

**EXPLORING THE IMPACT OF CHILDHOOD ADVERSITY ON MATERNAL  
ANXIETY AND SMOKING: THE ALL OUR FAMILIES COMMUNITY COHORT  
STUDY**

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A thesis submitted in partial fulfilment of the requirements of the degree of

**MASTER OF SCIENCE**

in

**HEALTH SCIENCES**

Department of Health Science  
University of Lethbridge  
LETHBRIDGE, ALBERTA, CANADA

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EXPLORING THE IMPACT OF CHILDHOOD ADVERSITY ON MATERNAL ANXIETY  
AND SMOKING: THE ALL OUR FAMILIES COMMUNITY COHORT STUDY

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## ABSTRACT

The primary focus of this thesis was to investigate the impact of maternal adverse childhood experiences (ACEs) on two pregnancy outcomes: 1) anxiety symptoms and 2) smoking status. The second objective was to determine whether partner support moderated these associations. Secondary statistical analyses were performed using *All Our Families (AOF)* study data collected between 2008-2010 in Calgary, Alberta ( $N = 3,362$ ). Thesis results were non-significant, illustrating that maternal ACEs had no effect on anxiety symptoms and smoking status in pregnancy among a moderate to high socioeconomic status sample. Given that neither of the focal associations under study show statistical significance, partner support as a moderator could not be investigated. It is likely that the moderate to high socioeconomic characteristics of the *AOF* sample were health protective and ameliorated the negative effects of maternal ACEs on anxiety symptoms and smoking in pregnancy.

## ACKNOWLEDGEMENT

I would like to express my deepest gratitude to my supervisory committee. Your feedback and mentorship throughout this process was invaluable. Special thanks to Dr. Cheryl Currie for taking on the role as my supervisor, your feedback and mentorship has been instrumental. I am incredibly grateful for the support received from Dr. Dora Tam and Dr. Toupey Luft, who generously provided knowledge and expertise. Many thanks to all instructors and librarians who supported me in different parts of my graduate journey.

Special acknowledgement is given to the All Our Families study team and participants. *All Our Families* is funded through Alberta Innovates Interdisciplinary Team Grant #200700595, the Alberta Children's Hospital Foundation, and the Max Bell Foundation. The secondary analysis of the data conducted for this paper was funded through PolicyWise for Children & Families. I would like to extend my sincere thanks for the contribution and support of *All Our Families* participants and team members.

I am grateful for my cohort members for their editing help, late night feedback sessions and moral support. Additionally, this endeavor would not have been possible without the support of my family and friends – thank you!

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## LIST OF ABBREVIATIONS

AB	Alberta
ACEs	Adverse Childhood Experiences
<i>AOF</i>	<i>All Our Families</i>
HPA	Hypothalamic Pituitary Axis
LOWESS	Locally Weighted Scatterplot
NICU	Neonatal Intensive Care Unit
SUID	Sudden Unexpected Infant Death
SSAI	Spielberger's State Anxiety Inventory

## **CHAPTER 1: INTRODUCTION**

### **1.1 BACKGROUND**

Maternal mental illness and health-risk behaviours have been linked to neonatal life-threatening conditions (Dietz et al., 2010; Ding et al., 2014; Marufu et al., 2015). For instance, maternal anxiety and smoking increases the likelihood of a low birth weight, pre-term delivery, fetal mortality, and sudden unexpected infant death (SUID) within the first year of life (Biaggi et al., 2016; Ding et al., 2014; Lange et al., 2018; Marufu et al., 2015). Anxiety is one of the most common mental illnesses faced by women during pregnancy, with 23% of women affected (Bayrampour et al., 2018; Falah-Hassani et al., 2017). Women who have anxiety are more likely to smoke as a way to manage their symptoms (Tong et al., 2016). It is estimated that 13% of Canadian women smoke, 10% are daily smokers and 3% occasional smokers (Government of Canada, 2017). Research suggests 50% of mothers who smoke daily pre-pregnancy continue to smoke during their pregnancy (Lange et al., 2018). Anxiety and smoking during pregnancy are prevalent maternal health issues that call for special attention.

Prenatal anxiety symptoms occur when a mother anticipates or believes there is a threat to the pregnancy's outcome (Bayrampour et al., 2016). Anxiety includes feelings of apprehension, worry, tension and nervousness (Spielberger, 2010). Factors that may contribute to maternal anxiety include financial struggles, healthcare related problems, lack of social support, fetal loss, labor, and a lack of resources to support caring for a newborn (Bayrampour et al., 2016). Elevated levels of anxiety can result in increased heart rate, shortness of breath, stomach pain, headaches, dizziness, chest pains, nausea, and fatigue (Bayrampour et al., 2016; Brockington et al., 2006; Kelly et al., 2001). The physiological stress that anxiety places on a mother's body has a direct impact on fetal development and well-being (Dennis et al., 2017;

Shahhosseini et al., 2015). When the mother's body is in a hyperactive state there is a restriction of uterine blood flow and an upsurge of stress hormones, crossing the placenta to the fetus (Van den Bergh et al., 2005). Consequently, maternal anxiety can result in abnormal fetal heart rate, fetal motor inactivity, pre-eclampsia, pre-term delivery, and a low birth weight (Dennis et al., 2017; Ross & McLean, 2006; Shahhosseini et al., 2015). Women who have been abused as a child, previously miscarried, lack social support, belong to an ethnic minority, and smoke are most at risk of experiencing anxiety during their pregnancy (Bayrampour et al., 2018; Tong et al., 2016). Expectant mothers who suffers from anxiety are 1.7 times more likely to smoke (Tong et al., 2016).

Cigarettes contain an addictive chemical, namely nicotine, that enters the body when smoked and provides relieve from feelings of anger and stress (Benowitz, 2010). Nicotine can prevent optimal fetal brain growth, alter gene patterning, cause newborn neurobehavioral problems, and negatively impact newborn affect responses (Froggatt et al., 2020; Roza et al., 2007). Cigarette toxins disrupt fetal blood and oxygen flow by 38%, placing the fetus under immense physical stress (Froggatt et al., 2020). The adverse effects associated with cigarette smoking during pregnancy can be fatal (Froggatt et al., 2020). Approximately one in four SUID cases and 5-7% of pre-term infant deaths are attributed to maternal smoking (Dietz et al., 2010). Miscarriages, stillbirths, low birth weight, and birth malformations (e.g., cryptorchidism, oral clefts, congenital heart defects) are other adverse outcomes associated with maternal smoking (Abraham et al., 2017; George et al., 2006; Lee & Lupo, 2013; Little et al., 2004; Yu et al., 2019; Zhang et al., 2017). Mothers who are uneducated, unemployed, unsupported, young (< 25 years old), live with a smoker, and nicotine dependent (smoke a minimum of 10 cigarettes a day) are more likely to smoke during pregnancy (Boucher & Konkle, 2016; Chung et al., 2010; Cui et al.,

2014; Powers et al., 2013). Previous studies have reported a strong association between childhood adversity and smoking during pregnancy (Blalock et al., 2011; Chung et al., 2010; Harville et al., 2010).

One antecedent risk factor of maternal mental illness and health-risk behaviours is adverse childhood experiences (ACEs) (Bayrampour et al., 2018; Chung et al., 2010; Currie et al., 2020; Currie & Tough, 2021; Racine et al., 2021; Racine et al., 2018; Racine et al., 2020). ACEs are defined as stressful childhood events that are uncontrollable, vary in severity, and occur in familial or other social environments (Kalmakis & Chandler, 2014). ACEs include physical, sexual, and emotional abuse, neglect (e.g., physical, and emotional), and household dysfunction (e.g., substance abuse in the home, divorced/separated parents, mental illness, or imprisonment of a household member) before 18 years of age (Felitti et al., 1998). Approximately one in two people have one or more ACEs (Felitti et al., 1998; Merrick et al., 2018). Multiple studies, including the seminal ACE study, have documented a dose-response association between ACE scores and adult physical health (e.g., diabetes, cancer, heart disease), mental health (e.g., depression, anxiety disorders, suicide), and health-risk behaviours (e.g., substance abuse, sedentary behaviour, high sugar consumption) (Felitti et al., 1998; Hughes et al., 2019; Kalmakis & Chandler, 2015). Links between ACEs, substance use, and mental illness have been well documented in the general population, but there is a paucity of research among perinatal populations (Biaggi et al., 2016; Hughes et al., 2017). A 2018 study among pregnant women documented a dose-response association between maternal ACE scores and anxiety scores (Arbuckle et al., 2018). Pregnant women who have  $\geq 3$  ACEs are 3.1 and 2.5 times more likely to experience anxiety and consume substances (e.g., smoke, alcohol, or illicit drugs) than

women who did not experience childhood adverse events, respectively (Arbuckle et al., 2018; Chung et al., 2010).

The association between ACEs and unfavourable health outcomes across a lifespan may seem deterministic in nature, however supportive relationships have been identified to serve as a protective factor against negative health effects linked to ACEs (Bellis et al., 2017; Cohen & Wills, 1985). More specifically, scientific evidence suggests that partner support can serve as an effect modifier for both maternal anxiety and smoking linked to ACEs (Cohen et al., 2016; Pilkington et al., 2015; Racine et al., 2019). Partner support could only be tested as a modifier in this thesis, if a significant association between maternal ACEs and anxiety or smoking existed (Szklo & Nieto, 2018). Testing for moderation could identify if there is a difference between supportive and unsupportive partners for symptoms of anxiety and smoking status linked to ACEs, and determine the relative difference (Szklo & Nieto, 2018). In the case of supportive partners, research suggest partner relationships to have a negative (*antagonistic*) effect by lowering maternal anxiety symptomology or smoking status associated with ACEs (Szklo & Nieto, 2018). On the contrary, unsupportive partners could have a positive (*synergistic*) effect on maternal anxiety or smoking, by increasing anxiety symptomology or the likelihood of a positive smoking status (Szklo & Nieto, 2018).

The goal of this thesis was to better understand the impact of ACEs and partner support on pregnancy outcomes, namely anxiety and smoking. Framed by a life course perspective, this thesis contributes to an emerging area of research that is focused on identifying life course factors that can prevent the cascading effect of maternal ACEs into future generations (Ben-Shlomo & Kuh, 2002). The life course perspective sheds light on how childhood adversity and

partner support during pregnancy may either function as a risk or protective factor on maternal anxiety or smoking.

## **Research questions**

In summary, this paper-based thesis examined the following research questions:

### Paper 1: Anxiety

- 1.1 To determine if there is a linear association between maternal ACEs and anxiety at 34-36 weeks' gestation.
- 1.2 If present, to examine if the association between maternal ACEs and anxiety is moderated by partner support.

### Paper 2: Smoking

- 2.1 To determine if there is an association between maternal ACEs and self-reported smoking at 34-36 weeks' gestation.
- 2.2 If present, to examine if the association between maternal ACEs and self-reported smoking is moderated by partner support.

## **1.2 METHODS**

### **Study design**

The secondary data analysis for this thesis used data from the *All Our Families (AOF)* study (McDonald et al., 2013; Tough et al., 2017). *AOF* is an on-going community-based longitudinal pregnancy cohort study. The purpose of the *AOF* study is to collect life course information on mother-child dyads and investigate the influence various risk and protective life course factors can have on infants, children and mothers health and well-being (Tough et al., 2017).

## **Sample**

Of 4,011 pregnant women eligible to participate, 83.8% (N=3,362) completed at least one questionnaire. Pregnant women were recruited (May 2008 to December 2010) through maternity clinics (14%), posters and word of mouth (17%), as well as the centralized lab in Calgary (69%) (Tough et al., 2017). To participate, women had to be at least 18 years old, less than 24 weeks pregnant, receiving prenatal care in Calgary, AB, and fluent in English. The *AOF* sample is representative of a pregnant population in a Canadian urban centre (Tough et al., 2017). The proportion of women from the *AOF* study who were 35 years and up, had post-secondary education, and foreign born were similar to nationwide proportions. Compared to nationwide numbers, a larger proportion of women in the *AOF* study had an annual household income above \$60,000 (82% compared to 56%) and were married (83% compared to 60%) (Tough et al., 2017).

## **Data collection**

The *AOF* study collected participant information at seven timepoints: before 25 weeks' gestation, 34-36 weeks' gestation, 4 months, 1, 2, 3, and 5 years post birth (Tough et al., 2017). The first, second and sixth data collection timepoints gathered maternal data that were used in this thesis (Tough et al., 2017). The first questionnaire collected socio-demographic data; the second questionnaire gathered data on maternal anxiety, maternal smoking, and partner support, and lastly the sixth questionnaire documented maternal ACE scores (Tough et al., 2017). For each timepoint, participants were asked to complete twenty-five minute mailed out questionnaires (Tough et al., 2017). Participants were asked to return questionnaires by regular mail, if data were missing or clarification of responses were necessary, the research team contacted the appropriate participant (Tough et al., 2017). If a questionnaire was not received

from a participant within three weeks, a reminder to complete the questionnaire was sent by e-mail and/or phone (Tough et al., 2017). The research team made multiple attempts to reach the participant until she was provided with the opportunity to have a repeat mail-out questionnaire or complete the questionnaire over the phone (Tough et al., 2017). To thank participants for completing questionnaires they were given library and grocery store gift cards (Tough et al., 2017). To prevent study attrition, participants were kept engaged and updated through congratulations cards on the birth of their baby and study newsletters were sent out semi-annually (Tough et al., 2017).

There was a decline in the response rate across data collection timepoints. The response rate for the first, second and sixth questionnaire were 99% (N=3,362), 94% (N=3,182), and 69% (N=1,994), respectively (Tough et al., 2017). Factors that contributed to study attrition included delays in funding and ethical approval processes, which resulted in some children surpassing the age range assigned to each follow-up questionnaire (Tough et al., 2017). Other documented reasons for study attrition included participant disinterest, lack of time, unsupportive partners, loss to follow-up, relocation outside of Calgary, and unfavourable study components (e.g., blood collections and linkage to medical records) (McDonald et al., 2013; Tough et al., 2017).

## **Measures**

**Outcome: maternal anxiety.** Spielberger's State Anxiety Inventory (SSAI) was used to measure anxiety symptoms during pregnancy. SSAI is a 20-item scale (~10 minutes to complete) that measures anxiety caused by specific circumstances and is commonly used in perinatal research to identify elevated levels of anxiety in pregnancy (Meades & Ayers, 2011). Questions are designed to capture *current* feelings of apprehension, tension, nervousness, worry and the activation of the autonomic nervous system; therefore, each question begins with "Right now, (in



this moment)...” (see Appendix 7.2). Participant responses were measured on a 4-point Likert-scale from “Not at all” to “Very much so”. Total SSAI scores ranged from 20 to 80. A standard cut-off score of 40 was used to dichotomize women with probable clinical levels of anxiety from those without (Spielberger, 2010). SSAI is a reliable tool that generally yields high internal consistency with Cronbach’s  $\alpha$  value of 0.91-0.93 (McDonald et al., 2013; Van Knippenberg et al., 1990).

**Outcome: maternal smoking.** Mothers were asked about their smoking behaviour at 34-36 weeks’ gestation: “*Once you knew you were pregnant, how many days per week have you smoked cigarettes (on average)?*” Response options ranged from less than 1 day to 7 days. Women who documented that they have quit smoking during their pregnancy at 34-36 weeks’ gestation were coded as 0 = ‘non-smokers’. Research among pregnant Canadian women found some under-reporting of maternal smoking status but their results suggest it is still a “fairly accurate” measure with sensitivity and specificity of 85% and 99%, respectively (Arbuckle et al., 2018).

**Exposure variable: adverse childhood experiences.** ACEs were measured using an adapted version of the original ACE checklist created by Felitti and colleagues (Felitti et al., 1998). Eleven questions were used to capture 8 categories of childhood adversity before the age of 18. The categories consist of emotional, physical, sexual abuse, domestic violence, imprisonment of a household member, divorced/separated parents, caregiver mental illness and/or substance abuse in the home (Felitti et al., 1998). Each category was considered as present or absent with a score of 0 or 1; the sum of all eight categories made up an ACE score (range 0 to 8) (Felitti et al., 1998). ACE data were gathered retrospectively at the sixth questionnaire, see Appendix 7.1 (Bellis et al., 2014; Felitti et al., 1998; Hughes et al., 2017; Racine et al., 2021).

Research shows that asking about ACEs in adulthood yield a low rate of false positives and is a reliable measure to use (Cronbach's  $\alpha = 0.88$ ) (Hardt & Rutter, 2004; Murphy et al., 2014).

**Effect modifier: partner support.** Various components of partner support were measured using the total score from three self-developed questions. 1.) "How satisfied are you with the social and/or emotional support you receive from your partner?" The four response options ranged from "very satisfied" to "very unsatisfied". 2.) "Does your partner support you in making healthy pregnancy choices?" 3.) "Does your partner provide you with practical support?" Response options for the last two questions ranged from "none of the time" to "all of the time" on a 5-point Likert scale. Responses from the first question was recoded to match the scoring of the other two questions. The scores from each question were totaled (range 3 to 14). Partner support during pregnancy lacks rigor as a construct and measure; researchers elusively define partner support and use disparate indicators to measure it (Pilkington et al., 2015). Researchers have used one question or a combination of questions to measure partner support (Ghosh et al., 2010; Racine et al., 2019; Rini et al., 2006); where others have used validated scales or adapted standardized scales (e.g., *Relationship Questionnaire*, *Social Support Effectiveness Scale*, *Norbeck Social Support Questionnaire* and *Postpartum Partner Support Scale*) (Cheng et al., 2016; Cohen et al., 2016; Pilkington et al., 2015; Stapleton et al., 2012).

**Covariates.** The following covariates were considered for each research question: maternal age, ethnicity, education, income, and pregnancy intent. Maternal age was calculated from mother's birth date reported. Ethnicity was measured by asking mothers how they would describe their ethnic background. Response options included: White/Caucasian, Black/African North American, First Nations registered, First Nations not registered, Inuit, Metis, Chinese, South Asian, Filipino, Latin American, Southeast Asian, Arab, West Asian, Korean, Japanese,

and mixed/other. Mothers were also asked to report the highest level of education they have completed. Response options included “some elementary or high school”; “graduated high school, some college, trade, university”; “graduated college, trade, university”; “some graduate school”; and “completed graduate school”. Maternal income was measured by asking mothers to report their “*total income, before taxes and deductions, of all household members from all sources in the past 12 months*”. Response options ranged from “less than \$10,000” to “more than \$100,000”. Pregnancy intent was documented by asking mothers “when you became pregnant were you trying to get pregnant?” with a yes or no response.

## **Ethics**

An application was submitted for ethics approval from the University of Lethbridge Ethics Board, for the purpose of conducting a secondary analysis of the data. Access to the *AOF* study were obtained through an application submitted to Policy Wise. All participants included in the *AOF* study provided written and informed consent to participate and to have their data used for secondary data analysis (Tough et al., 2017). The *AOF* study was approved by the Conjoint Health Research Ethics Board at the University of Calgary (Ethics ID 20821 and 22821) (Tough et al., 2017).

## **1.3 DATA ANALYSIS STRATEGY**

### **Sample characteristics**

To report the characteristics of the sample, mean/standard deviations and frequencies were run for maternal age, ethnicity, education, income, pregnancy intent, mean ACE score, maternal anxiety score (presence and absence of probable clinical anxiety), maternal smoking status (smoker and non-smoker) and partner support (supportive and unsupportive). IBM SPSS

26 was used to run all statistical analyses (IBM Corporation, 2016). The listwise method was used to address any missing data.

### **Research objective 1.1**

In determining whether an association exists between maternal ACE scores and anxiety (SSAI) scores, the linearity of the unadjusted association between ACE scores and anxiety (SSAI) scores were assessed using scatterplots with best-fit regression lines and locally weighted scatterplot smoother (LOWESS) curves. Next, the association between maternal ACE scores and anxiety (SSAI) scores was tested in a linear regression model, unadjusted and covariate adjusted.

### **Research objective 1.2**

Partner support could only be tested as a moderator for the ACEs-anxiety association if the association was statically significant. To determine whether partner support could have moderated the association, I would have started with a LOWESS curve stratified by partner support (supportive vs. unsupportive). If the lines on both curves were the same, it would have been an indication that effect modification was not taking place. If the lines diverged or crossed, it would have been suggestive of an interaction. If the lines diverged a multiplicative term (ACE score x partner support) between ACEs scores and partner support would have been created and included in the linear regression model to test for significance ( $p < 0.05$ ). If the multiplicative term showed significance, a linear regression model stratified by supportive and unsupportive partners would have been needed to assess the significance of heterogeneity between the two groups. Statistical significance in the relative difference would have confirmed a multiplicative interaction taking place.

### **Research question 2.1**

To examine whether the association between maternal ACE scores and smoking status existed, first a new variable splitting ACE scores into terciles was created. This resulted in a final 3-category ACE variable (0 = 0, 1 = 1-3, 2 =  $\geq 4$ ). Next, the variable that measured smoking behaviour was recoded into a binary smoking variable (0 = did not smoke in pregnancy, 1 = smoked in pregnancy). After these variables were created, the association between maternal ACE scores and smoking status in pregnancy was tested using a binary logistic regression model, unadjusted and adjusted for covariates. Only women who were at risk for smoking in pregnancy were included in the analysis, subsequently women who did not smoke a cigarette in the 12 months before their pregnancy were excluded.

## **Research question 2.2**

To investigate whether partner support moderates the association between maternal ACE score and smoking status, the association had to be statistically significant. If the main association showed statistical significance, a multiplicative term between each dummy coded maternal ACE score category (0, 1-3,  $\geq 4$ ) and partner support would have been created. The multiplicative terms would have been included in the logistic regression model as a way to formally test the difference between logistic coefficients (Jaccard, 2001). Significance of a multiplicative term would have been suggestive of moderation taking place. Next the main regression model would have been stratified by partner support if a multiplicative term showed statistical significance ( $p < 0.05$ ). To illustrate the heterogeneity of effects of the focal association between the two groups of partner support, the main regression model would have been stratified by partner support (Jaccard, 2001).

## **Covariates**

A series of covariates were considered before including in any regression models. These covariates include age, ethnicity, education, income, and pregnancy intent. Each covariate was individually tested in an unadjusted regression model against the outcome variable. Variables that yielded a significance level  $> 0.20$  were retained as a covariate (Bursac et al., 2008). Purposefully, gestational age was not controlled for. Studies on maternal or perinatal health often control for gestational age but in many cases gestational age is an intermediate variable and may introduce bias (Ananth & Schisterman, 2017). Controlling for intermediate variables can produce study findings that underestimate the true impact of ACEs on later-life-health outcomes (Ananth & Schisterman, 2017; Szklo & Nieto, 2018). Caution was exercised to prevent the over adjustment of models (Szklo & Nieto, 2018).

#### **1.4 RESULTS AND SIGNIFICANCE OF RESEARCH**

Maternal anxiety and smoking can lead to life-long morbidity and mortality for both mother and fetus (Anderson et al., 2019; Cnattingius, 2004; Littleton et al., 2007; Ross & McLean, 2006; Zhang et al., 2017). Based on previous research, the associations between ACEs, and maternal anxiety and smoking were expected to be significant (Bayrampour et al., 2018; Choi & Sikkema, 2016; Chung et al., 2010; Racine et al., 2021). Contrariwise to what was hypothesized, the findings for this thesis were non-significant for both paper 1 and 2. These findings suggest that there could be other health-protective factors (e.g., socio-economic status) across a life course that can ameliorate the negative effects associated with ACEs. These non-significant findings shed light on the circumstances under which the association between ACEs and pregnancy outcomes (anxiety and smoking) are most likely to be significant. These findings may help inform health professionals on who is most at risk and in need of maternal health programs.

## **Strengths**

During the planning and execution of the *AOF* study multiple stakeholders, such as researchers, healthcare providers, epidemiologists, decision makers and community program experts were consulted for their expertise (Tough et al., 2017). Standardized measures were used; when standardized measures were not available, experts were involved in creating questions to measure a specific construct (Tough et al., 2017). Each variable of interest to the research topic was measured using a reputable, valid and/or standardized tool, except for partner support and smoking status. Even though the study is cross-sectional in nature, ACEs pre-dates maternal health outcomes and behaviours, thus determining temporal sequence.

## **Limitations**

The *AOF* sample is representative of a pregnant population in a large metropolitan city in Canada. Given the sample consists of mothers from a higher income and education level, the generalizability of this thesis' findings are limited to pregnant women of higher socioeconomic status (Tough et al., 2017). There may have been an overrepresentation of anxious mothers given the way questions are phrased in the SSAI (Meades & Ayers, 2011). Self-reported data on unfavourable health behaviours are known to be associated with greater social desirability bias and underreporting; thus, it is assumed that smoking is underreported (Arbuckle et al., 2018). Moreover, mothers with unsupportive partners may be underrepresented, since unsupportive partners were a reason for study attrition. Partner support could have been measured more rigorously by adapting a standardized scale used for social support (Pilkington et al., 2015). Lastly, this thesis used prevalence data; subsequently, additive interactions were not investigated (Szklo & Nieto, 2018).

## **1.5 THESIS STRUCTURE**

## **Thesis chapters**

This paper-based thesis consists of two papers. Each paper explores two different pregnancy outcome variables: anxiety and smoking. ACEs and partner support served as exposure variables for both papers. The content of each chapter is as follow: Chapter 1 is an introduction and overview of the thesis. Chapter 2 provides a narrative review of relevant literature. Chapter 3 discusses the association between mothers with ACEs and anxiety and the impact of partner support. Chapter 4 explains the findings for the association between mothers with ACEs, smoking, and the potential influence of partner support on this association. Since both chapters 3 and 4 includes an introduction, methods, results, and discussion sections, each chapter can be published as two separate papers. Chapter 5 provides an overall discussion of the main findings of this thesis.



## **CHAPTER 2: REVIEW OF THE LITERATURE**

### **2.1 INTRODUCTION**

Decades of research have consistently found the sequelae of childhood adversity (e.g., abuse, neglect, and household dysfunction) to persist into adulthood (Felitti et al., 1998). Researchers have found latent factors that stem from childhood to either re-emerge or manifest physiologically, behaviourally, or psychologically during pregnancy (McDonald et al., 2019; Olsen, 2018; Racine et al., 2018). Psychological challenges and emotional dysregulation may be exacerbated for pregnant women, given pregnancy is a period of significant change (Davis & Narayan, 2020; Gilmore et al., 2018; Li et al., 2020). Psychological challenges and emotional dysregulation may place pregnant women at greater risk for mental illness and continued substance use (Fillo et al., 2019; Li et al., 2020; Scheffers-van Schayck et al., 2019; Strine et al., 2012). Understanding the pathways in which early life adversity impacts mental health and substance use in pregnancy is a growing area of research. Framed by a life course epidemiological perspective, this thesis will explore the impact of ACEs on anxiety and smoking in pregnancy, and the role of partner support as a moderator.

### **2.2 LIFE COURSE PERSPECTIVE AND CHILDHOOD ADVERSITY**

The life course perspective is an interdisciplinary framework that focuses on understanding how early-life experiences can influence health outcomes over a life course and potentially across generations. This framework incorporates the concept of embodied experiences, which explains how biological pathways link childhood adversity to the development of health-risk behaviours and disease later in life (Ben-Shlomo & Kuh, 2002). Periods of significant growth (i.e., childhood, pregnancy) that are key to human development are considered sensitive periods. These periods are considered sensitive due to increased

vulnerability and likelihood of adverse events to impact human development; subsequently the negative effects associated with specific life events can be greater relative to non-sensitive periods (Kuh et al., 2003). Attention is also drawn to the impact of contextual factors (e.g., psychological, sociological, biological, economic, or demographic factors) in shaping health outcomes, future decisions, and experiences. A series of adverse experiences are referred to as chains of risk, which repeatedly put one's health at risk (Ben-Shlomo & Kuh, 2002; Carr et al., 2013; Felitti et al., 1998; Felitti et al., 2019; Halfon & Hochstein, 2002; Rosenman & Rodgers, 2004). Some chains of risk originate from childhood, for example one event of childhood abuse or neglect drastically increases the chances of another taking place (Dube et al., 2001). The life course perspective also recognizes the ability of protective life course factors, such as social support, to interrupt chains of risk and circumvent deleterious effects linked to adversity (Kuh et al., 2003). Research has shown supportive relationships to promote well-being and function as a source of resilience (Bellis et al., 2017; Cohen & Wills, 1985; Sperry & Widom, 2013). Altogether, this framework considers the cumulative and interactive effect of all life course factors, from childhood to adulthood, in shaping health outcomes across a lifespan (Ben-Shlomo & Kuh, 2002).

### **2.3 ADVERSE CHILDHOOD EXPERIENCES**

#### **Defining adverse childhood experiences**

ACEs are common and affect individuals across the socioeconomic spectrum. In a large national study (N = 214,157) with data collected from 2011-2014, 61.6% of the sample reported at least 1 ACE and 24.6% reported 3 or more ACEs (Merrick et al., 2018). Research shows individuals from disadvantage backgrounds to be at greater risk of experiencing ACEs (Merrick et al., 2018). Prevalence rates of ACEs are significantly higher among participants who identified

as non-Caucasian, having less than high school education and with an income below \$15,000 per annum compared to those who identified as Caucasian, completing high school or more education, and those in higher income brackets (Merrick et al., 2018).

ACEs are described as traumatic experiences before 18 years of age (Felitti et al., 1998). ACEs include three categories of childhood adversity: (1) abuse (sexual, physical, and verbal), (2) neglect (emotional and physical), and (3) household dysfunction (domestic violence, substance misuse, imprisonment, separated/divorced parents, or mental illness of a household member) (Felitti et al., 1998). These events are often uncontrollable, vary in severity, and occur in a child's family or social environment and can cause severe distress that is destructive to the psychological health and development of a child (Kalmakis & Chandler, 2014). Various terms are used interchangeably with ACEs, a term coined by Felitti and colleagues in 1998 (Felitti et al., 1998). Other terms commonly used include childhood maltreatment, misfortune, trauma, and abuse. Childhood maltreatment, trauma and misfortune are terms that broadly pertain to all forms of child abuse (e.g., physical, emotional, and sexual), and neglect (physical and psychological) (Kalmakis & Chandler, 2014). To describe childhood adversity, ACEs is a more inclusive term, since it also considers familial and social circumstances (e.g., household dysfunction) that can be harmful to a child's development (Chung et al., 2010; Kalmakis & Chandler, 2014). It is possible for ACEs to be a single traumatic experience, but more often than not it is the chronic exposure to re-occurring adversity (Kalmakis & Chandler, 2014). Studies have found ACEs to be associated with future violence, victimization, health-risk behaviours, chronic illnesses, mental illness, and premature mortality (Felitti et al., 1998; Gilbert et al., 2015; Metzler et al., 2017).

## **Impact of ACEs on childhood development**

Childhood is a sensitive period of human development where the nervous, endocrine and immune systems undergo significant changes (Giedd & Rapoport, 2010). Given secure, supportive, and nurturing environments are essential for proper childhood development, the release of stress hormones triggered by ACEs can be harmful (Kalmakis & Chandler, 2014; Shonkoff & Garner, 2012). When faced with a situation that threatens one's sense of security, the release of stress hormones is innate and an involuntary response. Prolonged periods of distress can result in chronic and elevated levels of stress, which can have lasting harmful effects (Shonkoff & Garner, 2012). Studies have found ACEs linked to altered brain architecture, circuitry patterns and dysregulated physiological processes, such as hyperactivity of the hypothalamic-pituitary-adrenal (HPA) axis (Shonkoff & Garner, 2012). Hyperactivity of the HPA-axis can impair the ability to make decisions, problem solve, store memories, and regulate emotions (De Bellis & Zisk, 2014; Shonkoff & Garner, 2012). Research has linked inflammatory biomarkers and the shortening of telomeres to childhood adversity, both of which are precursors for the development of chronic illnesses (e.g., cancer, cardiovascular disease, and respiratory disease), mental illness, early life mortality (Bellis et al., 2019).

## **Anxiety linked to ACEs**

A body of high-quality evidence linked ACEs to a greater risk of developing mental illness and psychosocial problems later in life (Berens et al., 2017; Edwards et al., 2003; Norman et al., 2012; Shonkoff & Garner, 2012). It is estimated that a third of anxiety disorders in adulthood are attributed by childhood adversity (Green et al., 2010; Kessler et al., 2010). According to a meta-analysis of longitudinal cohort studies individuals with externally documented records of childhood maltreatment were more likely to struggle with depression and

anxiety during adulthood (Li et al., 2016). ACEs can significantly increase a person's risk of developing anxiety by 2-fold (McLaughlin et al., 2010). The uncontrollable nature of adverse childhood events may result in elevated levels of stress, fear, and anxiety over the anticipation of future adversity (Gratz & Roemer, 2004; Marackova et al., 2016). Evidence shows that individuals with childhood adversity have difficulty regulating their emotions, which may be explained by the hyperactivation of the HPA-axis and the inability of the hippocampus to properly function (e.g., control mood) (Gratz & Roemer, 2004; Poole et al., 2017; Shonkoff & Garner, 2012). Given constant worry, fear and avoidance can be debilitating, chronic and high levels of anxiety can significantly compromise a person's quality of life (Löwe et al., 2008; Roy-Byrne et al., 2008; Wittchen et al., 2002). Harmful behaviours, such as smoking and drinking, often serves as a means of coping with negative mood states (Kassel et al., 2003). Women with anxiety are significantly more likely to report pre-pregnancy and prenatal substance misuse (e.g., smoking) relative to mothers with no anxiety (Tong et al., 2016).

### **Smoking linked to ACEs**

According to a systematic review and meta-analysis, illicit drug use was the most prevalent (41%) health-risk behavior attributed to ACEs in North America, followed by harmful alcohol use (27.9%) and smoking (23.7%) (Bellis et al., 2014). There is a strong association between childhood adversity and cigarette smoking (Alcalá et al., 2016; Elliott et al., 2016; Norman et al., 2012). Strong body of evidence shows a graded association between ACEs and early smoking initiation, smoking consumption, and continued smoking across birth cohorts (Acierno et al., 1996; Anda et al., 1999; Dube et al., 2003; Edwards et al., 2007; Nichols & Harlow, 2004). Studies affirm individuals who have experienced childhood adversity to have heightened reactivity responses to stress and are more likely to use maladaptive coping

mechanisms (e.g., substance use) for short-term relief from negative affect (Larkin et al., 2014; Shonkoff & Garner, 2012). Several mediators that could be a part of the causal pathway between ACEs and substance use (e.g., smoking, alcohol use) have been identified by researchers. Potential mediators include psychological distress, education, income, and social support (Douglas et al., 2010; Lin & Chiao, 2021; Strine et al., 2012; Young-Wolff et al., 2019). When smoking a cigarette, nicotine is inhaled releasing neurotransmitters (e.g., dopamine, hypocretins and neuropeptides) that affect the reward centres in the brain (Benowitz, 2010). It's addictive properties (e.g., nicotine and other chemicals) can lead to nicotine dependency (Doubeni et al., 2010). Thus, occasional smokers are at a 10-fold risk of becoming nicotine dependent (Doubeni et al., 2010). Smoking a cigarette can improve concentration, performance of specific tasks, mood and reduce feelings of stress, angst, and anxiety (Benowitz, 2010).

## **2.4 MATERNAL ANXIETY**

### **Risk factors of maternal anxiety**

Nearly a quarter (23%) of pregnant women experience symptoms of anxiety and 15% are diagnosed with an anxiety disorder (Bayrampour et al., 2018). There are multiple life course factors that can contribute to the development of anxiety in pregnancy. Risk factors of anxiety in pregnancy include income, employment, education, social support, and daily stressors (Shahhosseini et al., 2015). Additionally, studies have identified childhood adversity to increase the likelihood of anxiety in pregnancy (Bayrampour et al., 2018; Racine et al., 2021).

Psychological and physiological mechanisms have been found to link the pathway between ACEs and anxiety later in life (Poole et al., 2017; Young-Wolff et al., 2019). Young-Wolff (2019) found a dose-response association between maternal ACE scores and anxiety during pregnancy; women who had  $\geq 3$  ACEs were 3.1 times more likely to experience anxiety (Young-

Wolff et al., 2019). In line with these results, a recent systematic review and meta-analysis reported a dose-response association between ACE scores and anxiety symptoms in pregnancy (Racine et al., 2021). Varying results on the strength of correlation between ACEs and anxiety in pregnancy were found by Racine and colleagues, thus signifying that there may be other moderating variables at play (Racine et al., 2021).

### **Anxiety in pregnancy**

Anxiety is an autonomic body response and is characterized by shallower and faster breathing (Kreibig, 2010). Anxiety includes feelings of apprehension, worry, tension and nervousness (Spielberger, 2010). Largely worry and anxiety during pregnancy are caused by fears surrounding fetal and maternal illnesses, lack of social and financial support and mortality (Bayrampour et al., 2016). Anxiety in pregnancy that occurs intermittently and is short lived is considered normal. In more severe cases, anxiety can interfere with daily life (e.g., responsibilities, relationships, and self-care) (Bayrampour et al., 2016). Anxious mothers may be prone to more nausea, vomiting, pre-eclampsia and/or early labor (Dennis et al., 2017; Ross & McLean, 2006; Young-Wolff et al., 2019). Women who express fear of losing control over the outcome of childbirth are more likely to opt for a caesarean delivery – caesarean deliveries can provide a sense of control and security over the outcome of childbirth (Bayrampour et al., 2016). Anxiety during pregnancy can have powerful long-term effects on both mother and infant (McEwen et al., 2012).

### **Prenatal risks**

Elevated anxiety during pregnancy can result in an increase of stress hormones (e.g., cortisol and catecholamines), which have been identified as a plausible biological mechanism responsible for affecting fetal development and well-being (Giesbrecht et al., 2013). High levels

of anxiety can result in a greater risk of miscarriage, pre-term delivery, and low fetus birth weight (Bayrampour et al., 2018; Ding et al., 2014; Shahhosseini et al., 2015). High maternal anxiety and cortisol levels may lead to decreased blood flow to the fetus, and thus decreased supply of oxygen and nutrients necessary for the development of fetal organs (Young-Wolff et al., 2019). A lack of blood flow and increased cortisol levels can impair the rate of fetal brain growth for mothers who had moderate to severe levels of anxiety during pregnancy (Shahhosseini et al., 2015).

## **2.5 MATERNAL SMOKING**

### **Risk factors of smoking during pregnancy**

Almost 10% of Canadian women are daily smokers, of which half continue to smoke in pregnancy (Government of Canada, 2017; Lange et al., 2018). Higher rates of smoking during pregnancy have been reported among women who are younger than 25, uneducated, unemployed, nicotine dependent (smoke a minimum of 10 cigarettes a day), socially unsupported, and live with a smoker (Boucher & Konkle, 2016; Chamberlain et al., 2017; Chung et al., 2010; Cui et al., 2014; Powers et al., 2013; Public Health Agency of Canada, 2009). Mothers who have experienced childhood adversity are also more likely to continue smoking during their pregnancy (Hughes et al., 2019; Lange et al., 2018). Two studies with large samples found a dose-response association between childhood adversity and greater risk for smoking during pregnancy (Blalock et al., 2011; Chung et al., 2010). A recent study identified education, previous substance use, and depression as mediators explaining the link between ACEs and substance use (including smoking) in pregnancy (Racine et al., 2021). The authors postulated that childhood adversity can lead to socioeconomic hardship and mental health struggles, both of which can increase the risk of substance use in pregnancy (Racine et al., 2021).



## **Smoking in pregnancy**

To cope with stress maternal childhood adversity may increase the risk for maladaptive coping behaviours such as alcohol use, illicit drugs, or smoking in pregnancy (Campbell et al., 2016; Currie et al., 2020; Currie & Tough, 2021; Racine et al., 2020). Mothers who were nicotine dependent pre-pregnancy may find it more difficult to quit or cut down on the number of cigarettes smoked during pregnancy compared to mothers who may have occasionally smoked but were not nicotine dependent (Boucher & Konkle, 2016). In a recent qualitative study pregnant mothers reported that smoking provided a sense of control over their lives and alleviated feelings of stress linked to living with multiple disadvantages, such as substance use, mental illness, poverty, history of violence, abuse, and trauma (Martinez Leal et al., 2021). Despite challenges and barriers mothers may face in trying to quit smoking, pregnancy status has been shown to be a strong motivating factor for mothers to quit for the sake of their baby (Breunis et al., 2019; Xu et al., 2013). Smith et al. (2016) found maternal smoking to be a significant mediating factor between ACEs and poor fetal birth outcomes (Smith et al., 2016).

## **Prenatal risks**

It is well known that smoking is a health-risk behaviour that is harmful to both the mother and fetus. Nicotine, tar, and carbon monoxide from a cigarette directly effects the fetus by crossing the placenta and impeding blood-oxygen flow, subsequently placing the fetus under stress. This can result in gene patterning modifications, reduced brain growth and fetal death (Froggatt et al., 2020; McDonnell & Regan, 2019; Roza et al., 2007). The morbidity and mortality related to nicotine exposure during pregnancy is substantial, with 38% and 31% of still births and infant deaths attributed to tobacco use, respectively (Boucher & Konkle, 2016). A 2015 meta-analysis ascertained that there is a graded association between maternal smoking and

risk of still birth (Marufu et al., 2015). Other adverse fetal health outcomes linked to nicotine consumption during pregnancy include miscarriage, pre-term delivery, developmental disruptions, and SUID (Anderson et al., 2019; Boucher & Konkle, 2016; Marufu et al., 2015). The risk of SUID increases 2.4 (CI 2.31-2.57) times when a pregnant mother decides to smoke (Anderson et al., 2019). The likelihood of SUID consistently increases with each cigarette smoked daily but reaches a plateau at 20 or more cigarettes (Anderson et al., 2019). The likelihood of adverse health outcomes correlated with smoking during pregnancy decreases when mothers smoke less or quit smoking entirely (Abraham et al., 2017; Anderson et al., 2019). For infants, long-term adverse effects linked to maternal smoking include respiratory illness, neurodevelopmental and behavioural problems (Public Health Agency of Canada, 2009). Whether mothers continue to smoke during pregnancy or not is strongly influenced by one of their closest relationships (Tsvetkova et al., 2018).

## **2.5 MATERNAL PARTNER SUPPORT**

### **Defining partner support during pregnancy**

Supportive relationships have been shown to mitigate adverse health outcomes and promote emotional and mental well-being (Bellis et al., 2017; Cohen et al., 2016). The level of support provided by a partner may be different from that of family and friends, since a partner relationship typically involves increased physical and emotional closeness that is not found in other relationships (Morse et al., 2000; Ross et al., 2017). Emotional closeness described as a sense of belonging, affections and commitment to the relationship (Pilkington et al., 2015). In a longitudinal study comparing the degree of support received from a partner, family member and friend, the greatest level of support during pregnancy came from a partner (Morse et al., 2000). Thus, partner relationships can be highly influential (Morse et al., 2000). The ideal partner

during pregnancy has been described as present, available, understanding, and prepared to provide emotional, physical, and financial support. Mothers have also accentuated “togetherness” during pregnancy as an important aspect of feeling supported. “Togetherness” has been described to provide mothers with a sense of security and shared responsibility in having a child together (Alio et al., 2013). Studies show relationship dynamics to influence maternal biological and psychological processes, thus having the potential to impact maternal mental well-being and health behaviours (Flemming et al., 2015; Rini et al., 2006; Stapleton et al., 2012; Thompson et al., 2011; Yim et al., 2015). Research has found women in supportive relationships more likely to have higher levels of psychological well-being, practice health promoting behaviours, and experience healthier pregnancies relative to women in unsupportive relationships (Appleton et al., 2019; Ghosh et al., 2010; Lobel et al., 2008; Racine et al., 2019; Rini et al., 2006)

### **Impact of partner support on negative effects linked to ACEs**

Research shows feeling socially supported across the life course to buffer the harmful effects of ACEs on mental health and health behaviours (Cohen & Wills, 1985; Sperry & Widom, 2013). Support can improve psychological dispositions and stress appraisals during pregnancy, a time of drastic change and substantial challenges for some (Cohen & Wills, 1985; Thoits, 2011). Two longitudinal studies, one by Racine et al. (2018) and the other by Appleton et al. (2019), have found social support to protect against the adverse effects of maternal ACEs on antepartum risk and infant birth outcomes, respectively (Appleton et al., 2019; Racine et al., 2018). Research suggests that supportive partner relationships can be impactful in protecting against mental illness and substance use in pregnancy, but more research is needed to determine

whether the adverse effects associated with childhood adversity can be moderated (Appleton et al., 2019; Cohen et al., 2016; Jaffee et al., 2017; Pilkington et al., 2015).

### **Partner support and maternal anxiety**

Supportive partners have the potential to lessen the burden of stress on mothers, which in turn protects against symptoms of anxiety (Ghosh et al., 2010; Rini et al., 2006). Given mothers with a history of ACEs are more likely to suffer from emotional and HPA-axis dysregulation, supportive relationships can help women regulate their emotions when faced with adversity, drastic change or unexpected events (Cicchetti, 2013). In particular, a longitudinal study found mothers with high levels support from a partner to report fewer anxiety symptoms in pregnancy, compared to women in partner relationship with little to no support (Rini et al., 2006). Partner support can protect against anxiety symptoms; however, unsatisfactory support may contribute to maternal stress levels, and subsequently increase anxiety levels (Bayrampour et al., 2018; Figueiredo et al., 2008; Nasreen et al., 2011) Bayrampour et al. (2016) found low social support and a history of abuse were associated with chronic levels of anxiety during pregnancy. The impact of partner support on anxiety during pregnancy is understudied comparative to depression (Pilkington et al., 2015). In cases where pregnant women are not supported by their partners, they may turn to unhealthy coping mechanism, including health-risk behaviours such as smoking (Tong et al., 2016). Pregnant women struggling with anxiety symptoms are more likely to smoke as a way to cope (Tong et al., 2016).

### **The influence of partner support and maternal smoking behavior**

The literature suggests supportive partners may be the most influential factor in smoking cessation for pregnant women (Boucher & Konkle, 2016). Cohen et al. (2016) found that 2.6% of women with partners smoked during pregnancy compared to 12.2% without partners.

Similarly, women with involved partners were more likely to receive early prenatal care and were able to reduce their cigarette consumption by 36% relative to mothers without involved partners (Martin et al., 2007). Fergie et al. (2019) found a supportive partner to increase an expectant mother's likelihood of smoking cessation, and unsupportive partners to be a difficult barrier to overcome. Unsupportive partners may leave cigarettes easily accessible and continue to smoke in the home, compared to supportive partners who may help establish a smoke-free home and encourage mothers to find an alternative to smoking (Fergie et al., 2019). Partners who decide to also quit smoking is perceived as supportive by mothers and may provide a level of companionship that can be instrumental for a mother's success in smoking cessation (Gage et al., 2007; McBride et al., 2004). Pregnant women who had partners who also quit smoking were six times more likely to be successful in smoking cessation, in comparison to mothers with partners who continued to smoke (Gage et al., 2007).

## **2.6 CONCLUSION**

A mounting body of evidence has established that ACEs place a woman's health and well-being at risk across a lifespan (Felitti et al., 1998; Hughes et al., 2019; Kalmakis & Chandler, 2014). Women who have been exposed to ACEs are more likely to suffer from anxiety and smoking (Felitti et al., 1998; Hughes et al., 2019; Kalmakis & Chandler, 2014). Research suggests this association to also exists among perinatal populations (Appleton et al., 2019; Blalock et al., 2011; Chung et al., 2010; McDonald et al., 2019; Racine et al., 2019). Studies have identified social support as a protective life course factor and suggests that partner support may act as a buffer against anxiety and smoking among pregnant mothers with a history of ACEs (Appleton et al., 2019; Racine et al., 2018). To my knowledge, the impact of ACEs and partner relationships on anxiety or smoking during pregnancy is yet to be examined in a single study.

This thesis builds on existing knowledge of the impact of ACEs on pregnancy outcomes in a sample of women recruited from a city in Alberta, Canada. Identifying social factors that can prevent the cascading effects of maternal ACEs is warranted and may serve to identify areas for intervention.

## **CHAPTER 3: EXPLORING THE IMPACT OF CHILDHOOD ADVERSITY ON MATERNAL ANXIETY**

### **3.1 INTRODUCTION**

Maternal anxiety is a widespread problem with one in four (23%) pregnant women affected; this is of particular concern because it puts the health of both mother and fetus at risk (Bayrampour et al., 2018). Anxiety can be harmful as it results in an increase of stress hormones in pregnant women, which can indirectly impact the developing fetus (Van den Bergh et al., 2005). Elevated levels of maternal stress hormones can reduce the blood flow to the fetus – restricting oxygen and nutrients supply required for proper fetal growth and development (Shahhosseini et al., 2015; Van den Bergh et al., 2005). Adverse outcomes associated with anxiety during pregnancy are abnormal fetal heart rate, motor inactivity, growth restrictions, low birth weight, pre-eclampsia, and pre-term delivery (Dennis et al., 2017; Lewis et al., 2016; Ross et al., 2020; Shahhosseini et al., 2015; Skouteris et al., 2009). For these reasons, it is highly pertinent to study anxiety among pregnant women.

Anxiety is described as an autonomic response of emotions to a stressor and includes feelings of apprehension, worry, tension and nervousness (Spielberger, 2010). Physiological responses to anxiety include increased heart rate, shortness of breath, stomach pain, headaches, dizziness, chest pains, nausea, and fatigue (Andersson et al., 2012; Brockington et al., 2006; Kelly et al., 2001). Women who suffer from anxiety may also feel emotionally detached, insecure, irritable, short tempered, suicidal, panicked and fear the unknown associated with the trajectory their pregnancy may take (Bayrampour et al., 2016; Bayrampour et al., 2015). Women who express fear of the unknowns related to pregnancy and childbirth are more likely to opt for a

caesarean delivery to feel a sense of control over the outcome of childbirth (Bayrampour et al., 2016; Dennis et al., 2017).

Feelings of anxiety tend to be temporary and may fluctuate in intensity over the course of pregnancy (Spielberger, 2010). While occasional and short-lived anxiety is considered normal and manageable, chronic anxiety may require intervention as it can interfere with everyday life including relationships, responsibilities, self-care, and problems sleeping (Bayrampour et al., 2016). Life course factors that may trigger or worsen chronic anxiety for pregnant women include financial difficulties, inadequate access to health care, and a lack of social and partner support (Bayrampour et al., 2016). Pre-pregnancy factors that may predispose women to experience anxiety during pregnancy include a history of mental illness, unsuccessful pregnancies, and childhood abuse (Bayrampour et al., 2018).

ACEs may confer a risk of developing prenatal anxiety (Bayrampour et al., 2018; Choi & Sikkema, 2016; Racine et al., 2021). ACEs are described as traumatic experiences that happen before 18 years of age and include events of abuse, neglect, and household dysfunction (Felitti et al., 1998). A child may experience elevated levels of stress depending on the severity and intensity of these events. When these traumatic events are recurring or prolonged, they can have damaging effects on a child's developing biological body systems (e.g., nervous, endocrine, and immune systems) (Danese et al., 2009). ACEs have been associated with altered brain structure, circuitry patterns and dysregulated physiological processes, thus increasing the vulnerability of mental illness and chronic diseases across a lifespan (Shonkoff & Garner, 2012).

As a by-product of ACEs, emotional dysregulation is characterized by difficulties in recognizing and processing emotions, and responding to emotional experiences (Gratz & Roemer, 2004). Family contexts that are unpredictable, abusive and neglectful are less equipped



to provide safe and secure environments, which are essential components for emotional regulation to be reached (Mennin et al., 2002). A model by Hofmann and colleagues suggests that emotional dysregulation lies at the core of mood and anxiety disorders (Hofmann et al., 2012). Despite this, not everyone who have had early life adversities develop anxiety disorders during adulthood (Appleton et al., 2019; Racine et al., 2020).

Supportive social networks have shown great beneficial effects on physical and psychosocial well-being (Ghosh et al., 2010; Lobel et al., 2008; Racine et al., 2019; Rini et al., 2006). Scientific evidence has identified supportive relationships to be a protective factor against poor health outcomes linked to ACEs (Appleton et al., 2019; Racine et al., 2020). Relative to support received from social networks, there is less known about the role partner support plays on the association between ACEs and poor health outcomes (Appleton et al., 2019; Bellis et al., 2017; Cohen & Wills, 1985; Jaffee et al., 2017). The level and type of support found in partner relationships may be different from that of family and friends (Morse et al., 2000; Ross et al., 2020). In a longitudinal study the greatest level of support during pregnancy came from a partner when compared to other relationships (Morse et al., 2000). This demonstrates that partner relationships can be instrumental in providing maternal support during pregnancy. Supportive relationships provide women with emotional connection, stability, and practical support, all of which have shown to improve emotional regulation following ACEs (Butler & Randall, 2012; Pilkington et al., 2015). Physiologically, supportive relationships enhance the ability to adapt to stressors and increase psychosocial resilience, which has proven to act as a buffer against anxiety associated with ACEs (Poole et al., 2017). There is a need for more research to better understand the association between ACEs and maternal anxiety, specifically regarding the modifying role social factors may play.

Building on previous knowledge, the aim of this study was to investigate the impact of ACEs and partner support on anxiety during pregnancy. Since both childhood and pregnancy are considered sensitive developmental periods, it is postulated that the effects of ACEs and partner support during pregnancy may be impactful (Ben-Shlomo & Kuh, 2002). The research objectives under study are posed using a life course approach, particularly the effect of social factors during sensitive developmental periods on maternal anxiety (Ben-Shlomo & Kuh, 2002).

### **Study objectives**

1. To determine if there is a linear association between maternal ACEs and maternal anxiety at 34-36 weeks' gestation.
2. If present, to examine if the association between maternal ACEs and maternal anxiety is moderated by partner support.

## **3.2 METHODS**

### **Sample and data collection**

This secondary analysis used data from the *All Our Families (AOF)* study, which is an ongoing community-based longitudinal pregnancy cohort study (McDonald et al., 2013; Tough et al., 2017). The aim of *AOF* is to collect life course information on mother-child dyads and investigate the influence that various life factors may have on infant and maternal health (Tough et al., 2017). To become a participant, women had to be at least 18 years of age, less than 25 weeks pregnant, receiving prenatal care in Calgary, Alberta, and be fluent in English. A total of 3,387 women were recruited from May 2008 to December 2010 through maternity clinics, posters, word of mouth, and a centralized lab service available to all pregnant women in Calgary, Alberta (Tough et al., 2017). For this secondary analysis women who identified as single were excluded given a key goal of the analysis was to examine partner support.

Data for *AOF* were collected at seven timepoints: before 25 weeks' gestation, 34-36 weeks' gestation, and at 4 months, 1, 2, 3, and 5 years post birth (Tough et al., 2017). Maternal data used in the present secondary analysis were collected at the first, second and sixth data collection timepoints (before 25 weeks, 34-36 weeks, 3 years). The first timepoint collected socio-demographic information; the second gathered data on maternal anxiety and partner support; and the sixth timepoint collected information on maternal ACE scores. Questionnaires at each timepoint were mailed out and took approximately 25-minutes to complete (Tough et al., 2017). Participants could complete the questionnaires by mail or phone. Participants were provided with honorariums (e.g., library and grocery gift cards) to thank them for their time. Additional efforts made to prevent study attrition included following-up with participants to complete questionnaires, sending out newborn congratulations cards and semi-annual *AOF* study newsletters (Tough et al., 2017).

Despite retention efforts, there was a decline in the response rates across data collection timepoints. The response rate for the first, second and sixth questionnaire was 99% ( $N = 3,362$ ), 94% ( $N = 3,182$ ), and 69% ( $N = 1,994$ ), respectively (Tough et al., 2017). Factors that contributed to study attrition were delays in ethical approval, loss of participant interest, shortage of time, unsupportive partners, loss to follow-up, change of residence outside of Calgary, unfavorable study components (e.g., blood collections and linkage to medical records) and unknown reasons. For the present analysis only women who returned their questionnaire for the sixth data collection timepoint and completed all relevant questions related to anxiety during pregnancy, ACEs, partner support and socio-demographic variables were included ( $N = 1,480$ ).

## Measures

**Outcome: maternal anxiety.** Maternal anxiety was measured using the 20-item scale called the Spielberger's State Anxiety Inventory (SSAI). State anxiety is defined as a temporary emotional response caused by a particular stressor. The emotional response may fluctuate over time and vary in intensity (Spielberger et al., 1982). The 20 items measure current feelings of apprehension, tension, nervousness, and worry on a 4-point intensity scale from "Not at all" to "Very much so" (see Appendix 7.2). Ten items (1, 2, 4, 8, 10, 11, 15, 16, 19 and 20) were negatively keyed and reverse scored before the total score with all 20-items were summated. The sum of anxiety scores ranges from 20 to 80 with higher scores indicating higher levels of anxiety. A conventional cut-off score of 40 was used to separate women with probable clinical levels of anxiety from those without (Spielberger et al., 1982). SSAI is a validated tool in perinatal research that is able to identify anxiety symptomology during pregnancy (Meades & Ayers, 2011). SSAI is a reliable tool that yields high internal consistency, with Cronbach's  $\alpha$  value of 0.84 in perinatal populations (Gurung et al., 2005). The Cronbach's alpha is 0.87 for the present study.

**Exposure: adverse childhood experiences.** An adapted version of the original ACE checklist created by Felitti and colleagues was used to measure ACE scores (1998). Eleven questions were asked to capture the exposure of eight categories of ACEs before 18 years of age: emotional abuse (1 question), physical abuse (1 question), sexual abuse (3 questions), domestic violence (1 question), imprisonment of a household member (1 question), separated parents (1 question), caregiver mental illness (1 question) and/or substance abuse in the home (2 questions) (Felitti et al., 1998). Each category is either considered as present or absent with a score of 0 or 1; the sum of all eight categories made up an ACE score (range 0 to 8) (Felitti et al., 1998).

Consistent with other studies, the sample was categorized as having 0, 1, 2, 3, or 4 or more ACEs (Anda et al., 2006; Dube et al., 2003; Felitti et al., 1998; Racine et al., 2018). These data were collected at the sixth data collection timepoint and are retrospective in nature. Evidence shows that ACE scores reported in adulthood have a low rate of false positives and the retrospective collection of ACE data has shown satisfactory test-retest reliability (Cammack et al., 2016; Hardt & Rutter, 2004; Murphy et al., 2014). This scale is a reliable measure (Cronbach's  $\alpha = 0.88$ ) (Hardt & Rutter, 2004; Murphy et al., 2014). This adapted ACE checklist showed adequate internal consistency in the present study ( $\alpha = 0.71$ ) (Vaske et al., 2017).

**Effect modifier: partner support.** Partner support was measured using three questions that were developed by the researchers of the *AOF* study: 1) “How satisfied are you with the social and/or emotional support you receive from your partner?”, for which responses were measured on a 4-point Likert scale (“very satisfied” to “very unsatisfied”) and reverse scored; 2) “Does your partner support you in making healthy pregnancy choices?” and; 3) “Does your partner provide you with practical support?”. Response options for the last two questions ranged from “none of the time” to “all of the time” on a 5-point Likert scale. The scores from each question were summed to give a partner support score (range = 3 to 14) with higher scores indicating higher partner support. Partner support scores of 10 or lower were classified as unsupportive partners and scores of 11 or above were classified as supportive partners. This measure is on the verge of being considered adequate with a Cronbach's alpha of 0.63 (Vaske et al., 2017).

**Covariates.** Maternal income, education, ethnicity, and age were examined as covariates in this analysis (Table 1). Maternal income was measured by asking mothers what their “total income, before taxes and deductions, of all household members from all sources in the past 12

months”. Options ranged in \$10,000 increments starting at “less than \$10,000” to “more than \$100,000”. Mothers were also asked what the highest level of education they have completed, response options included: some elementary or high school; graduated high school, some college, trade, university; graduated college, trade, university; some graduate school; completed graduate school. Ethnicity was measured by asking mothers how they would describe their ethnic background. Response options were: White/Caucasian, Black/African North American, First Nations registered, First Nations not registered, Inuit, Metis, Chinese, South Asian, Filipino, Latin American, Southeast Asian, Arab, West Asian, Korean, Japanese, and mixed/other. Mothers were asked to report their birth date, which was used to calculate maternal age.

### **Ethics**

The *AOF* study was approved by the Conjoint Health Research Ethics Board at the University of Calgary (Ethics ID 20821 and 22821). Ethical approval was given by the University of Lethbridge Ethics Board for conducting this secondary data analysis (Ethics ID 2020-083). Access to the *AOF* study was obtained through an application submitted to PolicyWise for Children and Families.

## **3.3 STATISTICAL ANALYSIS**

### **Sample characteristics**

Descriptive analyses were used to examine sample characteristics. Demographic information was examined by modes, means, and standard deviations. Trends in the data were assessed via cross-tabulations. An assessment of skewness revealed that there was some positive skewness. Given the large sample size, skewness was within acceptable limits with z-scores falling between negative 4.0 to positive 4.0.

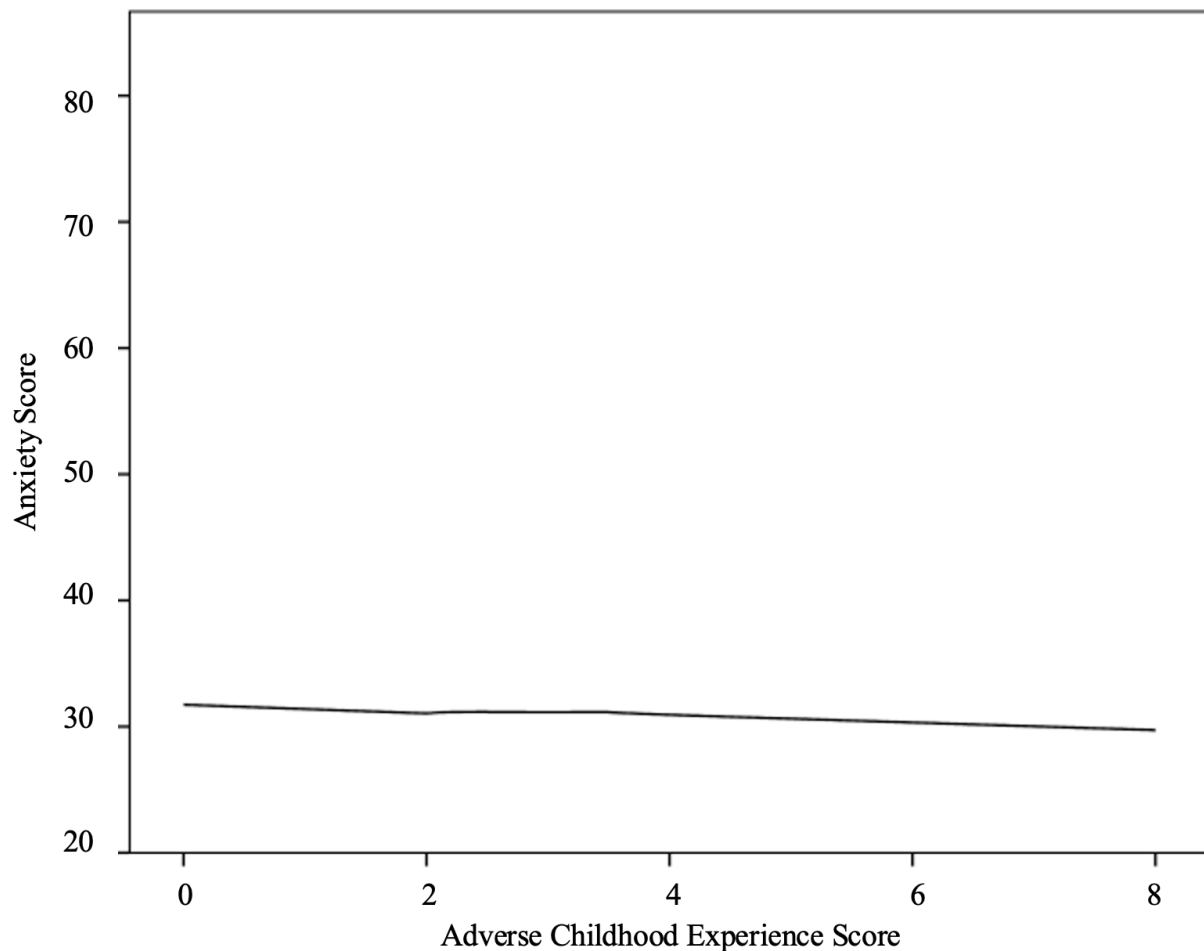


Figure 1: LOWESS Curve Illustrating the Association Between Maternal ACE Score and SSAI Score

**Analysis strategy: research objective 1**

Participants responded to 11 questions that measured 8 categories of childhood adversity, each of which were coded as present or absent. Response options for questions 1 through 5 were yes = 1 and no = 2, which were recoded as present = 1 and absent = 0, respectively. Responses from questions 6 through 11 had 3 response options (1 = never, 2 = once, 3 = more than once) and were recoded as 1 = present (once or more) and 0 = absent (never). This followed the same coding pattern used for questions 1 through 5. Multiple questions were used to document two ACE categories: sexual abuse and substance abuse in the home. Scoring for both categories were

individually summed and a score  $\geq 1$  was coded as present = 1 and a score of 0 was coded as absent = 0. To create a final ACE score, values from all 8 categories (e.g., emotional, physical and/or emotional abuse, domestic violence, imprisonment of household member, separated parents, caregiver mental illness and/or substance abuse in the home) were summed creating a totaled ACE score ranging from 0 to 8. Anxiety scores (SSAI) were computed using 20 questions that had response options measured on a 4-point intensity scale (1 = “Not at all” to 4 = “Very much so”). Ten questions (1, 2, 4, 8, 11, 15, 16, 19, and 20) were reverse scored to ensure all questions followed the same scoring pattern. Next, the score of all questions were totaled creating an anxiety score ranging from 20 to 80 (Spielberger et al., 1982).

To assess for a linear association between ACE scores and anxiety scores, a scatterplot with best fit-regression lines and locally weighed scatterplot smoother (LOWESS) curves were used. The scatterplot with a LOWESS curve (Figure 1) suggested that there was no correlation between maternal ACE scores and anxiety scores (SSAI). Next, two linear regression models with 95% confidence intervals (CIs) were used to assess if there was a statistically significant change in anxiety score per unit difference in the ACE score, unadjusted and adjusted for covariates. Covariates entered in the final regression model were income, education, age, and ethnicity. Most studies on maternal ACEs and mental health during pregnancy adjusted for these socio-demographic variables (Letourneau et al., 2019; Racine et al., 2018; Racine et al., 2020; Shin et al., 2021; Young-Wolff et al., 2019). Controlling for similar variables in the model allows for study estimates to be comparable to other studies. Studies on maternal or perinatal health often control for gestational age but in many cases gestational age acts as an intermediate variable (Ananth & Schisterman, 2017). Controlling for variables that lie on the casual pathway would result in biased estimates (VanderWeele, 2019). Thus, gestational age was not controlled



for in the model. All analyses were run using SPSS 26. Listwise deletion was used to address any missing data.

### **Analysis strategy: research objective 2**

The association between ACEs and anxiety needed to show statistical significance to test partner support as a moderator of the association. If the focal association was statistically significant, I would have created a LOWESS curve stratified by partner support (supportive vs. unsupportive). The direction of the lines on the LOWESS curves would have been analysed to see if the lines on both curves were the same or diverged. If the lines were the same that would have suggested that effect modification was not taking place. If the lines on both curves diverged or crossed it would have indicated that an interaction was taking place. If the latter was the case, a multiplicative term (ACEs score x partner support) between ACEs scores and partner support would have been entered into the regression model and tested for significance ( $p < 0.05$ ). If the multiplicative term showed significance, a linear regression model stratified by supportive and unsupportive partners would have been needed to assess the significance of heterogeneity between the two groups. Statistical significance in the relative difference would have proven a multiplicative interaction was in fact taking place (Szklo & Nieto, 2018).

Table 3.1

*Total Sample Characteristics Stratified by Anxiety Score Cut-off*

Sample Characteristics	Full Sample <i>N</i> (%)	SSAI Score < 40 <i>n</i> (%)	SSAI Score ≥ 40 <i>n</i> (%)
Total	1,480 (100)	1,165 (78.7)	315 (21.3)
Age			
< 35 years	1,222 (82.6)	965 (82.8)	257 (81.6)
≥ 35 years	258 (17.4)	200 (17.2)	58 (18.4)
Ethnicity			
Caucasian	1,165 (78.7)	929 (79.7)	236 (74.9)
Non-Caucasian	258 (21.3)	236 (20.3)	79 (25.1)
Highest Level of Education Completed			
Elementary/high school	159 (10.7)	107 (9.2)	52 (16.5)
Some college/trade/undergraduate school	1,081 (73.0)	857 (73.6)	224 (71.1)
Some graduate school	240 (16.3)	201 (17.3)	39 (12.4)
Income			
< \$10,000-\$39,999	120 (8.1)	73 (6.3)	47 (14.9)
\$40,000-\$69,999	220 (14.9)	153 (13.1)	67 (21.3)
\$70,000-\$99,999	378 (25.5)	298 (25.6)	80 (25.4)
≥ \$100,000	762 (51.5)	641 (55.0)	121 (38.4)
Partner Support			
Supportive partner	1,387 (93.7)	1,125 (96.6)	262 (83.2)
Unsupportive partner	93 (6.3)	40 (3.4)	53 (16.8)
Maternal ACE Mean Score (SD)	1.6 (SD = 1.8)	1.7 (SD = 1.8)	1.4 (SD = 1.7)
Maternal ACEs			
0	557 (37.6)	423 (36.3)	134 (42.5)
1	324 (21.9)	248 (21.3)	76 (24.1)
2	206 (13.9)	171 (14.7)	35 (11.1)
3	165 (11.1)	135 (11.6)	30 (9.5)
≥ 4	228 (15.4)	188 (16.1)	40 (12.7)

## 3.4 RESULTS

### Sample characteristics

Sample characteristics are shown in Table 1. The women ranged in age from 18-46 years ( $M = 30.4$  years,  $SD = 4.4$ ) and most identified as Caucasian (78.7%). The proportion of women who identified as non-Caucasian in this sample are similar to that of Canadian national averages (21.3% vs 19.1%) (Statistics Canada, 2011b). The women were highly educated as 73.0% had at least some college, trade, or undergraduate education and 16.3% had at least some graduate education. Overall, half (51.5%) of the women lived in a household with an income of \$100,000 or more, a quarter (25.5%) reported having a household income between \$70,000 and \$99,999 and the rest (22.9%) reported having a household income below \$70,000. Approximately half (51.5%) of the sample had household incomes above the median (\$95,895) for households with children when compared to Canadian national averages (Statistics Canada, 2011a). All women were partnered (e.g., identified as currently having a romantic partner); 93.7% of the women reported having supportive partners.

### Maternal ACE scores

In the current sample, the mean maternal ACE score was 1.6 ( $SD = 1.8$ , range = 0 to 8) on a scale of 0 to 8. Consistent with other ACE studies, most of the women (62.3%) reported having at least one ACE, with 25% having 2-3 ACEs and 15.4% with 4 or more (17,46). The mean anxiety score in the sample was 32.4 ( $SD = 9.1$ ) (range = 20 to 80); 2 in 10 (21.3%) women experienced probable clinical levels of anxiety at 34-36 weeks' gestation.

Table 3.2

*Means and Standard Deviations for Anxiety Across Socio-Demographic Variables and Partner Support (N = 1,480)*

	<i>n</i>	<i>M (SD)</i>
Age		
< 35	1,222	32.41 (9.14)
≥ 35	258	32.31 (9.19)
Ethnicity		
Caucasian	1,165	32.17 (9.04)
Non-Caucasian	258	33.24 (9.49)
Education		
≤ Elementary/high school	159	34.74 (10.14)
≥ Some college/trade/undergraduate school	1,081	32.23 (8.90)
≥ Some graduate school	240	31.60 (9.33)
Income		
< \$10,000-\$39,999	120	37.15 (10.58)
\$40,000-\$69,999	220	34.35 (10.68)
\$70,000-\$99,999	378	32.42 (8.48)
≥ \$100,000	762	31.07 (8.36)
Partner Support		
Supportive	1,387	31.79 (8.73)
Unsupportive	93	41.46 (10.33)

## **Anxiety in pregnancy**

Anxiety scores vary across socio-demographic variables as shown in Table 2. The mean anxiety scores between women below 35 years of age and women 35 and above were similar (32.41 vs. 32.31). For ethnicity, the mean anxiety scores among Caucasian women versus non-Caucasian women differed by about one point (32.17 vs. 33.24). Education and income both showed a similar downward trend in anxiety scores as education and income levels increased. Women with unsupportive partners had a higher mean anxiety score, by 9.7 points, compared to women with supportive partners. Bivariate analysis revealed no significant differences in anxiety scores for age and ethnicity. Anxiety scores were significantly lower among women with higher levels of education ( $F(2,1477) = 6.35, p = 0.002$ ) and income ( $F(3,1476) = 20.27, p < 0.001$ ) and supportive partners ( $t(101.01) = 8.82, p < 0.001$ ) relative to women of lower socioeconomic and unsupportive partners.

### **Research objective 1: Maternal ACEs and anxiety**

The mean anxiety scores between ACE groups did not differ; pregnant women with ACE scores of 0, 1, 2, 3 and  $\geq 4$  had mean anxiety scores of 32.7 ( $SD = 9.23$ ), 32.9 ( $SD = 9.62$ ), 31.4 ( $SD = 8.23$ ), 32.5 ( $SD = 9.86$ ), and 31.6 ( $SD = 8.33$ ), respectively. In the unadjusted regression model (Model 1), the p-value was statistically significant. This is most likely explained by the large sample size given the effect size is minute. In the adjusted model (Model 2), the association between the maternal ACE score and anxiety score during pregnancy was no longer showed statistical significance. Model 2 was adjusted for income, education, ethnicity, and age (Table 3).

Table 3.3

*Linear Regression Models for the Direct Effects of Maternal ACE Score on Anxiety Score During Pregnancy (N = 1,480)<sup>ab</sup>*

	Adj R <sup>2</sup>	B (95% CI) <sup>b</sup>	SE	p
Model 1: Unadjusted	0.002			
<b>ACE score</b>		<b>-0.27 (-0.53, -0.01)</b>	<b>0.13</b>	<b>0.04</b>
Model 2: Adjusted	0.040			
ACE score		-0.24 (-0.50, 0.02)	0.13	0.07
<b>Income</b>		<b>-0.66 (-0.86, -0.46)</b>	<b>0.10</b>	<b>0.00</b>
Education		-0.39 (-0.82, 0.05)	0.22	0.08
Ethnicity		0.00 (-0.11, 0.12)	0.06	0.96
Age		0.03 (-0.08, 0.14)	0.06	0.62

<sup>a</sup> Statistically significant variables presented in bold

<sup>b</sup> B = Unstandardized beta weight

## Research objective 2

Given the main association between maternal ACEs and anxiety did not show statistical significance, partner support could not be tested as a moderator for the respective association.

## 3.5 DISCUSSION

In this large sample of pregnant women living in a Canadian urban center, ACEs did not have an impact on maternal anxiety at 34-36 weeks' gestation. In concordance with the present findings, a 2018 study of high socioeconomic status women found a non-significant association between ACEs and anxiety during pregnancy (Menke et al., 2019). The proportion of women with post-secondary education (89.3 vs. 68.0%), who were Caucasian (78.7 vs. 78.4) and partnered (100% vs. 79.9%) were slightly higher in the present study compared to Menke's study sample. Contrary to these non-significant findings, majority of studies have reported a significant association between ACE scores and anxiety (Biaggi et al., 2016; Buist et al., 2011; Racine et al., 2021; Young-Wolff et al., 2019). Among a socioeconomically vulnerable and diverse sample (41.4% = Caucasian, 12.5% = neighborhood median income > \$110,000), a dose-response association between maternal ACE scores and anxiety during pregnancy was reported (Young-

Wolff et al., 2019). Buist et al. compared four groups of women and found that women with a history of mental illness, low education, little social support, and child abuse histories were at greater risk for anxiety disorder before and during pregnancy (Buist et al., 2011). Thus, it was deduced by Buist et al. that the main risk of anxiety in pregnancy may be for women who have biological and environmental risks that syndicate with a lack of support (Buist et al., 2011). A 2021 systematic review and meta-analysis by Racine and colleagues reported a significant association between maternal ACE scores and anxiety in pregnancy. Heterogeneity between study findings were reported, suggesting that there may be other social characteristics present that can amplify or attenuate associations (Racine et al., 2021).

The presence of health protective factors might have played a role in producing non-significant results in this specific sample of women, given women with higher education and household incomes had lower anxiety levels compared to pregnant women of lower education and household incomes. These results fit well with a larger body of research that has found high socioeconomic status and its correlates, such as higher education and income, to be health-protective against poor maternal mental illness, including anxiety (Bennett et al., 2004; Littleton et al., 2007). A study by Korotana et al. found this to also be true among adults with childhood adversities (Korotana et al., 2016). Compared to low socioeconomic status, high socioeconomic status environments are often associated with less exposure to hardship and stressors across a lifespan (Matthews & Gallo, 2011). Reduced exposure to stressors results in less hyperactivity of the HPA-axis and lower levels of stress hormones, which are both physiological mechanisms that modulate anxiety symptoms (Cicchetti, 2013). Socioeconomic factors not only impact physiological body responses but can impact the perception of social support received and available. For example, it has been reported in other studies that those who have a higher income

and are part of an ethnic majority may feel more supported relative to those of low socioeconomic status and ethnic minorities (Jesse et al., 2006; Kingston et al., 2011; Van Hulst et al., 2011). It is also likely that women of high socioeconomic status have greater access to social and personal resources that promote resilience and healthy coping strategies to deal with stressors (Matthews & Gallo, 2011). Access to these resources across adulthood likely play a role in shaping positive trajectories of maternal and infant health outcomes (Barban, 2013). Indeed, high socioeconomic factors most likely played a protective role against anxiety among the women in this thesis.

All women in this analysis were partnered and almost all (94%) reported having supportive partners. Mothers with unsupportive partners may be underrepresented, considering the high percentage of supportive partners and given that unsupportive partners were a reason for AOF study withdrawal (Tough et al., 2017). Given the main association was not significant, the modifying role of partner support on the association between maternal ACEs and anxiety during pregnancy could not be investigated. Yet study results indicated that it is possible for supportive partners to function as a protective mechanism against anxiety symptoms at 34-36 weeks' gestation. In the sample under analysis, women with supportive partners had anxiety scores 10 points lower compared to unsupportive partners. The lower anxiety scores among women with supportive partners is consistent with existing research that has found supportive relationships to promote well-being and psychological resilience (Appleton et al., 2019; Ghosh et al., 2010; Lobel et al., 2008; Racine et al., 2018; Rini et al., 2006). Specifically, in a study among the general population it was reported that psychosocial resilience promotes emotional regulation, which in turn protects against symptoms of anxiety associated with ACEs (Poole et al., 2017). The findings from this analysis suggest partner support to be protective but cannot ascertain that



an association exists between partner support and anxiety as it is outside the scope of this analysis.

### **Strengths and limitations**

Strengths of this study include the use of a large dataset of pregnant women that represents a population pregnant mother who are of high socioeconomic status in Canada. The representative of the sample in terms of ethnicity is a strength. Standardized scales were used to measure constructs except for partner support, in which case experts were consulted to help create questions (Tough et al., 2017). Lastly, temporal sequence can be established between the independent and dependent variable in this study given ACEs occurred in childhood and thus preceded maternal anxiety.

The current findings should be considered with several limitations in mind. First, this secondary analysis is based on a cross-sectional design and based entirely on self-report measures. Given data on maternal ACEs were retrospectively collected, study estimates may be subject to recall bias. Yet, researchers have found that documenting ACEs in adulthood produce a low rate of false positives and is a reliable measure (Hardt & Rutter, 2004; Murphy et al., 2014). Given ACE data were collected at the sixth data collection timepoint, there is concern that there was an overrepresentation of healthy and resourceful participants. It was reported in a *AOF* seminal study that participants who continued with the study were older, in stable relationships, more educated, from higher income households, Canadian born and predominantly spoke English at home (Tough et al., 2017). It is likely that women of lower socioeconomic status were underrepresented due to study attrition, thus threatening the generalizability of the present study findings and limiting it to women of high socioeconomic status (Tough et al., 2017). A sample size calculation was not computed for the variables under study because the data for this

secondary data analysis were already collected. The reliability and validity scores of the scale that measured partner support were marginal. Finally, there may have been an overrepresentation of mothers given the way in which questions are phrased in the SSAI (Meades & Ayers, 2011).

### **3.6 CONCLUSION**

Findings from this study, suggest that the association between maternal ACEs and anxiety is not deterministic in nature and that there may be multiple life course factors at play. ACEs may place women at increased vulnerability for anxiety across their lifespan and especially during pregnancy. However, evidence indicates that protective and resilience mechanisms during pregnancy may be able to offset anxiety symptomology at 34-36 weeks' gestation; specifically, the cumulative effect of multiple protective and resilience mechanisms. Further research is required to test the mediating and modifying pathways between ACE and anxiety during pregnancy. Understanding the life course factors that impact this association may help guide interventions to help improve maternal and infant health outcomes.

## **CHAPTER 4: INVESTIGATING THE ASSOCIATION BETWEEN ADVERSE CHILDHOOD EXPERIENCE AND SMOKING IN PREGNANCY**

### **4.1 INTRODUCTION**

Approximately one in ten Canadian women smoke every day, half of whom continue to smoke during their pregnancy (Government of Canada, 2017; Lange et al., 2018). Smoking cigarettes poses a significant threat to the unborn fetus (Gilman et al., 2008; Solomon & Quinn, 2004). Nicotine, tar, and carbon monoxide can directly cross the placenta and impede blood oxygen flow to the fetus, causing adverse effects such as changes in gene patterning, reduced brain growth, and fetal death (Froggatt et al., 2020; McDonnell & Regan, 2019; Roza et al., 2007). Studies have reported a graded association between smoking intensity and the likelihood of miscarriages, stillbirths, pre-term delivery and admission to neonatal intensive care units (NICU) (Smedberg et al., 2014). Smoking during pregnancy places newborns at a 20% increased risk for immediate medical attention or admission to NICU (Adams et al., 2002).

Regardless of these threats to the fetus, some mothers continue to smoke while pregnant. Researchers have identified multiple life course factors that place women at an increased risk of smoking during their pregnancy. For example, women who are single, of low socioeconomic status, and who have a history of mental illness and substance misuse are more likely to smoke during their pregnancy (Al-Sahab et al., 2010; Boucher & Konkle, 2016; Powers et al., 2013; Yang et al., 2017). Women who have experienced childhood adversity are also at increased risk of smoking across their life course, including during pregnancy (Anda et al., 1999; Blalock et al., 2011; Boucher & Konkle, 2016; Chung et al., 2010; Jun et al., 2008; Powers et al., 2013).

ACEs refer to some of the most harmful and recurrent sources of stress that children may experience in early life (World Health Organization, 2020). ACEs broadly consist of multiple

types of abuse, neglect, and household dysfunction; all of which may result in long-lasting trauma and physiological damage (Felitti et al., 1998). Research has found ACEs to result in altered brain structure, circuitry patterns, and dysregulated physiological processes (Shonkoff & Garner, 2012). The visceral impact of ACEs on hyperactivity of the HPA-axis and emotional dysregulation likely contribute to adverse health outcomes in part by the propensity to engage in maladaptive coping strategies, including smoking (Audrain-McGovern et al., 2012; Duffy et al., 2018; Shonkoff & Garner, 2012). Cigarettes can help individuals cope with negative emotions by self-medicating (Allen et al., 2008). In a qualitative study, mothers reported that smoking was a means for them to cope with stress associated with living with multiple disadvantages, such as substance use, mental illness, poverty, history of violence, abuse, and trauma. They also identified smoking as a way to afford them a sense of control over their lives and as a way to manage emotions associated with past adversity and trauma (Martinez Leal et al., 2021).

Robust research illustrates the existence of a graded association between ACEs and early smoking initiation, smoking frequency, and a lifetime of smoking across birth cohorts (Acierno et al., 1996; Anda et al., 1999; Dube et al., 2003; Edwards et al., 2007; Nichols & Harlow, 2004). The strength of the association between an ACE score of 4 or more and smoking among large study samples have shown some variation ranging from weak to moderate (Anda et al., 1999; Bellis et al., 2014; Campbell et al., 2016; Edwards et al., 2007; Felitti et al., 1998; Ford et al., 2011). Relative to the general population, studies examining the association between ACEs and smoking among pregnant mothers are sparse. Preliminary evidence suggests the association between ACEs and smoking would persist among pregnant mothers (Blalock et al., 2011; Chung et al., 2010; Racine et al., 2020). For example, two studies primarily including pregnant women of low socioeconomic status have reported a graded association between maternal childhood

adversity and smoking in pregnancy (Blalock et al., 2011; Chung et al., 2010). More recently a secondary analysis among higher socioeconomic status women reported a strong dose-response association between ACE scores and smoking during pregnancy (Racine et al., 2020). In contrast, a couple of studies have reported a non-significant association between childhood adversity and substance use among the general and perinatal populations (Cosanella et al., 2019; Young-Wolff et al., 2019). The incongruent results reported by researchers merit the investigation of other life course factors that can modify the degree and/or presence of the ACEs-smoking association.

Multiple protective life course factors that can circumvent the negative effects associated with ACEs have been identified (Appleton et al., 2019; Cosanella et al., 2019; Young-Wolff et al., 2019). Specifically, social support has shown to buffer the adverse effects of ACEs on health-risk behaviours among the general and perinatal populations (Appleton et al., 2019; Cohen & Wills, 1985; Misiak et al., 2022; Racine et al., 2018). Two longitudinal studies have found social support to function as a protective factor against the adverse perinatal effects associated with maternal ACEs (Appleton et al., 2019; Racine et al., 2018). Studies illustrate that supportive relationships promote psychosocial well-being and resilience, especially during stressful times (Damron, 2017; Elsenbruch et al., 2006; Misiak et al., 2022; Stubbs et al., 2019). Supportive relationships have shown to reduce stress levels and cigarette cravings, which may have an indirect effect on increasing the success of smoking cessation efforts (Boucher & Konkle, 2016; Elsenbruch et al., 2006; Stubbs et al., 2019). A study with a large representative sample found that pregnant mothers who were partnered were able to significantly reduce their cigarette consumption compared to those without partners (Martin et al., 2007). Overall supportive partner relationships can be impactful in promoting healthy choices and smoking

cessation during pregnancy, but more research is needed to determine whether the negative effects associated with childhood adversity can be moderated by supportive partners (Appleton et al., 2019; Cohen et al., 2016; Jaffee et al., 2017; Pilkington et al., 2015). Smoking strongly correlates with lower socioeconomic status and is a major cause of the health and life expectancy inequalities encountered by women from deprived backgrounds. Pregnant smokers are more likely to be younger, be unemployed, have low educational attainment, have a lack of social support and have increased incidence of mental illness (Tsvetkova et al., 2018). Robust research shows that support relationships can circumvent the adverse effects associated with ACEs, such as risky health taking behaviors (S. Cohen & Wills, 1985; Sperry & Widom, 2013).

Drawing on previous research, the first goal of this study was to examine the impact of ACEs on smoking during pregnancy. Given a graded association between ACEs and smoking has been reported by other researchers, it was hypothesized that a similar association between maternal ACE scores and smoking in pregnancy would exist for this study (Anda et al., 1999; Boucher & Konkle, 2016; Chung et al., 2010; Felitti et al., 1998; Hughes et al., 2019). The second goal of this study was to investigate the modifying effect of partner support on the association between maternal ACEs and smoking if this association is present.

A strong body of research demonstrates that social support buffers adverse effects linked to ACEs, in both the general and perinatal populations (Appleton et al., 2019; Cohen & Wills, 1985; Jaffee et al., 2017; Racine et al., 2018). Thus, it was postulated that partner support would function as an important life course factor that would moderate the maternal ACEs-smoking association. The study objectives are put forward using a life course approach, specifically the impact of social factors during two different sensitive developmental periods: childhood and

pregnancy (Ben-Shlomo & Kuh, 2002). It is conjectured that the effects of ACEs and maternal partner support may be impactful on smoking during pregnancy.

### **Study objectives**

1. To determine if there is an association between maternal ACEs and self-reported smoking at 34-36 weeks' gestation.
2. If present, to examine if the association between maternal ACEs and self-reported smoking is moderated by partner support.

## **4.2 METHODS**

### **Data collection**

Data used in this secondary analysis are from the *All Our Families (AOF)* study. *AOF* is a community-based longitudinal pregnancy cohort study in Calgary, Alberta (McDonald et al., 2013; Tough et al., 2017). The *AOF* study was designed to follow a life course perspective, where mother-child dyads were enrolled from pregnancy and followed across life stages (Tough et al., 2017). Women were eligible to participate in the study if they were 18 years or older, fewer than 25 weeks pregnant, accessing prenatal care in Calgary, Alberta, and fluent in English. From May 2008 to December 2010, 3,387 women were recruited through multiple community channels, such as maternity clinics, a centralized lab service, posters and word of mouth (Tough et al., 2017).

Data collection for the *AOF* study took place over 7 timepoints: less than 25 weeks' gestation, 34-36 weeks' gestation, and at 4 months, and 1, 2, 3, and 5 years post birth (Tough et al., 2017). At each timepoint, participants were mailed a questionnaire (~25 minutes). In the event of repeated non-responders, questionnaires were completed via telephone (Tough et al., 2017). Maternal data used in the present secondary analysis were collected at < 25 weeks'

gestation (socio-demographic characteristics), 34-36 weeks' gestation (maternal smoking habits, partner support), and 3 years post birth (maternal ACE score) (Tough et al., 2017). Honorariums, such as library and grocery gift cards were offered to participants to thank them for completing the questionnaires. Study staff maintained contact with *AOF* participants through congratulation cards upon the arrival of their newborn, semi-annual *AOF* study newsletters, and follow-up contacts in the event of missing data (Tough et al., 2017).

Response rates across data collection timepoints decreased from 99% ( $N = 3,362$ ) to 69% ( $N = 1,994$ ) from the first to the sixth timepoint (Tough et al., 2017). Reported reasons for study attrition include ethical approval delays, participant disinterest, time constraints, lack of partner support, loss to follow-up, and residential relocation outside of Calgary, among others. Women who answered all the questions related to maternal ACEs, smoking during pregnancy, partner support and socio-demographic variables were included in this secondary analysis ( $N = 223$ ).

## Measures

**Outcome: maternal smoking.** Smoking status was measured at 34-36 weeks' gestation by asking mothers: "*Once you knew you were pregnant, how many days per week have you smoked cigarettes (on average)?*" Response options were: 1 = "less than one day", 2 = "one day a week", 3 = "two days a week", 4 = "three days a week", 5 = "four days a week", 6 = "five days a week", 7 = "six days a week" and 8 = "seven days a week". Women who reported that they smoked cigarettes in the 12 months before they got pregnant but reported that they have quit smoking during pregnancy were coded as 0 = 'non-smokers'. Maternal smoking status is known to be under-reported in studies, however it is still deemed as a "fairly accurate" measure with high sensitivity and specificity rates of 85% and 99%, respectively (Arbuckle et al., 2018).



**Exposure: adverse childhood experiences.** ACE scores were measured using an adapted version of the original ACE checklist created by Felitti and colleagues (Felitti et al., 1998). To measure the exposure of ACEs before 18 years of age, 11 questions were asked. These questions capture events that represent eight categories of ACEs, including emotional abuse (1 question), physical abuse (1 question), sexual abuse (3 questions), domestic violence (1 question), imprisonment of a household member (1 question), separated parents (1 question), caregiver mental illness (1 question) and/or substance abuse in the home (2 questions). Each category was considered as present or absent. Scores from all eight categories were summed and ranged from 0 to 8. ACE scores were categorized into three categories: 0, 1-3, or  $\geq 4$  ACEs. Data on maternal ACEs were retrospectively collected three years after childbirth at the sixth data collection timepoint. Research shows that collecting ACE scores retrospectively results in a low rate of false positives and satisfactory test-retest reliability (Cammack et al., 2016; Hardt & Rutter, 2004; Murphy et al., 2014). The original ACE scale is considered a reliable measure with a Cronbach's  $\alpha$  of 0.88 (Hardt & Rutter, 2004; Murphy et al., 2014). The adapted ACE checklist used in this study had an adequate Cronbach's  $\alpha$  value of 0.71 (Vaske et al., 2017).

**Effect modifier: partner support.** Three items were used to create a partner support score which measured support in three compartments: social/emotional support, practical support, and healthy choices. The first question asked (1) "*How satisfied are you with the social and/or emotional support you receive from your partner?*" and was measured on a 4-point Likert scale ("very satisfied" to "very unsatisfied"). The second and third question asked (2) "*Does your partner provide you with practical support?*" and (3) "*Does your partner support you in making healthy pregnancy choices?*". The last two questions were measured on a 5-point Likert scale which ranged from "none of the time" to "all of the time". Values from each question were

summed to comprise a total partner support score ranging from 3 to 14, with higher scores indicating greater partner support. This variable was dichotomized into two categories: unsupportive partners (total partner support scores of 10 or lower) and supportive partners (scores of 11 or greater). This measure had a Cronbach's alpha of 0.63, which is considered marginally adequate (Vaske et al., 2017).

**Covariates.** Socio-demographic information including maternal age, education, income, and pregnancy intent were collected at baseline (Table 1). Maternal age was determined based on self-reported birth date. Maternal education was measured by asking mothers what their highest level of completed education was, with options including “some elementary or high school”; “graduated high school, some college, trade, university”; “graduated college, trade, university”; “some graduate school”; and “completed graduate school”. Pregnant mothers were asked to report their “*total income, before taxes and deductions, of all household members from all sources in the past 12 months*”. Response options ranged from “less than \$10,000” to “more than \$100,000”. To document whether pregnancies were intended, mothers were asked “*when you became pregnant were you trying to get pregnant?*” with a yes or no response.

## **Ethics**

The Conjoint Health Research Ethics Board at the University of Calgary (Ethics ID 20821 and 22821) approved the *AOF* study. Additional ethical approval for this secondary data analysis was given by the University of Lethbridge Ethics board (Ethics ID 2020-083). Approval to gain access to the database and conduct this secondary data analysis was given by PolicyWise for Children and Families.

### 4.3 STATISTICAL ANALYSIS

#### **Analysis strategy: sample characteristics**

Frequencies were computed for categorical variables (smoking, education, income, pregnancy intent and partner support), while means  $\pm$  SD were calculated for continuous variables (ACE score, age). Bar charts and histograms were used to visualize data for each variable separately. A bivariate analysis examined trends and patterns between the variables using crosstabs and odds ratios (ORs) with 95% confidence intervals (CIs) for dichotomous variables, and chi-square tests and t-tests for continuous variables or non-binary categorical variables.

#### **Analysis strategy: research objective 1**

To examine the association between ACEs and smoking in pregnancy, the two variables were first recoded. ACE scores were measured using a total of 11 questions. First, 8 ACE categories were recoded as present or absent. Questions 1 through 5 had binary (yes/no) response options, which were recoded from 1 = yes and 2 = no to: 1 = present and 0 = absent. Questions 6 through 11 had 3 response options (1 = never, 2 = once, 3 = more than once). These questions were recoded as 1 = present (once or more) and 0 = absent (never) to follow the same coding pattern as questions 1 through 5. More than one question was used to measure two ACE categories: sexual abuse and substance use in the home. In this case, scoring for questions pertaining to each category were summed and a score of  $\geq 1$  was coded as present = 1 and a score of 0 was coded absent = 0. The sum of all eight categories (e.g., emotional, physical, and/or emotional abuse, domestic violence, imprisonment of household member, separated parents, caregiver mental illness, and/or substance abuse in the home) produced one variable measuring ACEs with a score ranging from 0 to 8. Next, a new variable was created that broke

ACE scores into terciles, which resulted in a final 3-category ACE variable (0 = 0, 1 = 1-3, and 2 =  $\geq 4$ ) to be used in the final logistic regression model.

Smoking in pregnancy at 34-36 weeks' gestation was measured by documenting how many days of the week a mother smoked. Coding for the 8 response options were: 1 = "less than one day", 2 = "one day a week", 3 = "two days a week", 4 = "three days a week", 5 = "four days a week", 6 = "five days a week", 7 = "six days a week" and 8 = "seven days a week". Women who reported that they quit smoking at 34-36 weeks' gestation were manually coded as 0 = zero. As a result, scores from the smoking variable ranged from 0-8. To use this variable in the final binary logistic regression model, this variable was categorized into two groups: 0 = women who quit smoking and 1 = women who currently smoke. Next, an unadjusted logistic regression model examined the 3-category ACE variable (0, 1-3,  $\geq 4$  ACEs) against smoking in pregnancy (0 = no smoking in pregnancy, 1 = smoking in pregnancy) using ORs and 95% CIs. For the binary logistic regression models an ACE score of zero served as the reference category. Significance of the association was determined using 95% CIs. If the CIs included the null value of 1.0, the association was reported as not significant.

In the second logistic regression model the focal association was adjusted for covariates. Covariates for this model were selected *a priori* based on previous research including age, education, income, and pregnancy intent (Chung et al., 2010; Currie & Tough, 2021; Racine et al., 2020). Each of these variables were also tested against the outcome variable (smoking in pregnancy) in separate unadjusted logistic regression models. Those that had a *p*-value < 0.20 (i.e., a confidence interval of 80%) were retained and included in the final regression model (Bursac et al., 2008). All covariates, except age, met this threshold. Age was retained as a covariate as it is a strong risk factor for health outcomes and is a variable commonly controlled

for. To avoid over fitting models, covariate categories were collapsed when cell sizes were small or when confidence intervals were too wide.

### **Analysis strategy: research objective 2**

The association between maternal ACE score and smoking status must be statistically significant to test whether partner support moderates this association. Assuming the main association shows statistical significance, the next step would be to create a multiplicative term between each dummy coded maternal ACE score category (0, 1-3,  $\geq 4$ ) and partner support. The multiplicative terms will be included in the regression model as it will provide a means of formally testing the difference between logistic coefficients (Jaccard, 2001). Significance of a multiplicative term is indicative of moderation taking place. In the case that a multiplicative term shows statistical significance ( $p < 0.05$ ), the next step would be to stratify the main regression model by partner support. Stratification of the main regression model by supportive versus unsupportive partners, would have illustrated the heterogeneity of effects of the focal association between the two respective groups (Jaccard, 2001).

After excluding women who did not smoke a cigarette 12 months before they got pregnant the original dataset sample size was reduced from 3,362 to 539 participants. Participants who had missing data for their ACE score, smoking status, partner support or on one or more of the confounders were removed from the analysis using listwise deletion. After using listwise deletion, 41.4% ( $N = 223$ ) of the sample remained as they completed all relevant questions related to the variables examined in this secondary analysis. All analyses were run using SPSS 26.

## 4.4 RESULTS

### Sample characteristics

The sample examined in this chapter was comprised of mature pregnant women who had smoked cigarettes at least once in the 12 months before their pregnancy. The mean age of the sample was 28.9 years ( $\pm 4.9$ , range 18-40 years). As shown in Table 1, the sample was well educated with 70% having at least some post-secondary education. Nearly 70% had an annual household income above \$70,000 and about a fourth of the sample had household incomes above the Canadian median of \$95,895 (Statistics Canada, 2011a). Pregnancy was intended for approximately 70% of the sample, which is similar to national estimates found by other researchers (Oulman et al., 2015). Of the full study sample, all women were partnered of which approximately 10% were single/separated with a partner, 30% in a living common law partnership and the remaining 60% were married. Most (91.0%) identified as having supportive partners.

Table 4.1

*Total Sample Characteristics (N = 223)*

Sample Characteristics	Full Sample <i>n (%)</i>
Total	223 (100)
Age	
< 35 years	193 (86.5)
≥ 35 years	30 (13.5)
Highest Level of Education Completed	
No post-secondary	67 (30.0)
Post-secondary	156 (70.0)
Income	
< \$10,000-\$39,999	31 (13.9)
\$40,000-\$69,999	38 (17.0)
\$70,000-\$99,999	67 (30.0)
≥ \$100,000	87 (39.1)
Pregnancy Intendedness	
Yes	154 (69.1)
No	69 (30.9)
Marital Status	
Single/separated with partner	23 (10.3)
Common-law	71 (31.8)
Married	128 (57.4)
Partner Support	
Supportive partner	203 (91.0)
Unsupportive partner	20 (9.0)
Maternal ACE Mean Score (SD)	1.7 (± 1.9)
Maternal ACEs	
0	86 (38.6)
1-3	99 (44.4)
≥ 4	38 (17.0)
Smoking during Pregnancy	
No smoking while pregnant	69 (30.9)
Occasional smoker (1-3 days per week)	95 (42.6)
Frequent smoker (4-7 days per week)	59 (26.5)

## **Maternal ACE scores**

On a scale of 0 to 8, the mean maternal ACE score was 1.6 ( $\pm$  1.8, range = 0-7), which is comparable to other studies in North America (Bellis et al., 2014; Merrick et al., 2018). As shown in Table 1, the percentage of women with an ACE score of 0, 1-3,  $\geq$  4 were 39%, 45%, 17%, respectively. The mean ACE score for women who continued to smoke during their pregnancy was 1.7 ( $\pm$  1.9, range = 0-7), which was slightly higher but not significantly different from women who quit smoking ( $1.3 \pm 1.4$ , range = 0-6). There was a statistically significant difference between high and low ACE scores for pregnancy intent, as women who had unintended pregnancy were twice as likely to have an ACE score  $\geq$  4 (OR 2.07, CI 1.013, 4.23). There was no statistically significant difference between high and low ACE scores for age, education, income, and partner support.

## **Smoking in pregnancy**

All women ( $N = 223$ ) included in this study smoked at least one cigarette in the 12 months before becoming pregnant. As shown in Table 1, approximately one third of the sample quit smoking at the beginning of or during their pregnancy, while just under half of the women smoked occasionally (1-3 days a week), and a quarter smoked frequently (4-7 days a week). Women who smoked occasionally and frequently during their pregnancy were grouped together to create a binary smoking variable for the final logistic regression model (0 = did not smoke during pregnancy, 1 = did smoke during pregnancy).

Individual chi-square tests of independence were performed to examine the association between socio-demographic variables (e.g., age, education, income, pregnancy intent, and partner support) and maternal smoking status. Education ( $\chi^2 (1, N = 223) = 13.74, p < 0.001$ ),



income ( $\chi^2 (3, N = 223) = 16.15, p = 0.001$ ) and pregnancy intent ( $\chi^2 (1, N = 223) = 12.65, p < 0.001$ ) were all significantly associated with smoking status at 34-36 weeks' gestation.

Frequencies showed that more women with lower levels of education, annual household income and unintended pregnancy were more likely to smoke at 34-36 weeks' gestations than their respective counterparts. Individual chi-square tests showed no significance when examining the effect of age and partner support on smoking status at 34-36 weeks' gestation.

### **Research objective 1**

In an unadjusted model, a maternal ACE score above 0 was not associated with an increased odds of smoking in pregnancy among women who had smoked cigarettes before becoming pregnant (Table 2). The association remained non-significant in the adjusted model, which controlled for age, education, income, and pregnancy intent. A mother's education level was significantly associated with her likelihood of smoking in pregnancy. Having no post-secondary education was moderately associated with smoking in pregnancy, with a 2.7 odd increase in smoking when compared to mothers with at least some post-secondary education. Likewise, income level was significant and moderately associated with smoking in pregnancy. Mothers who had an annual household income between \$10,000 and \$99,999 were about twice as likely to smoke in their pregnancy when compared to mothers who had a household income of \$100,000 or more. Among the current sample, the association between maternal pregnancy intent and smoking was statistically significant and moderate. The odds of smoking in pregnancy were 2.5 times greater for women who had an unintended pregnancy compared to women who had an intended pregnancy. The present study findings indicate no post-secondary education, low levels of income, and unintended pregnancy to all serve as significant risk factors for smoking in pregnancy.

## **Research objective 2**

The association between maternal ACE score and smoking status was not statistically significant – consequently, partner support could not be tested for moderation.

Table 4.2

*Odds Ratios and Adjusted Odds Ratios (AORs) for Smoking in Pregnancy by ACE Score and by Sample Characteristics (N = 223)<sup>ab</sup>*

	%	OR	AOR <sup>b</sup>
ACE Score			
0	37.7	1.0 (Reference)	1.0 (Reference)
1-3	50.7	0.68 (0.35, 1.33)	0.71 (0.34, 1.48)
≥ 4	8.7	2.46 (0.95, 6.34)	1.98 (0.73, 5.36)
Age			
< 35	86.4	1.0 (Reference)	1.0 (Reference)
≥ 35	13.6	1.05 (0.46, 2.43)	1.47 (0.59, 3.66)
Highest Level of Education			
No post-secondary	37.7	<b>4.03 (1.86, 8.72)</b>	<b>2.68 (1.15, 6.26)</b>
Post-secondary	62.3	1.0 (Reference)	1.0 (Reference)
Income			
< \$10,000-\$39,999	<b>16.9</b>	<b>4.43 (1.56, 12.59)</b>	2.38 (0.75, 7.57)
\$40,000-\$69,999	<b>20.1</b>	<b>3.77 (1.50, 9.48)</b>	2.17 (0.80, 5.90)
\$70,000-\$99,999	<b>32.5</b>	<b>2.50 (1.25, 5.01)</b>	<b>2.33 (1.12, 4.83)</b>
≥ \$100,000	30.5	1.0 (Reference)	1.0 (Reference)
Pregnancy was Intended			
No	<b>61.7</b>	<b>3.66 (1.74, 7.72)</b>	<b>2.49 (1.12, 5.53)</b>
Yes	38.3	1.0 (Reference)	1.0 (Reference)
Partner Support			
Unsupportive	9.1	1.05 (0.39, 2.86)	-
Supportive	90.9	1.0 (Reference)	-

<sup>a</sup> Significant associations are presented in bold using a 95% CI

<sup>b</sup> AOR adjusted for age, education, income, and pregnancy intent

## 4.5 DISCUSSION

The primary objective of this study was to examine if there is an association between maternal ACE scores and smoking at 34-36 weeks' gestation. The association between maternal ACE scores and smoking was non-significant among the current sample of mature women who smoked in the 12 months before their pregnancy. To date there are very few studies that have reported a non-significant association between ACE scores and smoking including a secondary analysis of 2,604 participants in America (Cosanella et al., 2019). Another study using data from

the Kaiser Permanente Medical Care Program found the association between ACEs and smoking to be insignificant among men but not women (Strine, Edwards, et al., 2012).

In contrast to the present findings, several studies have reported the association between ACEs and smoking to be significant among pregnant women (Blalock et al., 2011; Chung et al., 2010; Racine et al., 2020). Specifically a study by Racine and colleagues using the same dataset as the present study, reported a strong dose-response association between ACE scores and smoking in pregnancy (Racine et al., 2020). Confidence intervals associated with odds ratios reported in the study are wide indicating that there is high variability in the sample. Furthermore, a couple important differences that exist between the present study and the study by Racine and colleagues are: (1) the present study included a specific subsample of pregnant women who smoked at least once in the 12 months before they got pregnant compared to the inclusion of all women who smoked or did not smoke before pregnancy; (2) in the present study, smoking was measured after women knew they were pregnant versus before women knew they were pregnant (Racine et al., 2020). This is an important difference given pregnancy status has been shown to impact mother's smoking status and be a strong motivating factor for mothers to quit smoking during their pregnancy (Breunis et al., 2019; Xu et al., 2013). These differences noted may only partially explain the discrepancy in findings.

It is also possible that the non-significant findings could partly be explained by multiple protective mechanisms at play within the present sample. The sample largely consisted of mature mothers (mean age =  $29 \pm 4.9$ ), who had post-secondary education (70%), a household income above \$70,000 (70%), and supportive partners (91%). Overall, the women in the present study were older, had higher levels of education and income on average compared to two other studies that have found a significant association between childhood adversity and smoking among

pregnant women (Blalock et al., 2011; Chung et al., 2010). For example, a study by Chung and colleagues primarily consisted of young (mean age =  $24 \pm 6.0$ ) pregnant women who had a personal annual income of \$11,758 or less (75%) and had a high school diploma or less (82%) (Chung et al., 2010). Another study comprised of largely young (mean age =  $25 \pm 4.7$ ) mothers who had an annual family income of \$19,999 or less (54%) and had a high school degree or less (68%) (Blalock et al., 2013). On average the annual/personal household income was considerably higher in the present study as well as the percentage of women in the present study who had post-secondary education (38-52%) compared to the two studies mentioned (Chung et al., 2010).

Research has found high socioeconomic status factors to be protective against health-risk behaviours, mental illness, and adverse health outcomes associated with ACEs among the general population (Korotana et al., 2016). High socioeconomic status factors appear to have a similar effect among pregnant mothers, where high income and educational attainment has been associated with a significantly lower likelihood of smoking (Bullock et al., 2001; Scheffers-van Schayck et al., 2019; Yang et al., 2017). Comparable results were obtained in the present study, with higher levels of education and income resulting in decreased levels of risk for smoking compared to their lower education and income counterparts. Furthermore, studies have highlighted high levels of education and income to mediate and modify the pathway between ACEs and substance use (Ben Salah et al., 2020; Cosanella et al., 2019; Lin & Chiao, 2021). Evidence shows that women of high socioeconomic status are less likely to experience frequent hardship compared to those of lower socioeconomic status (Matthews & Gallo, 2011). Research indicates that less hardship results in reduced stress levels, HPA-axis hyperactivity, and greater emotional regulation, all physiological mechanisms that can promote psychosocial well-being

and smoking cessation (Kassel et al., 2003; Matthews & Gallo, 2011). For example, during stressful times nicotine from cigarette smoking may be the most familiar and readily accessible means of relieving distress and providing a sense of control amidst chaos (Kassel et al., 2003). This is further supported by findings from a large (N = 7,210) secondary analysis using Kaiser-Permanente data that identified psychological distress as an intermediate variable between ACEs and smoking among adult women (Strine et al., 2012). It is postulated that psychological distress associated with low socioeconomic status may play a significant role in hindering smoking cessation success (Kassel et al., 2003). Additionally, women of low socioeconomic status are more likely to face additional barriers in trying to access the necessary prenatal care and mental health resources for childhood trauma and/or substance use that may only be provided by private health care services (Cui et al., 2014; Forray, 2016; Härkönen et al., 2018; Stephens et al., 2020). Indeed, women who come from disadvantaged backgrounds are at greater risk for a lifetime of smoking, more likely to start their pregnancy as a smoker, and continue smoking throughout their pregnancy due to a lack of protective life course factors and resiliency sources available (Boucher & Konkle, 2016; Schneider et al., 2010). Thus, given most of the women in this study were of high socioeconomic status it is plausible that the high socioeconomic status of women in the present sample had a protective effect and could explain in part the non-significant findings.

The second objective of this study was to investigate whether partner support moderated the association between maternal ACE scores and smoking in pregnancy. The modifying role of partner support could not be investigated due to the non-significant findings of the main maternal ACEs-smoking association. Unadjusted bivariate analysis illustrates partner support status had no effect on smoking habits among pregnant women. Unsupportive partners were a documented reason for study attrition, thus it is very likely that women with unsupportive partners were

significantly underrepresented in the present study (Tough et al., 2017). Given 91% of the sample reported having supportive partners, it is likely that supportive partners played a protective role in the present study (Cohen et al., 2016; Jaffee et al., 2017). It is postulated that supportive partners may promote emotional regulation and help manage cigarette cravings by providing emotional support during a transformational time in a woman's life (Cohen et al., 2016; Fillo et al., 2019; Ghosh et al., 2010). The present study can make no ascertainments about the impact of partner support on the association between ACEs and smoking in pregnancy, thus more research is needed to study the impact of close relationships on smoking habits linked to ACEs in pregnancy. In combination with high levels of partner support and high socioeconomic status, it is likely that multiple protective and resilience mechanisms had a synergistic effect on the non-significant findings between maternal ACE scores and smoking among the present study sample (Jesse et al., 2006; Matthews & Gallo, 2011; Yang et al., 2017). Multiple life course factors that can modify the ACEs-substance use association among the general population have been identified, but more research is needed to better understand life course factors that can buffer the adverse effect linked to ACEs among pregnant women (Ben Salah et al., 2020; Cosanella et al., 2019; Lin & Chiao, 2021).

### **Strengths and limitations**

Although the sample consisted largely of women with high socioeconomic status, a key strength of this study was the use of a large, otherwise representative sample. Given that ACEs precede smoking during pregnancy, a temporal sequence can be established for this study. All constructs were measured using standardized tools excluding partner support, which was measured using questions developed by the research team with expert consultation (Tough et al., 2017). Other limitations include the use of self-reported data. Findings of this study are based on

retrospectively collected ACE data and thus are subject to recall bias. Yet, other studies have found ACE data reported in adulthood to produce low rates of false positives and therefore are considered to provide valid estimates (Hardt & Rutter, 2004; Murphy et al., 2014). The reader should bear in mind that ACE data were collected at the sixth data collection timepoint and that there is an overrepresentation of healthy and resourceful participants in this study's sample (Tough et al., 2017). An *AOF* study reported that women who were older, in stable relationships, more educated, from higher income households, born in Canada and spoke English at home were more likely to remain in the study (Tough et al., 2017). Given the present study was a secondary data analysis, no sample size calculation was calculated for the variables under study.

#### **4.6 CONCLUSION**

Among the present sample of high socioeconomic status women, maternal ACE scores were not significantly associated with smoking at 34-36 weeks' gestation. Even though research has identified ACEs as a robust precursor for smoking over a life course, the present study findings suggest that there may be other protective and resilience mechanisms at play. It is recommended future studies examine the mechanisms that could mediate and modify the pathway between maternal ACEs and smoking in pregnancy. Identifying life course factors that can impact the presence of this association can help tailor interventions targeting to improve maternal and infant health outcomes.



## CHAPTER 5: CONCLUSION

Framed by a life course perspective, this thesis examined whether ACEs impacted a) anxiety symptoms, and b) smoking behaviour among pregnant women. Findings of this thesis suggest ACEs do not have an impact on anxiety or smoking in pregnancy. The findings are contrary to what was hypothesized based on existing research. At large, research shows ACEs to significantly increase the likelihood of anxiety symptoms and smoking in adulthood (Anda et al., 1999; Bayrampour et al., 2018; Bellis et al., 2014; Campbell et al., 2016; Edwards et al., 2007; Felitti et al., 1998; Ford et al., 2011; Gallo et al., 2018; Li et al., 2016; Lindert et al., 2014; Racine et al., 2021). Some studies did not find such associations, suggesting the effects of childhood adversity on anxiety and smoking not to be pervasive and not to persist (Blalock et al., 2011; Choi & Sikkema, 2016; Chung et al., 2010; Cosanella et al., 2019; Gilson & Lancaster, 2008; Lindert et al., 2014; Menke et al., 2019). Evidence indicates that there are multiple protective factors across a life course that can circumvent the negative effects linked to ACEs in pregnancy, thus potentially explaining the non-significant thesis findings (Algren et al., 2018; Appleton et al., 2019; Matthews & Gallo, 2011; Poole et al., 2018; Racine et al., 2018; Yang et al., 2017), which are presented below.

### **ACEs and anxiety in pregnancy**

In this thesis, I found no significant association between maternal ACE scores and anxiety at 34-36 weeks' gestation among a mature sample of women with moderate to high socioeconomic status ( $M = 30.4$  years,  $SD = 4.4$ ). These findings are in contrast to the majority of published studies that have found a statistically significant and positive association between ACEs and anxiety during this period (Bayrampour et al., 2018; Gallo et al., 2018; Li et al., 2016; Lindert et al., 2014; Racine et al., 2021). For example, a 2021 meta-analysis of 5 studies reported

a graded association between ACE scores and anxiety symptoms in pregnancy (Racine et al., 2021). Racine and colleagues found the overall pooled effect of ACEs on anxiety symptoms reported as significant and weak ( $r = 0.14$ ) (Racine et al., 2021). They also reported a variation in the strength of correlation between studies, which could indicate the presence of moderating variables. Findings in the study by Racine and colleagues (2021) suggest that the presence of other life course factors are modifying the strength of association between ACEs and anxiety symptoms.

It is important to note that women in this study, several protective life course factors may have helped to ameliorate the effect of ACEs on anxiety in pregnancy. For this part of my thesis most of the women included in the analysis were Caucasian (78.7%), had at least some post-secondary education (73.0%) and lived in a moderate to high income household earning at least \$70,000 or more (77.0%). All women were partnered, and 9 in 10 women reported having supportive partners. It is my contention, and that of others that these factors may provide protection against maternal anxiety despite high ACE scores. Consistent with these ideas, a few studies of women with similarly protective factors have reported non-significant associations between maternal ACEs and anxiety during the perinatal period (Choi & Sikkema, 2016; Gilson & Lancaster, 2008; Menke et al., 2019). For example, Menke et al. (2019) found no association between ACEs and anxiety in a sample of high income women. A study with a large sample of women ( $N = 2,793$ ) found low levels of education and lack of social support to exacerbate the effects of childhood abuse on anxiety in pregnancy (Buist et al., 2011). Multiple systematic reviews have found similar life course factors (e.g., socioeconomic status, social support, and partner relationships) to be influential on maternal mental health during pregnancy (Adhikari et al., 2020; Alipour et al., 2018; Bayrampour et al., 2018; Deklava et al., 2015). Given all women

in the sample were partnered and almost all reported having supportive partners, it may be theorized that the combined effect of strong socioeconomic status and partner support provided protection against anxiety among pregnant women with elevated ACEs in this thesis – as suggested by other authors (Appleton et al., 2019; Buist et al., 2011; Ghosh et al., 2010; Racine et al., 2019; Rini et al., 2006).

### **ACEs and smoking in pregnancy**

This thesis found no significant association between maternal ACE scores and smoking status at 34-36 weeks' gestation *among women who smoked in the 12 months before their pregnancy*. These results are opposite from the results published by most researchers in the field. Most studies have documented a dose-response association between ACEs and smoking later in life (Anda et al., 1999; Bellis et al., 2014; Campbell et al., 2016; Edwards et al., 2007; Felitti et al., 1998; Ford et al., 2011). Varying strengths of the association between an ACE score of 4 or more and smoking in adulthood have been reported, ranging from weak to moderate (Anda et al., 1999; Bellis et al., 2014; Blalock et al., 2011; Campbell et al., 2016; Chung et al., 2010; Edwards et al., 2007; Felitti et al., 1998; Ford et al., 2011; Racine et al., 2020). Similar to my thesis findings, a couple studies have reported non-significant associations between ACEs and smoking (Cosanella et al., 2019; Strine et al., 2012; Young-Wolff et al., 2019). Thus, indicating the association between maternal ACEs and smoking not to be deterministic in nature.

According to a recent systematic review and meta-analysis, socioeconomic status factors such as maternal age, education, and household income, can have a substantial impact on smoking status during pregnancy (Riaz et al., 2018). Like the sub-sample used to examine the ACEs-anxiety association for this thesis, the sub-sample of women included in the analysis for the ACEs-smoking association had multiple health-protective characteristics that likely

circumvented the impact of ACEs on health-risk behaviours, like smoking, in pregnancy. The women in this thesis were generally older, have completed higher levels of education and income compared to two other studies that found a significant association between childhood adversity and smoking among pregnant women (Blalock et al., 2011; Chung et al., 2010). It is reported by other researchers that pregnant women of lower education and income are a vulnerable group who likely face additional challenges in remaining abstinent (Martinez Leal et al., 2021). In a recent qualitative study, mothers explained that smoking was used to cope with stress caused by living with multiple disadvantages, such as mental illness, poverty, history of violence, abuse, and trauma (Martinez Leal et al., 2021). Given that the women included in this thesis were of moderate to high socioeconomic status, they likely had access to support services (e.g., counselling) and alternative means to cope with stress and/or withdrawal symptoms once they discovered they were pregnant (Matthews & Gallo, 2011). It is plausible that the non-significant findings could partly be explained by the moderate to high socioeconomic status characteristics of the sample included in this thesis. Consistent with the present study, a large (N = 2,604) secondary analysis of a low-risk sample also reported a non-significant association between ACE scores and smoking among the general population (Cosanella et al., 2019). Cosanella and colleagues postulated that the high socioeconomic characteristics of their study sample likely protected against the negative effects of ACEs on health-risk behaviours like smoking (Cosanella et al., 2019).

### **Socioeconomic status and resilience**

Prospective life course research illustrates socioeconomic status as an origin of lifestyle behaviours and subsequent health outcomes across a lifespan (Dalstra et al., 2005; Hosseinpoor et al., 2012; Kivimäki et al., 2020; Van Minh et al., 2008; Wang & Geng, 2019). Preliminary

findings of my thesis suggest women with lower education and income to have significantly higher mean anxiety scores (mean difference = 3.14-6.08) and odds of smoking (OR = 2.26-2.38) compared to their higher educated and more affluent counterparts. This falls in line with existing evidence illustrating those from disadvantaged backgrounds to have an increased risk of experiencing anxiety symptoms and smoking in pregnancy compared to those of higher socioeconomic status (Bullock et al., 2001; Cena et al., 2020; Kivimäki et al., 2020; Nasreen et al., 2011; Scheffers-van Schayck et al., 2019; Wang & Geng, 2019; Yang et al., 2017). Studies have also found women of low socioeconomic status to be more likely to experience frequent hardship, material deprivation and higher levels of stress compared to those of higher socioeconomic status (Algren et al., 2018; Dalstra et al., 2005; Martikainen et al., 2003; Matthews & Gallo, 2011; Van Minh et al., 2008; Verbeek et al., 2019). Elevated stress results in HPA-axis hyperactivity and emotional dysregulation, both physiological mechanisms that can amplify anxiety symptoms and smoking cravings (Algren et al., 2018; Kassel et al., 2003; Littleton et al., 2007; Matthews & Gallo, 2011; Molarius et al., 2009). Stress linked to aspects of low socioeconomic status has been found to exacerbate the negative effects of trauma and adversity across a lifespan (e.g., low income, education, and unemployment) (Lin & Chiao, 2021; Verbeek et al., 2019).

High socioeconomic environments have been associated with greater access to social and personal resources that promote healthy coping strategies, compared to low socioeconomic environments (Algren et al., 2018; Matthews & Gallo, 2011; Yang et al., 2017). Evidence shows that access to mental health resources, such as therapy, can help individuals cope with childhood trauma, substance misuse, and/or anxiety (Knekt et al., 2015; Sloan et al., 2018). Therapy in pregnancy primarily involves behavioural counselling which may require significant personal

and financial resources to participate in (Forsay, 2016; Thomas et al., 2014). Such mental health resources are generally not covered by public healthcare or insurance plans in Canada, and therefore is only accessible to those who can afford it (Cohen & Peachey, 2014). Access to counselling can promote psychosocial well-being and resilience (Ungar & Liebenberg, 2011). Resilience refers to an individual's adaptive ability to cope with change, process difficult feelings, and recover from adversity (Young-Wolff et al., 2019). Resilience research shows that beneficial experiences, such as supportive relationships, can also promote emotional regulation and mental well-being (Bellis et al., 2017; Cohen et al., 2016; Cohen & Wills, 1985; Narayan et al., 2018; Perreira et al., 2019; Racine et al., 2018); both of which, can play an integral role in protecting against maternal anxiety symptoms and smoking linked to ACEs (Gilman et al., 2008; Perreira et al., 2019; Poole et al., 2018; Schneider et al., 2010; Stubbs et al., 2019; Wray et al., 2013). Altogether, the sample used for this thesis had many health-protective characteristics, which likely promoted resiliency and mental well-being.

### **Partner support and resilience**

This thesis was not able to examine the modifying impact of partner support on the association between ACEs and both, anxiety, and smoking in pregnancy due to the non-significant findings. Considering that almost all women perceived their partners as supportive, it is likely that partner support also had a protective effect against anxiety and smoking among the sample of pregnant women included in my thesis. For instance, positive and meaningful connections with a partner have consistently been associated with higher levels of happiness, self-esteem, and fulfilment – buffering against adverse health effects linked to stress (Chao, 2011; Lakey, 2013). Indeed, those who experience positive relationships tend to report greater mental well-being and higher rates of positive affect (Finch et al., 1999; Lakey et al., 2016).

Supportive relationships have also shown to lower stress levels by promoting emotional regulation and psychosocial resilience, subsequently protecting against anxiety symptoms and cigarette cravings (Cohen et al., 2016; Fillo et al., 2019; Ghosh et al., 2010; Poole et al., 2018). Relationships are in many ways important for mental well-being (Turner & Turner, 2013). Social support is a protective factor that acts as a buffer in situations of psychosocial crisis and adversity (Ahmadi, 2015; Molarius et al., 2009). Thus, many health protective characteristics likely played a role in the findings for this thesis.

### **Sources of resilience following ACEs**

There are various life course factors that could circumvent the negative effects of mental and physical well-being following childhood adversity (Afifi et al., 2022; Cheung et al., 2017, 2018; Logan-Greene et al., 2014). Research has found school engagement, emotional closeness, perspective taking, and future-oriented thinking to result in greater mental well-being and health promotive behaviours (Afifi et al., 2022; Cheung et al., 2017, 2018). Youth who are committed to school, involved in school activities, achievements, and have caring teachers may receive more support and have more self-confidence, subsequently promoting well-being (Cheung et al., 2017). In terms of emotional closeness, supportive relationships such as having a close friend or trusted adult, can increase abused youth's ability to cope (Cheung et al., 2017). A close friend or trusted adult who practices empathic listening can help youth feel safe, understood, and accepted. Empathic listening from an adult can also create a safe space for collaborative problem solving and opportunity for perspective taking as well as future-oriented thinking (Eskritt et al., 2014; Häggström Westberg et al., 2019). Future-oriented thinking encourages reflective decision-making in adolescence and promote optimism for the future by setting goals and making plans to achieve those goals (Eskritt et al., 2014; Häggström Westberg et al., 2019). School engagement,

emotional closeness, perspective taking, and future-oriented thinking are all factors that foster resilience among youth, reducing the likelihood of mental illness and substance use (Afifi et al., 2022; Cheung et al., 2017, 2018). It is possible that some of these life course factors were present during adolescence for some of the women included in the secondary analysis of this thesis.

### **Thesis limitations**

Findings of this thesis should be considered with several limitations in mind. First, all data utilized in the secondary analyses were self-reported. ACE data were retrospectively collected; thus, findings of this thesis are subject to recall bias. Yet, studies have shown retrospectively collected ACE data to remain a reliable measure producing valid estimates and low rates of false positives (Hardt & Rutter, 2004; Murphy et al., 2014). If ACE data were collected earlier in the *AOF* study, findings may have resulted in a more representative sample and produced different results. This thesis only examined the cumulative effect of ACEs on pregnancy outcomes and not the impact of specific ACE subtypes on pregnancy outcomes. All constructs were measured using standardized tools except for partner support.

In the *AOF* study experts were involved in the development of the three questions used to measure partner support (Tough et al., 2017). The three questions individually measured one of the following types of support: emotional, practical, and healthy lifestyle. The response from each question was measured on a Likert scale comprising a total score ranging from 3 to 14. The Cronbach's alpha indicates low internal consistency for this scale in this thesis. A low alpha value could be explained by a small number of questions or poor inter-relatedness between questions (Tavakol & Dennick, 2011). Measuring partner support in pregnancy lacks rigor. Researchers have used various scales to measure this construct resulting in study findings that are difficult to compare (Pilkington et al., 2015). One question or a combination of questions



have been used to measure partner support (Ghosh et al., 2010; Racine et al., 2019; Rini et al., 2006); where others have used validated scales or adapted standardized scales (e.g., *Relationship Questionnaire*, *Social Support Effectiveness Scale*, *Norbeck Social Support Questionnaire* and *Postpartum Partner Support Scale*) (Cheng et al., 2016; Cohen et al., 2016; Pilkington et al., 2015; Stapleton et al., 2012).

Pregnant women with unsupportive partners were likely underrepresented in this thesis. Less than 10% reported having unsupportive partners and unsupportive partners were a documented reason for study attrition in the larger *AOF* study (Tough et al., 2017). Participants who remained in the *AOF* study were reported to be older, more educated, have a higher household income, Canadian born, fluent in English and in stable relationships (Tough et al., 2017). Given that women from disadvantaged backgrounds (e.g., younger, uneducated, from lower income households etc.) were less likely to remain in the study, low socioeconomic status women were also underrepresented. To account for an overrepresentation of high socioeconomic status characteristics, socioeconomic status variables were entered into statistical models as covariates. Yet, residual confounding likely remained after adjustment of socioeconomic status characteristics given the source population consisted of women of high socioeconomic status – consequently resulting in an imperfect adjustment and selection bias (Szklo & Nieto, 2018). Covariates for all statistical models were carefully selected *a priori* and based on existing literature. Lastly, the present study was a secondary data analysis, therefore no sample size calculation was done.

### **Future research directions**

Understanding the risk factors for maternal anxiety and smoking in pregnancy is important for prevention of adverse pregnancy outcomes. The non-significant findings bring

attention to the impact of maternal ACEs on anxiety and smoking in pregnancy across the socioeconomic spectrum (Biaggi et al., 2016; Buist et al., 2011; Xu et al., 2013; Yang et al., 2017; Young-Wolff et al., 2019). To determine whether higher socioeconomic status can circumvent the adverse effects of ACEs on mental well-being and substance use among pregnant mothers, it is recommended for studies to test moderating pathways. Being able to identify moderators of the association between ACEs and pregnancy outcomes can help explain “when” and “for whom” associations are stronger or weaker.

Future researchers should consider the risk and benefits of both cross-sectional and longitudinal studies. Given women of lower socioeconomic status may face additional barriers to continue participation in longitudinal cohort studies, it may be worth considering the use of a cross-sectional or short-term longitudinal design where study commitment is low. Longitudinal studies are superior to cross-sectional study designs, however cross-sectional studies are sufficient in collecting prevalence data and may increase the likelihood of recruiting a representative sample (Kesmodel, 2018; Szklo & Nieto, 2018). Due to pregnancy being a transitional time in a women’s life, researchers have noted some challenges with recruiting pregnant women (Admon et al., 2016; Blehar et al., 2013; Kesmodel, 2018). Recruitment challenges in perinatal research could be avoided by increasing the visibility of research in social media, which could promote study access, sampling frame, and ultimately reduce the threat of selection bias (Admon et al., 2016; Blehar et al., 2013; Kesmodel, 2018). Given that anxiety symptoms and smoking status can change across trimesters, it is important for researchers to ensure anxiety symptoms and smoking status are measured in the same stage of pregnancy for all participants (Breunis et al., 2019; Madhavanprabhakaran et al., 2015; Racine et al., 2021; Xu et al., 2013). In an effort to better understand the moderating and mediating pathways of resilience

between ACEs and health outcomes, researchers have called for the use of mixed-method approaches and the inclusion of strength-based questions in ACE studies (Leitch, 2017).

## **Conclusion**

In contrast to previous studies, this thesis found maternal ACE score was not associated with anxiety or smoking during pregnancy (Audrain-McGovern et al., 2012; Duffy et al., 2018; Hofmann et al., 2012; Shonkoff & Garner, 2012). Yet, these findings add to a growing body of evidence that suggests there may be important protective factors that may help to ameliorate the adverse impacts of childhood ACEs on a women's mental health and health behaviour in pregnancy. It is important to note that the generalizability of the findings of this thesis are limited to low-risk samples of women. From a life course perspective, health outcomes are the result of the cumulative risk and protective factors an individual is exposed to across a lifespan (Halfon & Hochstein, 2002). Although the current study cannot ascertain the cumulative role of any specific life course factors, it is postulated based on existing research that the moderate to high socioeconomic status characteristics of the study sample are health protective and could play a key role in explaining the non-significant findings (Dalstra et al., 2005; Hosseinpoor et al., 2012; Kivimäki et al., 2020; Van Minh et al., 2008; Wang & Geng, 2019). Importantly, the observed associations are not deterministic. Thus, not all pregnant women who were exposed to ACEs developed anxiety symptoms or smoke during pregnancy.

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## Appendix 1: Adverse Childhood Experiences Questionnaire

1. Before 18 years of age: Did you live with anyone who was depressed, mentally ill, or suicidal? Yes, No
2. Before 18 years of age: Did you live with anyone who was a problem drinker or alcoholic? Yes, No
3. Before 18 years of age: Did you live with anyone who used illegal street drugs or who abused prescription medications? Yes, No
4. Before 18 years of age: Did you live with anyone who served time or was sentenced to service time in prison, jail, or other correctional facility? Yes, No
5. Before 18 years of age: Were your parents separated or divorced? Yes, No
6. Before 18 years of age: How often did you parents or adults in your household ever slap, hit, kick, punch or beat each other up? Never, Once, More than once
7. Before 18 years of age: How often did a parent or adult in your home ever hit, beat, kick, or physically hurt you in any way? (Please do not include spanking) Never, Once, More than once
8. Before 18 years of age: How often did a parent or adult in your home ever swear at you, insult you, or put you down? Never, Once, More than once
9. Before 18 years of age: How often did anyone at least 5 years older than you or an adult ever touch you sexually? Never, Once, More than once
10. Before 18 years of age: How often did anyone at least 5 years older than you or an adult try to make you touch them sexually? Never, Once, More than once
11. Before 18 years of age: How often did anyone at least 5 years older than you or an adult force you to have sex? Never, Once, More than once



## Appendix 2: Spielberger State Anxiety Inventory

Response option for all of the following include:

- 1: Not at all
- 2: Somewhat
- 3: Moderately so
- 4: Very much so

1. Right now (in this moment)... I feel calm
2. Right now (in this moment)... I feel secure
3. Right now (in this moment)... I am tense
4. Right now (in this moment)... I am regretful
5. Right now (in this moment)... I am at ease
6. Right now (in this moment)... I feel upset
7. Right now (in this moment)... I am presently worried over possible misfortunes
8. Right now (in this moment)... I feel rested
9. Right now (in this moment)... I feel anxious
10. Right now (in this moment)... I feel comfortable
11. Right now (in this moment)... I feel self-confident
12. Right now (in this moment)... I feel nervous
13. Right now (in this moment)... I feel jittery
14. Right now (in this moment)... I feel "high strung"
15. Right now (in this moment)... I feel relaxed
16. Right now (in this moment)... I feel content
17. Right now (in this moment)... I am worried
18. Right now (in this moment)... I feel overexcited and rattled
19. Right now (in this moment)... I feel joyful
20. Right now (in this moment)... I feel pleasant