest quarter, annual precipitation, precipitation of driest month,
118 precipitation seasonality, precipitation of warmest quarter and precipitation of coldest quarter) to
119 generate models in MAXENT with the default settings (regularization = 1, convergence threshold
120 = 0.000001, iterations = 500) for 10 replicates. The nine other variables in the WorldClim dataset
121 were removed from the analysis because they showed strong correlations ($r > 0.90$) with one or
122 more of the variables used in our analysis. We combined our banding locations with records
123 downloaded from the Global Biodiversity Information Facility data portal (GBIF;
124 <http://data.gbif.org/>, accessed on 28 March 2018). We removed all duplicate points and then
125 used the rarefaction tool available in ENM tools (Warren et al. 2010) to account for sampling

126 bias (Syfret et al. 2013; Fourcade et al. 2014); samples were rarified at a 10 km distance. Overall
127 we retained 8726 points; we randomly chose a total of 1726 chickadee records (20% of all the
128 retained records) to train the dataset and then used the remaining 7000 chickadee locations for
129 the models (Figure S1). MAXENT uses a maximum entropy statistical model of presence-only
130 occurrence data based on the current distribution's (i.e., known presence location) climate
131 conditions to infer past distributions by identifying similar bioclimatic conditions during a
132 particular time (e.g., LGM), assuming that present niche requirements reflect past and/or future
133 requirements. The MIROC (Model for Interdisciplinary Research on Climate) climate layers
134 provided by the Paleoclimate Modelling Intercomparison Project Phase II were used for
135 projecting past climatic conditions both at the LGM (~21 kya; Hasumi & Emori, 2004) and the
136 Last Interglacial Maximum (LIM; Otto-Bliesner et al. 2006).

137 *MtDNA amplification and sequencing*

138 We amplified a 678 bp segment of the mitochondrial control region (CR; Domain I and
139 II) using two polymerase chain reaction (PCR) primers HCRCBox (5'-
140 CCACTTGTATCTGTGARGAGC -3') and LbchCR1 (5'- CCACCACCCCATAATAAGGA -
141 3') designed for this species. The PCR was carried out in an Eppendorf Mastercycler, and
142 consisted of approximately 100 ng of template DNA, 1 μ M of each primer, 200 μ M dNTPs, 2.5
143 mM MgCl₂, 1 unit of Taq DNA polymerase and PCR buffer in a final volume of 25 μ l.
144 Amplification consisted of one cycle at 95°C for 2 min, 54°C for 45 s, and 72°C for 60 s; 37
145 cycles of 94°C for 30 s, 54°C for 45 s, and 72°C for 60 s; and one final cycle at 72°C for 5 min.
146 PCR products were sequenced using BigDye terminator mix in an Applied Biosystems 3130
147 Genetic Analyzer following enzymatic clean up using 0.1 units of shrimp alkaline phosphatase

148 (SAP) and 0.1 units of exonuclease I. MtDNA sequences were manually aligned using MEGA
149 v5.0 (Tamura et al. 2011).

150 *Population genetic structure and variation*

151 We used DNASP v5.10 (Librado and Rozas 2009) to calculate the number of haplotypes (H_n),
152 haplotype diversity (H_d), and nucleotide diversity (π). Next, we used TCS v1.21 (Clement et al.
153 2000) to construct a statistical parsimony network and examine the relationship among
154 haplotypes. In addition, we constructed a maximum likelihood tree in MEGA v5.0. Prior to
155 running our tree we used MEGA to determine the model of sequence evolution that best fit our
156 data. The program chose a Kimura 2 parameter model plus gamma and invariants (BIC = 6161),
157 In addition the maximum likelihood tree was constructed using the nearest neighbor interchange
158 heuristic model with 1000 bootstrap replicates to evaluate robustness. We used four Carolina
159 chickadee sequences as the outgroup for our maximum likelihood tree.

160 Additionally we conducted a principal coordinate analysis (PCoA) in GenAlEx v6.41
161 (Peakall and Smouse 2006) to further examine the relationship among populations. For this
162 analysis we calculated the mean Nei's genetic distance between population pairs. In our first
163 analysis we included the four Carolina chickadee populations to examine population clustering
164 between the two species. We then ran a second analysis with only black-capped chickadee
165 populations to determine if there was greater population structure among populations.

166 We calculated pairwise Φ_{ST} values, a basic index of population differentiation, for the 33
167 black-capped chickadee populations with at least five samples (mean = 19 ± 1.7 SE). We used
168 Arlequin v3.0 (Excoffier et al. 2005) to calculate Φ_{ST} and tested for significant differences using
169 10,000 permutations. All p-values were corrected for multiple tests using the Benjamini-
170 Hochberg False Discovery Rate (FDR) correction (Benjamini and Hochberg 1995). To

171 investigate hierarchical population structure and determine whether genetic breaks correspond
172 with refugia locations, we performed an analysis of molecular variance (AMOVA) in Arlequin
173 v3.0 (Excoffier et al. 2005). We defined possible groupings based on potential refugia locations
174 that were identified using ENM predictions, analyzing the following potential groupings: a) a
175 single panmictic population; b) two groups: Newfoundland and all continental populations; c)
176 three groups: Newfoundland, Pacific Coast populations, and the remaining continental
177 populations; d) four groups: Newfoundland, Pacific Coast populations, southeast Rocky
178 Mountain populations, and the remaining continental populations.

179 To visualize genetic differentiation across the entire range, we used the genetic landscape
180 GIS toolbox (Vandergast et al. 2011) in ArcGIS. We used the single species divergence tool and
181 pairwise Φ_{ST} values to create landscape surfaces and depict gradients of genetic variation across
182 the complete distribution of black-capped chickadees. This analysis is particularly useful when
183 analyzing large numbers of populations, and uses an inverse distance weighted interpolation
184 algorithm to produce the surface file. Values range between 0 and 1, with zero representing areas
185 of little genetic differentiation and one representing strong genetic differentiation. To
186 complement this analysis, we used the program Barrier 2.2 (Manni et al. 2004) to identify
187 potential barriers to dispersal. The program uses a pairwise genetic distance matrix (based on
188 pairwise Φ_{ST} values for this study) to determine the magnitude and location of barriers dispersal
189 by employing Delauney triangulation to connect populations (based on the latitude and
190 longitude) utilizing Monmonier's algorithm to identify the paired populations with the greatest
191 genetic distance. The program will identify up to 10 barriers, with the lowest barriers
192 representing those barriers where gene flow is lowest between population pairs. For the purpose
193 of this study, we retained the first five barriers identified by the program.

194 Additionally, we explored if populations exhibited an isolation by distance (IBD) signal.
195 We tested for IBD using two different analyses: the first analysis included all populations, while
196 for the second analyses we included all continental populations and excluded Newfoundland. We
197 transformed our pairwise Φ_{ST} values into a genetic distance using the $\Phi_{ST}/(1-\Phi_{ST})$ transformation
198 recommended by Rousset (1996); the central location for each population was estimated by
199 mapping the mid-point for all samples collected at each sampling site (e.g., AKA) and
200 calculating the straight-line distance between pairs of sampling sites. Mantel tests were
201 performed in GenALEx and significance was tested with 9999 permutations.

202 *Divergence times*

203 We estimated divergence times using a strict molecular clock with both a genetic distance
204 and a Bayesian method. The mean genetic distances (maximum composite likelihood; MCL)
205 among groups (main-northeast, SE Rockies, Alaska, Pacific Coast, and Newfoundland) were
206 calculated in MEGA v5.0 using a strict molecular clock (12.1% and 3%; see below). We used
207 the program BEAST v1.6.1 (Drummond and Rambaut 2007; Drummond et al. 2005) with a strict
208 molecular clock to estimate the coalescence time of the Newfoundland and continental
209 populations. A BEAST .xml input file was created using BEAUti v1.6.1 (Drummond and
210 Rambaut, 2007) and a random generated tree was used with a skyline coalescent population prior
211 and two different substitution rate priors for each run.

212 The first substitution rate prior was based on a 12.1% divergence / million years between
213 two lineages (substitution rate = 6.05×10^{-8} mutations/site/year/lineage). The weighting was
214 determined using standard avian mutation rates for each domain and the proportion of the
215 domain sequenced; 320 bp in Domain I (20% mutation rate) and 358 bp in Domain II (5%
216 mutation rate; Baker and Marshall 1997). The second substitution rate prior was 1.5% / million

217 years and based on three separate lines of evidence; a conservative 3% mutation rate based on
218 1.2% / million years for the genus *Poecile* (Päckert et al. 2007), the estimated split between
219 Carolina/black-capped lineages ~2.5 million years (Gill et al. 1993; Gill et al. 2005), and control
220 region sequence data for black-capped and Carolina chickadees. The 3% substitution rate is
221 similar to the 3.7% rate used by Lerner et al. (2011) in Hawaiian honeycreepers.

222 BEAST analyses were run for 10 million generations, sampled every 1000 generations,
223 and had a burn-in of 100,000 generations. Output files were viewed with Tracer v1.5 (Rambaut
224 and Drummond 2007) to estimate time to most recent common ancestor.

225

226 **Results**

227 *Ecological niche modeling*

228 The model distributions had an area under curve value of 0.756 (LGM) and 0.757 (LIG), and
229 both training and test sample omission curves were close to the predicted value. The high area
230 under the curve values and training/omission curves indicate the models performed well (Elith
231 2000). Present day black-capped chickadee distribution as predicted by MAXENT using location
232 information and present day environmental variables matched the current distribution (Ridgely et
233 al. 2007; Figure 2a). MAXENT predicted a large range contraction for black-capped chickadees
234 21 kya (Figure 2b), and identified four primary areas of suitable climate: Newfoundland, the
235 central and southeastern United States, western United States (specifically California and
236 Oregon), and Alaska. By comparison, MAXENT predicted greater tracts of suitable climate for
237 black-capped chickadees ~120-140 kya (Figure 2b), and the range of black-capped chickadees at
238 this time was comparable to its current range (Figure 2c). For example, southeastern Rocky
239 Mountain populations were relatively isolated from the west coast and eastern United States.

240 Further the highest MAXENT predictions for suitable black-capped chickadee climate in the LIG
241 were along the west coast, the central plains of North America, and Alaska.

242

243 *Genetic diversity*

244 Black-capped chickadees exhibited high levels of mtDNA genetic diversity. Haplotype
245 diversity ranged from 0.56 (Alaska-Fairbanks) to 1.00 (coastal Oregon, West Virginia and North
246 Carolina) and nucleotide diversity ranged from 0.001 (Alaska-Fairbanks) to a high of 0.026
247 (North Carolina; Table 1). Overall, we found 198 black-capped chickadee haplotypes, including
248 65 shared haplotypes (i.e., haplotypes found in more than one individual), with a total of 118
249 variable sites in 633 black-capped chickadees. Outside of these 198 haplotypes, we identified 9
250 additional individuals with Carolina chickadee haplotypes. Putative hybrids were collected in
251 Missouri (n = 3), West Virginia (n = 4), and North Carolina (n = 2); all of which are located in
252 known hybrid zones and were removed from all black-capped chickadee analyses.

253

254 *Population structure*

255 The TCS network illustrates the high genetic diversity in black-capped chickadees and
256 the close genetic relationship amongst continental populations (Figure 3). The maximum
257 likelihood tree produced similar results to our TCS network (Figure 4); the only monophyletic
258 group in our analysis was Newfoundland as all other groups shared one or more haplotypes with
259 another group. Despite the close relationship, we do see some clustering of populations in
260 western North America; a pattern further depicted by our PCoA (Figure 5). For example
261 southwest Rocky, Pacific Northwest, and Alaska populations all clustered apart from other

262 populations. Both TCS and PCoA indicate that Newfoundland is distinct from continental
263 populations.

264 Pairwise Φ_{ST} values revealed significant differentiation among black-capped chickadee
265 populations (Supplemental Table 2). Of the 528 pairwise comparisons, all but 80 pairwise
266 comparisons were significant following FDR correction and 77 of these comparisons that were
267 insignificant involved populations within the main-northeast group. The remaining three non-
268 significant Φ_{ST} values were within the Alaska populations (Alaska-Anchorage and-Alaska-
269 Wrangler and Alaska-Anchorage and Alaska-Fairbanks) and between Kansas (one of the
270 southeast Rocky group populations) and the adjacent Missouri population. The highest Φ_{ST}
271 values involved comparisons with NL and other populations ($\Phi_{ST} = 0.63-0.87, p < 0.001$). High
272 levels of genetic differentiation were also detected in the Pacific Northwest, southeastern
273 Rockies, and near the Alaska panhandle defining three of the four continental groups (Pacific,
274 southeast Rockies, and Alaska). Differences among the southeast Rockies and the main-northeast
275 groups were also present, though relatively lower than among the other groups.

276 Hierarchical AMOVA analyses of all populations indicated the presence of two main
277 groups (Newfoundland and all remaining continental populations; $\Phi_{CT} = 0.50, p=0.04$). The next
278 highest grouping suggested one panmictic population ($\Phi_{CT} = 0.32, p=0.001$), but this grouping
279 explained almost 20% less of the variation than our two group model. Our analysis of continental
280 populations indicated four separate groups ($\Phi_{CT} = 0.27, p<0.001$): Alaska, Pacific Coast,
281 southeast Rocky Mountain, and the main-northeast.

282 Analysis using the GIS toolbox supported the presence of multiple groups (Figure S2).
283 The heat map produced from pairwise Φ_{ST} values show the isolation of Newfoundland, Alaska
284 and the Pacific. The southeast Rockies populations (Utah, Colorado and Kansas) also show

285 moderate isolation. Mantel tests found a significant correlation between geographic and genetic
286 distances for our analysis of all populations ($r = 0.152, p = 0.01$) and our analysis among all
287 continental populations (i.e. excluding Newfoundland; $r = 0.115, p = 0.01$). Two of the five
288 barriers identified by BARRIER separated Newfoundland from the two adjacent continental
289 populations (Labrador and Nova Scotia/New Brunswick). The next two barriers separated
290 southeast Rocky populations (Colorado, Utah, and Kansas) from the Pacific Coast (Washington
291 and Southern Oregon) and main-northeast populations. The final barrier identified, separated
292 Pacific Coast populations from interior British Columbia populations and populations east of the
293 Cascade Mountains (Figure 1).

294

295 *Divergence estimates*

296 Divergence estimates between Newfoundland and all continental populations ranged
297 from ~10 kya (using a 12.1% substitution rate) to 331 kya (using a 3% substitution rate; Table
298 2). BEAST results from Bayesian skyline analysis for both substitution rates revealed a time to
299 most recent common ancestor of 19.1 kya (HPD 12.7-26.4 kya using the 12.1% substitution rate)
300 and 76.5 kya (HPD 51.5-107.7 kya using the 3% substitution rate).

301

302 **Discussion**

303 Ecological niche modeling predicted four potential areas of suitable habitat for black-
304 capped chickadees following extensive range retractions during the LGM. Combined with
305 genetic analyses, these results support the multiple refugia hypothesis. In combination with
306 Pleistocene glaciations, our study suggests physical barriers restrict gene flow among
307 populations.

308 *Pleistocene refugia and postglacial expansion*

309 Paleodistribution modeling predicted a considerable reduction in suitable habitat
310 availability for black-capped chickadee at the LGM. The majority of habitat was located in the
311 southern mid-latitude portion of the continent, consistent with the hypothesis that glacial refugia
312 persisted south of the North American ice sheets, although some habitat appears to have been
313 available in Beringia, and off the coast of Newfoundland. Genetic data also suggest prolonged
314 isolation of the continental and Newfoundland chickadee populations and more recent
315 divergence among continental populations. Our results indicate that the present-day
316 Newfoundland lineage has been isolated for at least 19-66 ky and contains diverse and unique
317 haplotypes absent from the continental populations. While the exact location of the refugium is
318 debatable, previous studies have suggested the now submerged coastal shelf off Newfoundland
319 as a refugium. Studies on the American redstart (*Setophaga ruticilla*, Linnaeus 1758), boreal
320 chickadee (*Poecile hudsonicus*, Forster 1772) and blackpoll warbler (*Setophaga striata*, Forster
321 1772) whose ranges overlap extensively with black-capped chickadees suggest the presence of
322 an Atlantic Coast refugium (Colbeck et al. 2008; Lait and Burg 2013; Ralston and Kirchman
323 2013). These results are in contrast to the single refugium hypothesis for black-capped
324 chickadees proposed by Gill et al. (1993).

325 Within the continental populations, the origins of the four groups (Pacific, Alaska,
326 southeast Rockies and main-northeast) are less certain. Refugia existed in each of these areas and
327 while it is possible individuals survived in multiple refugia, the divergence among these four
328 groups is more recent than the split observed between continental populations and
329 Newfoundland. Patterns of genetic differentiation are consistent with multiple refugia along the
330 Pacific Coast, in the southern Rocky Mountains, and in the eastern United States (Figure 1), and

331 suitable climate for black-capped chickadee was present in each of these areas during the LGM
332 (Figure 2a). Postglacial dispersal of a few individuals from a single continental refugium could
333 have been responsible for establishing new populations in some areas along with the reduced
334 genetic variation; however, we believe recolonization from a single continental refugium is
335 unlikely for several reasons. First, of the 49 haplotypes in southeast Rockies, Alaska, and Pacific
336 groups, 39 are restricted to these three groups (14 AK, seven Pacific, and 18 southeast Rockies).
337 With the extensive sampling (n = 444 individuals from the main-northeast group), if they were
338 common in other parts of the range, they should have been detected. Second, most of the
339 haplotypes in these three groups cluster together, not with haplotypes from the main-northeast
340 group, suggestive of long-term isolation. Predictions for the LIG (Figure 2b) indicate suitable
341 climate for black-capped chickadee was present, with large areas of suitable climate in Alaska,
342 western North America, eastern North America, and Newfoundland. Descendants of the Pacific,
343 Alaska, and southeast Rockies groups could have originated from a second common continental
344 refugium with subsequent bottlenecks or lineage sorting explaining the low number of shared
345 haplotypes. A number of other studies have found similar patterns of population structure
346 particularly in western North America and suggest multiple western refugia including
347 Alaska/Beringia and the Pacific Northwest (Boulet and Gibbs 2006; Spellman and Klicka 2007;
348 Graham and Burg 2012; Lait et al. 2013; Dohms et al. 2017).

349

350 *Population structure and modern barriers to gene flow*

351 Rangewide patterns of population genetic structure in the black-capped chickadee suggest
352 a number of factors are influencing population structure including modern barriers to gene flow.
353 The divergent population of black-capped chickadees on the island of Newfoundland is likely the

354 result of prolonged isolation and restricted dispersal. The mainland population is ~ 20 km away
355 on Labrador in marginal black-capped chickadee habitat, and the next closest “mainland” point is
356 Cape Breton Island, Nova Scotia ~100 km across the Cabot Strait. In combination with previous
357 studies examining microsatellite variation (Adams and Burg 2014), the absence of shared
358 haplotypes and restricted geographic distribution of Newfoundland haplotypes indicate limited
359 maternal gene flow between Newfoundland and continental populations.

360 Among continental populations we found evidence of four genetic clusters: Alaska,
361 Pacific, southeast Rockies, and the remaining continental populations (main-northeast group).
362 Landscape patterns as revealed by GIS toolbox indicate low to moderate levels of gene flow
363 among all four groups. The landscape genetic patterns revealed for mtDNA patterns mirror those
364 found by Adams and Burg (2014) in their analysis of range-wide genetic structure in black-
365 capped chickadee using microsatellites. The maintenance of genetic structure in both studies
366 suggests limited dispersal among black-capped chickadee populations. For example the location
367 of the Cascade Mountains in the Pacific Northwest corresponds to the isolation of the Pacific
368 group (Washington, central Oregon and southern Oregon) from the main-northeast (including
369 northeast Oregon and Idaho) mtDNA groups. Similarly a number of tall mountains surround the
370 three genetically distinct Alaskan populations in the northwest portion of the range. Despite the
371 correspondence between genetic breaks and the location of mountains for Alaska and the Pacific
372 group, the Rocky Mountains do not appear to restrict gene flow among northern populations
373 separated by mountain ranges (i.e. interior British Columbia). In this area, low valleys exist such
374 as the northern and southern Rocky Mountain trench, which could facilitate dispersal.

375 We observed a significant isolation by distance signal. Although the non-migratory
376 behaviour and limited dispersal exhibited by black-capped chickadees contributes to this pattern

377 (Desrochers and Hannon 1997), other environmental factors also contribute to the observed
378 genetic boundaries. In particular habitat fragmentation appears to significantly reduce gene flow
379 for black-capped chickadees. For example, Adams and Burg (2014) found that large gaps in
380 forested habitat associated with Great Plains and Basins separating southern Rocky Mountain
381 populations from northern populations reduced range-wide gene flow. Similar to our study,
382 previous research has attributed the genetic breaks in this region with habitat fragmentation by
383 these two modern barriers to gene flow (Hafner and Sullivan 1995; Hull et al. 2008; Galbreath et
384 al. 2010; Graham and Burg 2012; Dohms et al. 2017). Despite being viewed as a generalist,
385 small-scale habitat changes also influence gene flow for black-capped chickadees. This species
386 relies on woodland corridors to disperse between areas and the removal or fragmentation of these
387 corridors increases genetic differentiation (Adams et al. 2014; Adams and Burg 2015).

388 Genetic differentiation observed in black-capped chickadees resembles that observed for
389 other forest dwelling resident North American bird species with broad distributions (Spellman
390 and Klicka 2007; Manthey et al 2011; Graham and Burg 2012, Dohms et al. 2017, although see
391 Pulgarin and Burg 2013). In comparison to resident species with broad distributions, migratory
392 species appear to exhibit lower levels of population genetic structure (Ball et al. 1988; Milá et al.
393 2006; 2007; Colbeck et al. 2008), although there are exceptions (Milot et al. 2008; Macfarlane et
394 al. 2016). These differences reflect the different life histories exhibited by North American avian
395 species (Zink 1996). For example migratory behaviour has been shown to increase gene flow
396 (Arguedas and Parker 2000). Second genetic patterns reflect patterns of isolation during the
397 LGM; the majority of migratory species were isolated in a single refugium while many resident
398 species show evidence of being isolated in multiple refugia during the LGM and genetic patterns
399 reflect post-glacial expansion from these refugia (Weir and Schluter 2004).

400 *Conclusions*

401 Patterns of population genetic differentiation in the black-capped chickadee are consistent
402 with late Pleistocene vicariance events and isolation in at least two refugia; Newfoundland and at
403 least one refugium in the southern United States. In the west, the potential for black-capped
404 chickadees being isolated in three additional refugia is also likely: Pacific, southeast Rockies,
405 and Alaska. The high levels of population substructure in this species suggest that physical
406 barriers limit gene flow across the range, and highlight the complex biogeographic factors that
407 shape genetic patterns in natural populations.

408

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603

604 **Figure Captions and Table Legends**

605

606 **Figure 1:** Map depicting the contemporary distribution (red shading) of black-capped chickadees
607 (*Poecille atricapillus*) in North America (Ridgely 2007). Pie-charts represent the distribution
608 of haplotypes from each mtDNA groups at a given population. The five genetic groups are
609 depicted with the following colours: purple (Alaska), blue (Pacific Coast), red (southeast
610 Rockies), white (main-northeast) and yellow (Newfoundland). Dark blue lines on the figure
611 represent the five first-order barriers to dispersal identified using BARRIER. Two barriers
612 separated Newfoundland from continental populations in Labrador and Nova Scotia/ New
613 Brunswick. Additionally two barriers separate Pacific Coast populations; the first separates
614 Pacific Coast populations from northern populations in Canada and the second separates Pacific
615 Coast populations from populations east of the Cascade Mountain range. Abbreviations for
616 sampling sites (grey circles) are provided in Table 1.

617 **Figure 2:** Map of paleodistribution model showing suitable black-capped chickadee (*Poecille*
618 *atricapillus*) habitat at the LGM ~21 kya (a) and at the LIM ~120-140 kya (b). (c) contemporary
619 distribution. Warmer colours (red, orange and yellow) indicate higher climate suitability.

620 **Figure 3:** Statistical parsimony network (TCS) of chickadee mitochondrial haplotypes. Small
621 open circles represent haplotypes found in a single individual, small black circles are inferred
622 haplotypes and ovals are shared haplotypes. The size of the oval is proportional to the number of
623 individuals sharing that haplotype. Haplotypes are colour-coded corresponding to genetic
624 clusters as determined by PCoA (see Figure 4). Both Carolina chickadees (*Poecille carolinensis*;
625 orange) and black-capped (*Poecille atricapillus*; main-northeast: white, southeast Rockies: red,
626 Pacific: blue, Alaska: purple, and Newfoundland: yellow) chickadee haplotypes are shown. Refer

627 to Figure 1 for location of sampling sites and abbreviations. Lines representing more than one
628 mutational step are indicated with a double slash and number. Lines show the shortest number of
629 steps between haplotypes; for these three connections, alternate connections are possible.

630

631 **Figure 4:** Principal coordinates analysis (PCoA) of mtDNA sequences (pairwise F_{ST}) for all
632 black-capped chickadee (*Poecille atricapillus*) populations ($n > 5$); coordinate 1 explains 37.7%
633 of the variation, coordinate 2 18.1% and coordinate 3 15.9%. Colours correspond to major
634 groups: NL (yellow), SE Rockies (red), Pacific (blue), AK (purple), and main-northeast (white).

635

636 **Figure 5:** Maximum likelihood tree of black-capped chickadee (*Poecille atricapillus*) haplotypes
637 with bootstrap values greater than 40% shown (1000 bootstraps). Colours match those colours
638 used in the minimum spanning network in Figure 3. Newfoundland is the only monophyletic
639 group (44% bootstrap support). Carolina chickadee (green rectangles) sequences were used as
640 the outgroup with 100% bootstrap separation from black-capped chickadee. Black rectangles
641 denote shared haplotypes (all other haplotypes were unique to a specific group). Bottom line
642 indicates the number of substitutions per site, $n = 0.01$.

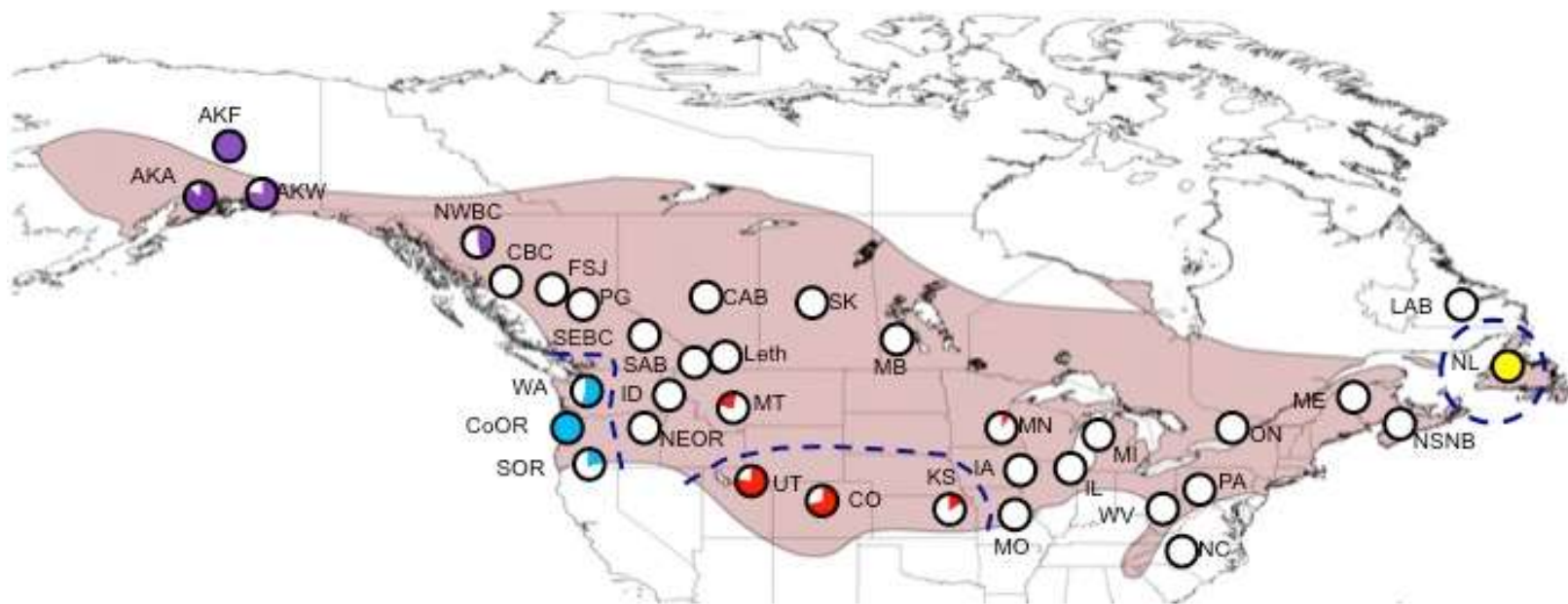
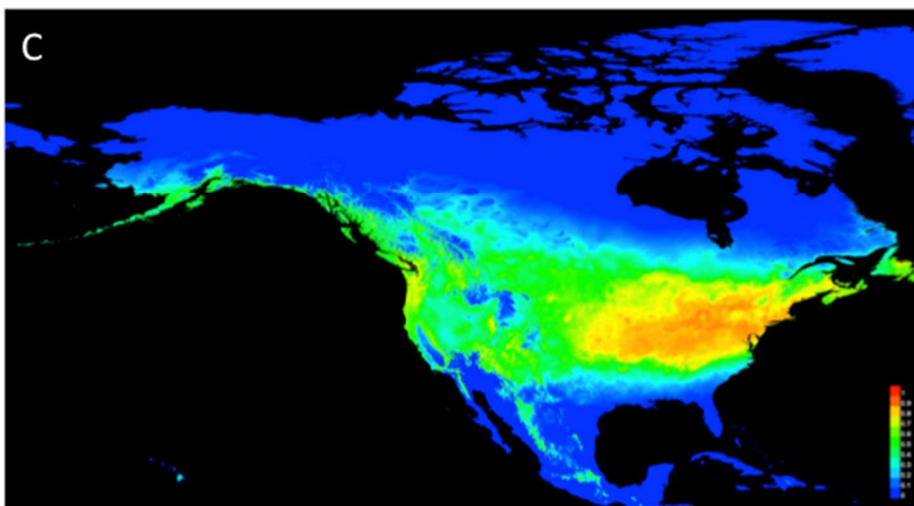
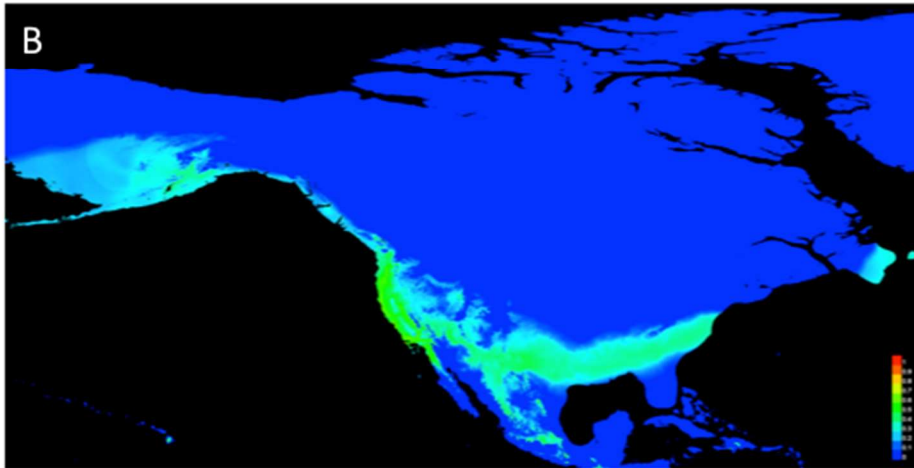
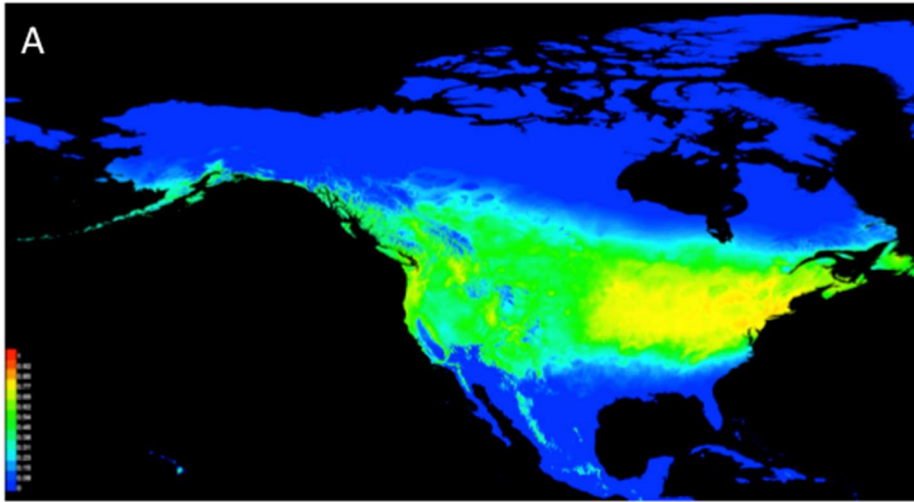
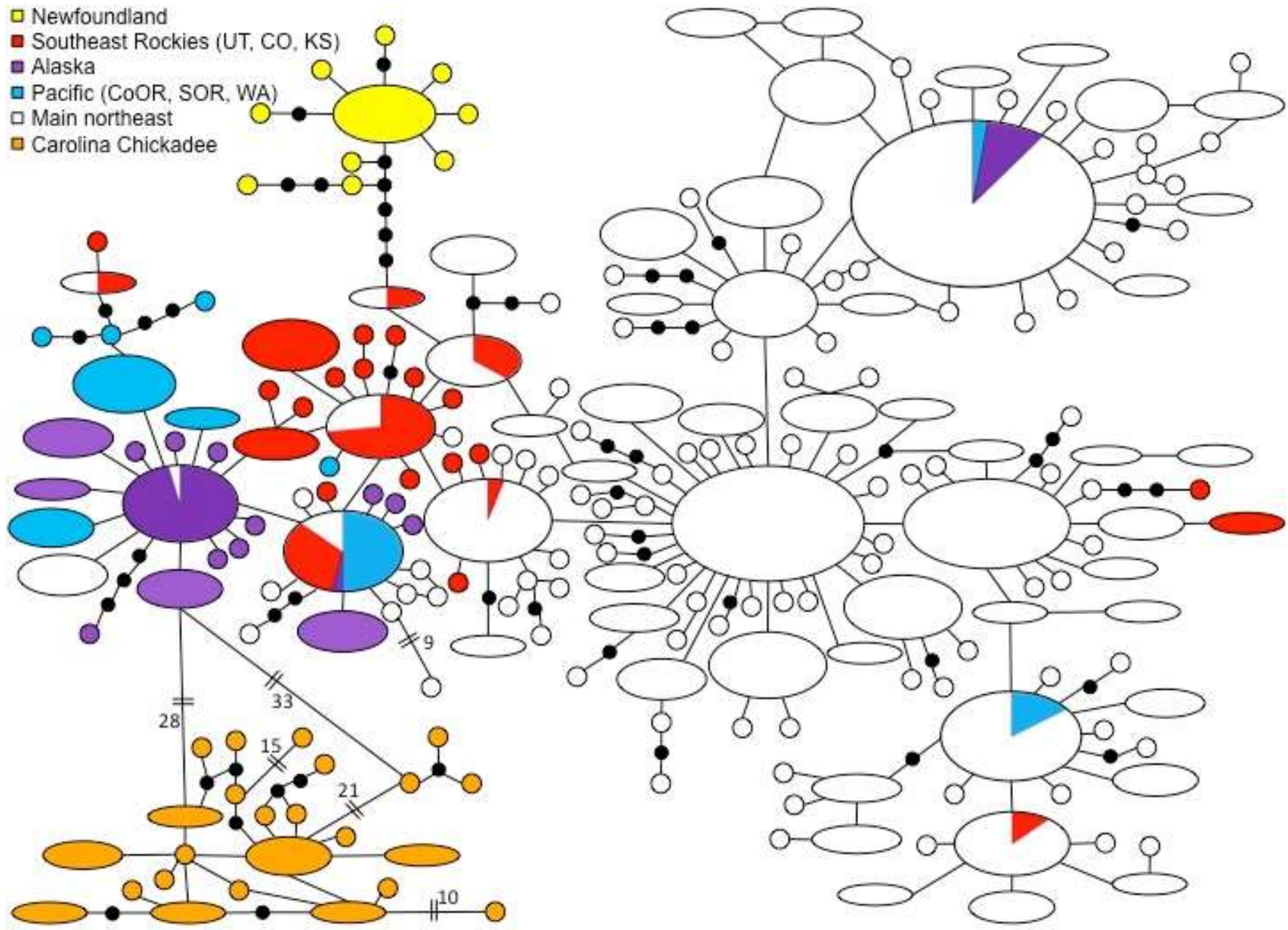
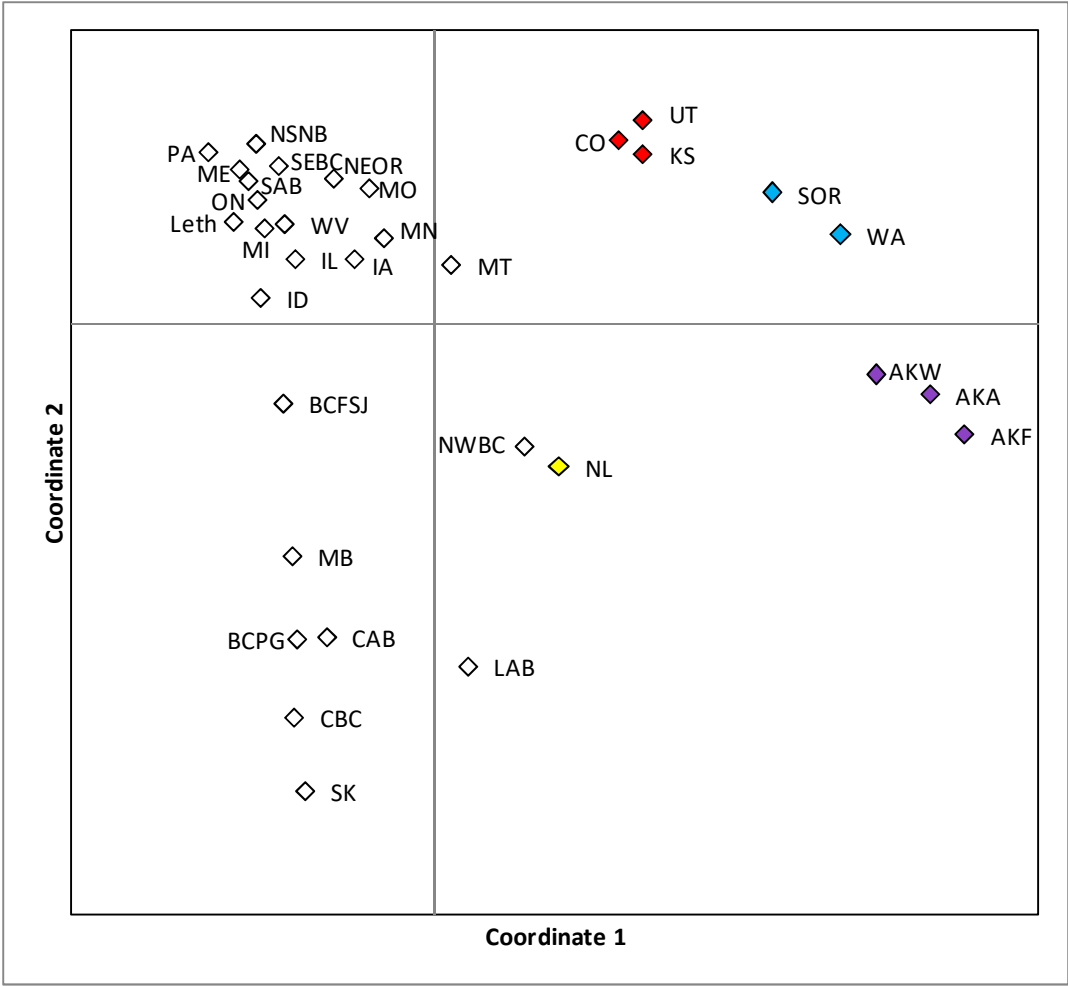


Figure 1



- Newfoundland
- Southeast Rockies (UT, CO, KS)
- Alaska
- Pacific (CoOR, SOR, WA)
- Main northeast
- Carolina Chickadee





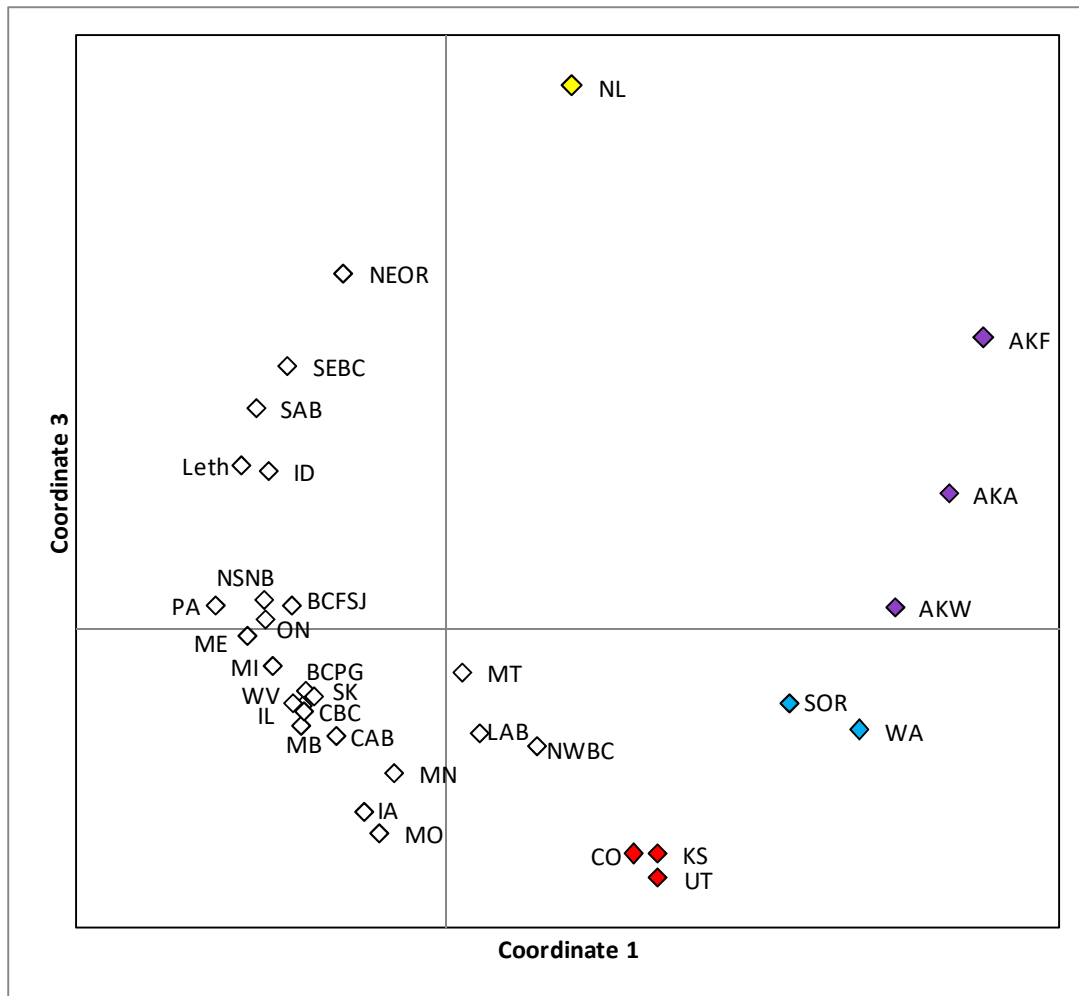


Figure 4

- Pacific
- Main-northeast
- Alaska
- Southeast Rockies
- Newfoundland

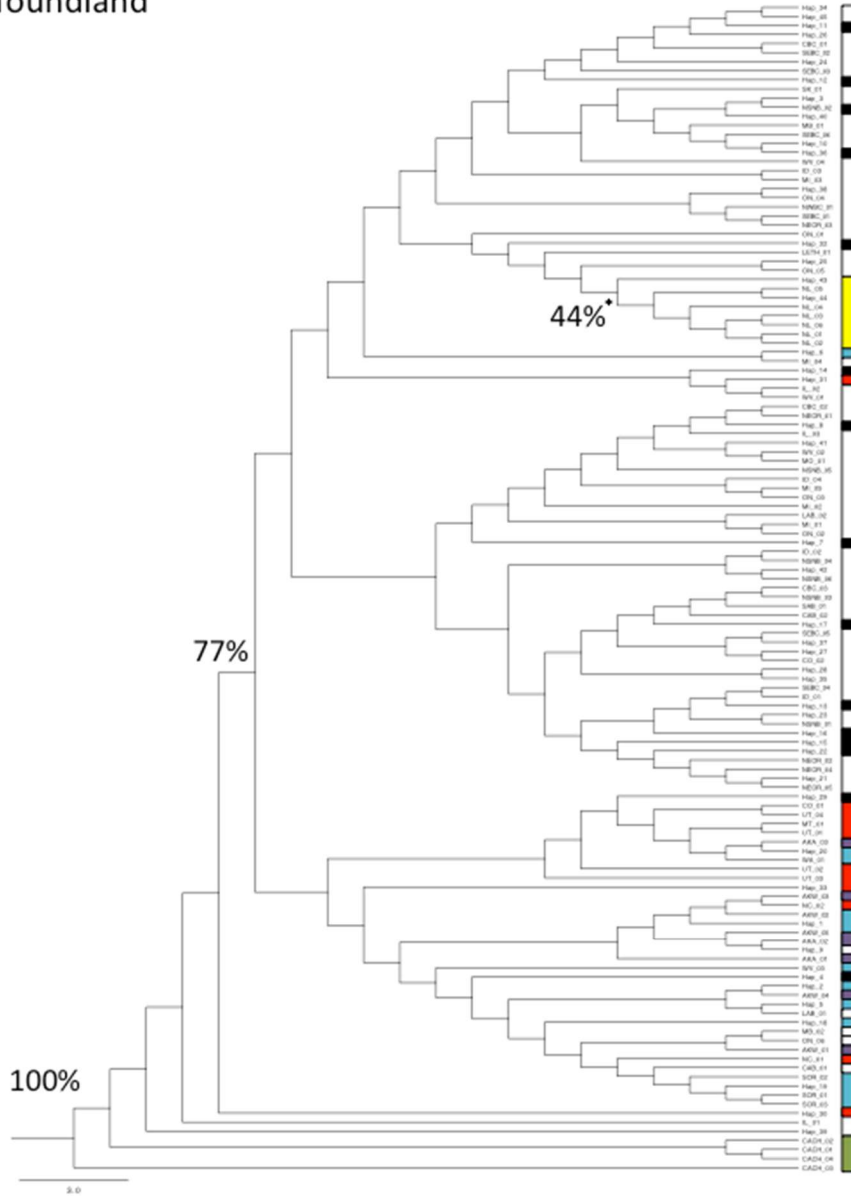


Table 1: Sampling site information for black-capped chickadee (*Poecille atricapillus*) and Carolina chickadee (*Poecille carolinensis*; CACH) chickadee samples. Sample size (N), number of haplotypes (Hn), haplotype diversity (Hd) and nucleotide diversity (π) for each sampling site as well as group identity (mt group). Nine black-capped chickadees with Carolina chickadee mtDNA (MO, $n = 3$, WV, $n = 4$; and NC, $n = 2$) are not included.

sampling site	abbrev.	N	Hn	Hd	π	mt group
Anchorage, AK	AKA	20	8	0.647	0.0028	AK
Wrangell-St Elias, AK	AKW	18	11	0.915	0.0042	AK
Fairbanks, AK	AKF	20	4	0.563	0.0010	AK
northwest BC	NWBC	17	7	0.831	0.0069	main-northeast
Prince George, BC	BCPG	30	13	0.828	0.0051	main-northeast
Ft St James, BC	BCFSJ	65	24	0.939	0.0055	main-northeast
central BC	CBC	17	10	0.919	0.0050	main-northeast
Revelstoke, BC	SCBC	20	14	0.958	0.0055	main-northeast
Washington	WA	20	6	0.779	0.0022	Pacific
Idaho	ID	17	12	0.956	0.0063	main-northeast
northeast OR	NEOR	15	11	0.952	0.0056	main-northeast
coastal OR	CoOR	2	2	1.000	0.0104	Pacific
southern OR	SOR	15	6	0.714	0.0042	Pacific
central AB	CAB	20	14	0.937	0.0060	main-northeast
Lethbridge, AB	Leth	19	7	0.842	0.0051	main-northeast
southern AB	SAB	17	10	0.919	0.0055	main-northeast
Montana	MT	32	13	0.905	0.0061	main-northeast
Colorado	CO	32	14	0.881	0.0034	SE Rockies
Utah	UT	19	8	0.614	0.0017	SE Rockies
Saskatchewan	SK	19	8	0.860	0.0040	main-northeast
Manitoba	MB	10	8	0.956	0.0071	main-northeast
Illinois	IL	14	9	0.934	0.0055	main-northeast
Michigan	MI	20	16	0.974	0.0048	main-northeast
Ontario	ON	20	16	0.968	0.0049	main-northeast
Iowa	IA	12	6	0.848	0.0040	main-northeast
Kansas	KS	24	9	0.804	0.0033	SE Rockies
Maine	ME	12	9	0.939	0.0041	main-northeast
Minnesota	MN	12	8	0.848	0.0047	main-northeast
Pennsylvania	PA	12	8	0.848	0.0035	main-northeast
Nova Scotia/New Brunswick	NSNB	19	15	0.959	0.0045	main-northeast
Newfoundland	NL	19	10	0.737	0.0024	NL
Labrador	Lab	5	3	0.700	0.0056	main-northeast
West Virginia	WV	9	9	1.000	0.0056	main-northeast
Missouri	MO	8	5	0.893	0.0039	main-northeast
North Carolina	NC	3	3	1.000	0.0264	main-northeast

Table 2: MtDNA divergence of black capped chickadee (*Poecille atricapillus*) population groups (in thousands of years +/- SE) using pairwise differences (MCL distance) and 3.0% (upper) and 12.1% (lower) divergence rates.

	AK	Main-northeast	Pacific	SE Rockies	NL
AK		126.7 ± 68.3	38.3 ± 33.3	63.0 ± 43.7	364.0 ± 134.0
Main-northeast	31.7 ± 16.9		96.3 ± 52.7	46.0 ± 22.0	266.7 ± 100.0
Pacific	9.6 ± 8.4	24.1 ± 13.1		31.7 ± 24.0	331.0 ± 123.3
SE Rockies	15.8 ± 10.8	11.5 ± 5.5	7.9 ± 6.0		264.7 ± 103.0
NL	91.0 ± 33.2	66.7 ± 24.8	82.8 ± 30.6	66.2 ± 25.5	

