

1 **Quantifying song categories in Adelaide's Warbler (*Setophaga adelaidae*)**

2
3 **Chinthaka D. Kaluthota^{1,4}, Orlando J. Medina², David M. Logue^{1,3}**

4 ¹ Behaviour and Evolution Research Group, Department of Psychology, University of Lethbridge. 4401 University
5 Drive West, Lethbridge, AB T1K3M4, Canada. (ORCID: 0000-0001-8151-4289)

6 ² Cabo Rojo National Wildlife Refuge. United States Fish and Wildlife Service. PO Box 510, Boqueron, Cabo Rojo,
7 PR 00622-0510, USA

8 ³ Departamento de Biología, Universidad de Puerto Rico. Mayagüez PR, 00682, USA

9 ⁴ Corresponding author email: kaluthota@uleth.ca, Phone: +1 (403) 715-8027

10
11 **Abstract**

12 Many migratory wood-warblers in the genus *Setophaga* divide their song repertoires into two categories. Category B
13 songs are usually sung before dawn, with immediate variety and short latencies between songs, whereas category A
14 songs are sung exclusively after dawn, with eventual variety and longer latencies between songs. Songs in different
15 categories may also differ with respect to their acoustic structure. We used an unsupervised clustering algorithm to
16 identify song categories in Adelaide's Warbler (*Setophaga adelaidae*), a year-round territorial species. We identified
17 two categories of song types, the characteristics of which are similar to song categories in other migratory wood-
18 warblers. Clusters were not well-separated, suggesting that song categories may not be discrete. Song structures in
19 the two categories were similar, but category B songs were shorter and had fewer notes than did category A songs.
20 On average, dyads of males shared more category B songs than category A songs, and were more likely to use
21 category B songs when song type matching other males. The most important song delivery variable for separating
22 clusters was residual average run length (residual values control for covariation with time of day), followed by
23 percent of songs delivered before dawn, residual latency, and percent of songs used as song-type matches. We
24 recommend a scheme based on the first three variables to classify novel song types.

25
26 Key words: Adelaide's Warbler, Neotropical birds, singing modes, song repertoires, song types, year-round
27 territoriality

29 **Acknowledgments**

30 We are grateful to P. Sánchez-Jaureguí, F. L. Tarazona, J. Illanas, K. Medina, and A. Lamela for helping with data
31 scoring. We also thank B. Parker, T. Shlakoff, C. Logue, J. Báez, R. Irizarry, and A. García who processed song
32 recordings, and J. McClure who measured frequency excursion. P. Linhart, D. Spector, and an anonymous referee
33 offered valuable comments on an earlier version of this manuscript. This research was funded in part by a Discovery
34 Grant from the Natural Sciences and Engineering Research Council of Canada (RGPIN-2015-06553) to D.M.L. The
35 U.S. Fish and Wildlife Service granted permission to work at the Cabo Rojo Wildlife Refuge (permit 2012-01).
36 Protocols were approved by the Institutional Animal Care and Use Committee at the University of Puerto Rico,
37 Mayagüez (permit 2010 09 17).

38

39 Conflict of interest: The authors declare that they have no conflict of interests

40

41 In many songbird species, individuals can produce more than one song type. The set of song types that an individual
42 can sing is called a repertoire. Song type repertoires are hypothesised to function in both mate attraction and in
43 competition with rival conspecifics (Catchpole & Slater, 2008). Some species divide their song repertoires into
44 distinct categories (Illes, 2015; Molles & Vehrencamp, 1999; Spector, 1992). This pattern is especially common in
45 wood-warblers belonging to the genus *Setophaga*, many of which use two song categories (Family: Parulidae;
46 Bolsinger, 2000; Demko et al., 2013; Price & Crawford, 2013; Spector, 1992; Wiley et al., 1994). The two song
47 categories may be characterized by distinct song delivery patterns, acoustic structures, and / or behavioral contexts
48 (Spector, 1992). Throughout this report, we follow Spector's (1992) recommendation to refer to these categories as
49 "first category" and "second category" when referencing multiple species, and to use pre-existing terminology when
50 discussing individual species (e.g., "category A" and "category B," in the case of our focal species). Many, but not
51 all, *Setophaga* wood-warblers deliver first category songs in a repetitive manner, with relatively long silent gaps
52 between songs, during the daytime, and in the context of male-female interactions (Spector, 1992). By comparison,
53 second category songs are typically delivered with immediate variety, at higher singing rates, mostly around dawn
54 and in the context of male-male interactions (Beebee, 2004b; Kroodsma et al., 1989; Price & Crawford, 2013;
55 Spector, 1992; Staicer, 1989, 1991, 1996a).

56 In addition to differences in delivery patterns and behavioral context, songs from the two categories can be
57 structurally distinct. In American Yellow Warblers (*Setophaga petechia*), for example, type I (first category) songs
58 have higher trill rates and wider frequency bandwidths than type II (second category) songs (Beebee, 2004b).
59 Similarly, Price and Crawford (2013) showed that Pine Warblers' (*Setophaga pinus*) first category songs have
60 significantly higher trill rates than their second category songs.

61 The existence of song categories in wood-warblers is a foundational example of functional variation in
62 song type repertoires (Catchpole & Slater, 2008; Macdougall-Shackleton, 1997). Most published research on song
63 categories in wood-warblers, however, has come from North-temperate, migratory species. These studies may not
64 represent the many wood-warbler species that breed at other latitudes because the vocal behavior of tropical and
65 southern-hemisphere songbirds is often different from that of North-temperate species (Curson et al., 1984;
66 Stutchbury & Morton, 2001). In the present study, we evaluate the evidence for two distinct song categories in a
67 year-round territorial, tropical wood-warbler.

68 Adelaide's Warbler (*Setophaga adelaidae*) is endemic to Puerto Rico and the nearby island of Vieques
69 (Toms, 2010). Socially monogamous pairs defend all-purpose territories throughout the year. Previous studies of this
70 species conclude that males have two distinct song categories (Staicer, 1991, 1996a, 1996b). Those studies indicate
71 that males deliver category A (first category) songs after dawn, throughout the year, with eventual variety, and
72 relatively long intervals between songs. Category B (second category) songs occur primarily before dawn, during
73 the breeding season, with immediate variety, and relatively short intervals between songs. Category B songs are also
74 reported to occur later in the day, when they are still delivered with immediate variety and short intervals (although
75 intervals are longer and variety is lower than during pre-dawn singing). Males tend to use Category A songs when
76 interacting with females and Category B songs when interacting with other males.

77 Although the categorization of songs into two distinct modes is easily achieved for some wood-warbler
78 species, Staicer (1996b) found that Adelaide's Warbler songs could not be easily categorized because the acoustic
79 properties of songs in the two categories overlap. Song categorization is further complicated by the fact that
80 different males can assign a given song type to different categories (throughout the manuscript we use the term
81 "assign" to indicate that a bird delivers a song in a way that is characteristic of a given category). For example, one
82 male could assign song X to category A, but a different male could assign song X to category B. This type of
83 individual-specific usage of song types has also been observed in some other wood-warbler species (Beebee, 2004b;
84 Lemon et al., 1985; Price & Crawford, 2013; Spector, 1991; Staicer, 1989).

85 Staicer (1991, 1996a) used song delivery patterns to categorize songs. In one study, songs sung before
86 dawn (hereafter, "dawn" after Staicer, 1991) and after dawn ("morning") were taken to represent category B and A
87 songs, respectively (Staicer, 1996a). In another study, Staicer (1991, p. 21) describes a slightly different
88 categorization scheme: "Songs that males sang in the dawn chorus were called B songs and those that males
89 switched to around sunrise were called A songs.... If I recorded a song type only after sunrise but at a rate typical of
90 B songs, and usually in the same bout (consecutive song sequence) with B songs, I classified it as a B song" (a
91 similar description can be found in Staicer, 1996b).

92 Staicer's categorization schemes succeeded in elucidating important patterns in Adelaide's Warblers' vocal
93 communication system (Staicer, 1996a, 1996b). Nevertheless, we see room for improvement. The first approach
94 described in the previous paragraph has the potential to miscategorise category B songs, which are reported to occur
95 both before and after dawn. The second approach improves on the first, but relies on the analyst to determine typical

96 rates of category B songs and whether a given song is sufficiently linked to other category B songs. We had several
97 motivations to develop a different approach to song categorization. First, we wanted to test whether Adelaide's
98 Warbler repertoires are best divided into two categories, as opposed to one category, three categories, etc. Second,
99 we were interested in which song delivery variables were most useful for categorizing songs. Third, we wanted to
100 develop an algorithm to categorize novel songs (see Chapter 3 of Hastie & Dawes 2010 for a discussion of the
101 benefits of automatic judgement algorithms). Finally, we wanted to compare our results to Staicer's (1991, 1996a, b)
102 results because replication with novel data and a novel analytic approach is a critical, but underutilized, component
103 of the scientific method (Baker, 2016).

104 The present study examines song categories in male Adelaide's Warbler. We applied an unsupervised
105 clustering algorithm to song type repertoires. Specifically, we ran cluster analyses for all fifteen combinations of
106 four song delivery variables believed to be important for distinguishing song categories. We chose the best number
107 of clusters (k) from each analysis, and then the best analyses based on a given number of variables. We evaluated
108 the four remaining clustering schemes with respect to the number of song categories, their characteristics, their
109 discreteness, and their distributions across individuals. Finally, we looked at patterns of song sharing and compared
110 song structures across categories.

111

112 **Methods**

113 **Field data collection**

114 We recorded nine mated male Adelaide's Warblers at the Cabo Rojo National Wildlife Refuge in south-western
115 Puerto Rico (17° 59' N, 67° 100' W) during the breeding season between March and June, 2012. Males were
116 captured using mist nets and marked with three colored leg bands and a numbered metal band prior to recording.

117 Individual males were recorded continuously for approximately 3.5 hours per day, for four days each.
118 Consecutive recordings of a given male were separated by at least four days, except on two occasions when
119 recordings were made on consecutive days because of logistical constraints. Observations started 45 minutes before
120 sunrise to ensure that we recorded the first song of the day. Recordists announced the singer's identity after each
121 song, as well as song type matches and fights with neighboring conspecifics. The identity of focal males was
122 confirmed by inspecting the colored leg bands prior to the end of each recording session. Recordings were made
123 with Marantz PMD 661 digital recorders and Sennheisser ME67 shotgun microphones (file format = wav, sampling

124 rate = 44.1 kHz, bit depth = 16 bits). We sampled a large number of songs from a small number of individuals. The
125 decision to emphasize recording effort per individual resulted in increased accuracy of singing variables at the song
126 type within individual level, but the small sample of individuals may have limited our ability to fully capture the
127 range of variation among individuals. This is the same set of recordings used in Schraft et al. (2017) and Hedley et
128 al. (2018).

129

130 **Scoring and acoustic analysis**

131 We inspected sound spectrograms of all recordings in Syrinx PC v2.6f sound analysis software (John Burt,
132 <http://www.Syrinxpc.com>; Blackman window; window size = 1024 points). We assigned songs to song types based
133 on their appearance on a spectrogram. Several observers assigned songs to types as they scored the field recordings
134 for each male. Then, one person (D.M.L.) scored song types across males (i.e., decided which songs from different
135 males' repertoires belonged to the same type), and corrected scoring errors. We measured the inter-rater reliability
136 of song type scoring within an individual bird. We randomly selected 100 songs from one individual, and two
137 experienced bio-acousticians used a classification key to score them independently. The result was 100% agreement.
138 This analysis demonstrates that different observers agree on how to score song types within males. Most of the
139 analyses in the present study use "song type within male" as the independent sampling unit, so it is important to
140 verify that song type scoring within male is repeatable across observers. We then estimated the repeatability of
141 scoring song types among individual birds by having an experienced bio-acoustician (C.D.K.) re-classify 22-23
142 randomly selected songs from each of the nine males (total = 200 songs) using the population-level classification
143 key. In this analysis, 175 of 200 (87%) scores matched the originals. This number is lower than the within-individual
144 repeatability because song structure can vary among individuals. Among-individual repeatability is relevant to the
145 song type sharing analyses in the present study.

146 Song recordings with high signal-to-noise ratios, as determined by visual inspection of spectrograms, were
147 subjected to detailed acoustic analysis. We used Luscinia v.2.14 (Lachlan, 2007) sound analysis software to obtain
148 the minimum and maximum peak frequency, number of notes, and song duration for high signal-to-noise-ratio song
149 recordings from the breeding season dataset (settings: maximum frequency = 10 kHz, frame length [equivalent to
150 "bin" or "FFT" length] = 5 ms, time step = 1 ms, dynamic range = 35 dB, dynamic equalization = 100 ms, de-
151 reverberation = 100%, de-reverberation range = 100 ms, high-pass threshold = 1.0 kHz, noise removal = 10 dB). In

152 Luscinia, users identify focal signals by outlining their images on a sound spectrogram. Sounds in the outlined area
153 that exceed user-defined thresholds for amplitude and duration are labeled with a colored trace that users can
154 compare to the spectrogram to correct errors. The program stores acoustic information about the signal (as defined
155 by the trace) in a database, which users can query (e.g., for the minimum peak frequency for each note). Minimum
156 frequency was subtracted from maximum frequency to calculate the frequency bandwidth of each note. The
157 frequency bandwidth of each song was defined as the average frequency bandwidth of its notes. Trill rate was
158 calculated as note number / song duration (sec). We measured the frequency excursion (FEX) of the same songs
159 using the program FEX Calculator (Podos et al., 2016, J. McClure, <https://github.com/BehaviorEnterprises/Fex>).
160 FEX is a putative metric of vocal performance that measures changes in fundamental frequency, including changes
161 that are not voiced, over time.

162 We performed a series of unsupervised cluster analyses to categorize songs. We treated “song type within
163 individual” as the independent sampling unit, because different males may assign individual song types to different
164 categories (Staicer, 1991). There was a high risk of misclassifying rare songs types, so we omitted song types within
165 individual that were recorded 10 times or fewer (10 was chosen as a cut-off point because it is a round number).
166 Clustering was based on variables linked to four of the five attributes hypothesized to separate song categories
167 (Table 1): mean residual latency (a measure of the interval between songs), mean residual run length (a proxy for
168 delivery mode), percent matching (a proxy for social context), and percent of songs sung during the pre-dawn
169 period.

170 Latency was defined as the time since the focal male’s prior song. Latency co-varies with time of day
171 (Staicer 1991). To better isolate latency from time of day, we regressed latency against time and used the residual
172 latency to generate means (logistic regression: $r^2 = 0.387$, $F_{1, 8998} = 5684$, $p < 0.001$, constant = 0.76, beta = 1.0). Run
173 length was the number of songs in a continuous run of a given song type (we only used a single run length value for
174 each run to avoid pseudoreplication). Like latency, run length was correlated with time of day, so we regressed run
175 length against time and used the residual latency to generate means (logistic regression: $r^2 = 0.159$, $F_{1, 5933} = 1124$, p
176 < 0.001 , constant = 0.84, beta = 1.0).

177 We chose song type matches by the focal males as our measure of vocal interaction, because it was less
178 likely to occur by chance than other kinds of vocal interaction (e.g., song overlapping, unmatched counter-singing),
179 and so more likely to represent a deliberate interaction on the part of the focal male. Song type matching was scored

180 when a focal male sang the same song type as a neighbor had sung in the previous two seconds. Two seconds was
181 chosen as the cut-off for song type matching because it corresponds to the average duration of a male song (mean =
182 2.0 sec., $n = 2776$). Matching was scored if the recordist dictated “song type match” or if examination of sound
183 spectrograms revealed a match.

184 To calculate the percent of songs delivered before dawn, we first obtained sunrise time from the website
185 *www.timeanddate.com* and scored “time to sunrise” (in seconds) for each song. Negative values of this variable
186 correspond to times before sunrise, and positive values correspond to times after sunrise. We defined the end of the
187 dawn chorus as the time that song rates stabilized after the period of intense pre-dawn singing. Two of us (C.D. K.
188 and D.M.L.) visually inspected a histogram of song delivery times, and determined that 700 seconds after sunrise
189 was the optimal cut-off time. Following (Staicer, 1991), we refer to the period before this cut-off as “dawn,” and the
190 period after the cut-off as “morning”. We controlled for sampling effort by dividing the proportion of dawn songs
191 from the focal male that belong to the focal song type by the proportion of all songs from the focal male that belong
192 to the focal song type, and multiplying by 100. All data were standardized by subtracting the mean and dividing by
193 the standard deviation prior to cluster analysis.

194 We ran the *NbClust* function in the R package *NbClust* to cluster the data (Charrad et al., 2014). We chose
195 the k-means analysis with Euclidean distance because it is a simple and effective approach to unsupervised
196 clustering. We ran separate analyses for each of the 15 unique combinations of the four clustering variables (Table
197 2). For each analysis, we interpreted the number of clusters that produced the highest average silhouette index. The
198 average silhouette index measures the average similarity of objects to other objects in their own clusters relative to
199 objects in other clusters (Rousseeuw, 1987). The index ranges from -1 to +1, where higher values indicate better
200 clustering. We used the silhouette index to choose the best categorization schemes because it is a simple, widely-
201 used metric that reflects our intuitive concept of clustering. At this point, we had 15 clustering schemes (one for
202 each unique combination of variables). We then identified the clustering scheme with the highest average silhouette
203 index for each number of clustering variables, one through four. We were curious whether clustering strength was
204 influenced by sample size, so we calculated separate average silhouette indices for well-sampled ($n > 40$ songs) song
205 types and poorly-sampled ($n \leq 40$ songs) song types for each of these four clustering schemes.

206 We compared the assignment of song types to categories across males to test the hypothesis that different
207 individuals assign the same song type to different categories. We then tested whether song type sharing differed

208 between song categories. First, we identified song types that were shared and assigned to the same category for all
209 possible pairs of males. We then conducted a paired t-test in Microsoft Excel 2013 (Microsoft Corp., Redmond,
210 WA). This test treated dyads of males as the independent sampling units. It tested the null hypothesis that the mean
211 difference between the number of shared category A songs and shared category B songs shared was zero.

212 To test whether there were structural differences between song categories, we developed mixed models
213 with the following structural properties as dependent variables: frequency excursion (FEX, unitless), song duration
214 (ms), number of notes, trill rate (notes / sec), minimum frequency (kHz), maximum frequency (kHz) and average
215 frequency bandwidth (kHz; averaged over the notes in the song). The sampling units were individual song
216 utterances, and the dataset was restricted to the high-quality song recordings that were suitable for fine-scale
217 structural analysis. For the structural models, song category was included as a fixed factor, and individual, recording
218 day nested within individual, and song type within individual (not a statistically nested variable) were included as
219 random factors. We chose to include song type in this model because structural variables (but not song delivery
220 variables) are inextricably linked to song type, and therefore different utterances of a given song type are not
221 independent with respect to acoustic structure. Mixed models were constructed with the *lmer* function of the
222 package *lme4* (Bates et al., 2015). We examined residual plots to evaluate model fit. All mixed models are in the
223 online resource.

224

225 **Results**

226 The breeding season recordings of nine focal males included 9,420 songs comprising 71 song types. Summing all
227 males' repertoires, there were 261 song types within individual (average \pm SD = 29.0 ± 4.0 song types per male).
228 Removing uncommon song types (song types recorded 10 times or less within individual) resulted in 9032 song
229 utterances comprising 57 song types and 168 song types within individual (18.7 ± 2.4 song types per male). Of
230 those, 2776 song recordings were of sufficient quality for structural analysis.

231 The best clustering schemes for all fifteen combinations of song delivery variables are described in Table 2.
232 Most of these schemes included two (seven schemes) or three (six schemes) clusters, but one scheme used five, and
233 another used seven. The average silhouette index was negatively correlated to the number of variables, as is typical
234 of real world clustering problems ($n = 15$, $r = -0.90$; Anzanello & Fogliatto 2011).

235 Of the four clustering schemes with the highest average silhouette indices for a given number of variables,
236 three were based on two clusters, and one (the best two-variable scheme) was based on three clusters. All four
237 schemes included one category characterized by high (> 50%) average percent predawn, low (< 50 s) average
238 latency, short (< 2.5 songs) average run lengths, and high (> 4.9%) average percent song matching (Table 3). We
239 refer to these clusters as “category B” because of their similarity to previous descriptions of category B (Table 1).
240 We refer to the other clusters as “category A” because of their similarity to previous descriptions of category A. The
241 best two-variable scheme includes two clusters that are like category A. We refer to them as categories A1 and A2.

242 There was considerable variation among clustering schemes in the number of song types assigned to each
243 category for each male (Fig. 1). The best one-variable clustering scheme put most song types within individual
244 (86.3%) in category B. One male's (RDY's) songs were all assigned to category B. The best two-variable scheme
245 assigned 69.0% of songs to category B. Two males were not assigned any A1 songs, and one was not assigned any
246 A2 songs. The best three variable scheme, based on residual run length, residual latency, and percent pre-dawn,
247 assigned 64.3% of songs to category B. All males were assigned A and B songs. Similarly, the best four variable
248 scheme assigned 61.9% of songs to category B and assigned all males both A and B songs.

249 Cluster plots for the four best clustering schemes all included a relatively dense cluster that corresponds to
250 category B (Fig. 2). The points outside of that cluster were more diffuse. None of the scatterplots showed a clear gap
251 between the category B cluster and the other points. Average silhouette indices were significantly higher for well-
252 sampled song types than they were for poorly sampled song types in three of the four best clustering schemes (Table
253 SX). Separate plots for each male can be found in the electronic supplementary material (Fig. S1).

254 No song types were recorded from all nine subjects. We identified 41 (71.9%) song types that were shared
255 by at least two birds and 14 (24.6%) song types that were shared by at least five birds. Assignment of song types to
256 categories varied among individuals (Table S1). For example, in both the three-variable and four-variable schemes,
257 20 (48.8%) song types were used in different categories by different birds. Focusing on song types that are both
258 shared and assigned to the same category, dyads shared more category B songs than category A for all four schemes
259 (Table S1). For example, in both the three-variable and four variable schemes, dyads shared an average of 3.31 (\pm
260 2.33) category B songs, but only 1.25 (\pm 2.33) category A songs.

261 We studied the clustering results to rank variables by their importance for clustering. The best clustering
262 schemes for a given number of variables formed a nested hierarchy (Table 2). Residual run length was present in all

263 four schemes, residual latency was in three, percent pre-dawn was in two, and percent matching was in one. Among
264 the one-variable clustering schemes, the scheme based on residual run length produced the highest average
265 silhouette index (0.771), followed by schemes based on percent pre-dawn (0.738), residual latency (0.730), and
266 percent matching (0.688). In the four variable scheme, the mean difference in standardized values between clusters
267 was largest for percent pre-dawn (1.68), followed by residual latency (1.44), residual run length (1.04), and percent
268 matching (0.46).

269 Relative to category A songs, category B songs were shorter, with fewer notes and slightly lower trill rates
270 according to the three-variable clustering scheme (Tables 4, see Tables S2 & S3 for detailed results from the four
271 best clustering schemes). Frequency excursion, maximum frequency, minimum frequency, and frequency bandwidth
272 were not significantly different between song categories. Models based on the best one-, two-, and four-variable
273 clustering schemes produced qualitatively similar results, but the FEX effect was statistically significant in the four-
274 variable scheme, and the trill rate effect was not significant in the one-variable and two-variable schemes.

275

276

277 **Discussion**

278 The four clustering schemes with the highest average silhouette index for a given number of variables (hereafter, the
279 “best” schemes) all included one cluster that matched previous descriptions of category B song and one or two
280 clusters that matched previous descriptions of category A songs (Tables 1 & 3; Staicer 1991). Compared to category
281 A songs, category B songs were more likely to be sung before dawn. Category B songs were also delivered in
282 shorter runs and with shorter intervals between songs (controlling for time of day). Category B songs were more
283 likely than category A songs to be used as song type matches with neighbors, suggesting they may be especially
284 important for male-male vocal interactions. These song delivery patterns are similar to those of several migratory
285 species of wood-warblers (Demko et al., 2013; Price & Crawford, 2013; Spector, 1991, 1992). We found that male
286 Adelaide’s Warbler repertoires included fewer A songs than B songs (Fig. 1; Table 3), which is consistent with the
287 results of previous studies on Adelaide’s Warbler (Staicer, 1991, 1996a) and other wood-warbler species (Lemon et
288 al., 1985; Spector, 1991; Staicer, 1989). Overall, our results strongly support Staicer’s (1991, 1996a)
289 characterization of song categories in Adelaide’s Warbler.

290 In the four best clustering schemes, category B songs were clustered in song delivery space, whereas
291 category A songs were more dispersed (Fig. 2). There was no clear break between categories A and B in song
292 delivery space. The average silhouette index from the four-variable scheme (0.379) suggests only weak evidence of
293 clustering, but the indices from the other schemes suggest moderate-to-strong clustering (range = 0.495-0.771).
294 Importantly, the best sampled song types within male ($n > 40$ songs), were significantly more clustered than the
295 other song types in three of the four best clustering schemes (the sole exception was the one-variable scheme, Table
296 SX). This finding indicates that sampling error depressed the average clustering values in the full dataset, and
297 validates our decision to collect emphasize intensive sample within individuals (as opposed to collecting fewer
298 samples from more individuals). We conclude that there are song categories in this population, but they may not be
299 entirely discrete. Perhaps song types within male exist on a continuum from “B-like” to “A-like,” with many songs
300 clustering near the B-like end of the continuum. Larger samples permitted more precise estimates of average song
301 delivery variables, which increased the separation between the song categories. Interestingly, a recent Pine Warbler
302 study concluded that species’ song system lacked, “the clear distinction between song categories typical of most
303 other *Setophaga* wood-warblers” (Price & Crawford, 2013, p.559). Those results are based on recordings from the
304 later part of the breeding season, calling into question whether song delivery patterns may be more distinct earlier in
305 the year. Nevertheless, the hypothesis that song categories may not be entirely distinct merits further research.

306 The best two-variable clustering scheme included two “A” categories (Table 3, Figs. 1 & 2). Relative to
307 category A2, category A1 was characterized by lower percent pre-dawn, lower latency, and much longer runs. We
308 are reluctant to endorse a three-category hypothesis for several reasons. First, only one of the four best clustering
309 schemes suggested three categories, while the others all agreed that two categories were best. Second, we know of
310 no evidence of three-category systems in other *Setophaga* species. Third, samples from three of our nine subjects
311 were missing either category A1 songs or category A2 songs. We conclude that certain category A songs may be
312 used in particularly long runs, but the bulk of the evidence suggests these songs should be lumped in with category
313 A songs, rather than being placed in a separate category.

314 As previously described in this species, different individuals assigned specific song types to different
315 categories (Staicer, 1991, 1996b). Any hypothesis to explain the development of function of song categories in
316 Adelaide’s warbler must account for this finding. Males shared more category B songs than category A songs, as has
317 previously been shown for Yellow Warblers (Beebee, 2002). This pattern was not found in Pine Warblers (Price &

318 Crawford, 2013), but again, that study was conducted much later in the breeding season than was ours. The findings
319 that males shared more category B songs, and that B songs were more likely to be used as song type matches, lend
320 credence to the hypothesis that category B songs are especially important in male-male vocal interactions.

321 The four best clustering schemes all indicated that relative to category A songs, category B songs were
322 shorter and contained fewer notes. Some clustering schemes indicated statistically significant effects of song
323 category on FEX and trill rate, but the effect sizes were small. Contrary to our results, Staicer (1996a) found no
324 difference in song duration between categories. Otherwise, Staicer's (1996a) song structure results agree with our
325 own: no differences in trill rate, minimum frequency, maximum frequency, or frequency bandwidth. Staicer (1996a)
326 did not compare note number or frequency excursion between song categories, but she did find differences in
327 syllable complexity (category B songs were more complex) and frequency spectra (category B songs had lower peak
328 frequencies) that we did not test for.

329 Structural differences between song categories vary among wood-warbler species. For example, category A
330 songs in Golden-cheeked Warblers (*Setophaga chrysoparia*) are shorter with fewer notes, the opposite of the pattern
331 identified in the current study (Bolsinger, 2000). In Pine Warblers (Price & Crawford, 2013), trill rates are
332 significantly higher in category A songs. Similarly, Yellow Warblers sing category A songs with lower vocal
333 deviation (corresponding to higher rates of frequency modulation) than category B songs (Beebee, 2004b). Taken
334 together with our results, these findings suggest that no single explanation can account for between-category
335 structural variation among wood-warblers with divided repertoires.

336 We used three lines of evidence to rank song delivery variables by their importance for clustering: the
337 hierarchical structure of the four best models, the average silhouette indices of the one-variable clustering schemes,
338 and the magnitude of between-group differences in the four-variable scheme. The ranks of residual run length,
339 residual latency and percent pre-dawn varied among analyses. Average ranks across analyses suggest that residual
340 run length (mean rank = 1.7) is the most important variable for clustering, followed by percent pre-dawn (2.0),
341 residual latency (2.3), and percent matching (4), which consistently ranked as the least important variable. This
342 ranking suggests a different approach from previous efforts to categorize song in Adelaide's Warbler, which relied
343 primarily on time of delivery and secondarily on latency (see Introduction). We propose three non-mutually-
344 exclusive explanations for the finding that percent matching was weakly predictive of category membership. First,
345 percent matching may not be very different between categories. Second, song type matching may be too rare to

346 accurately estimate percent matching with our sample size (sampling error). Third, we may have mismeasured
347 matching rates (measurement error). Specifically, it is likely we missed some instances of this behavior (e.g., when a
348 neighbor's song was audible to the focal bird, but did not show up on a spectrogram).

349 We favor the three-variable clustering scheme based on residual latency, residual run length, and percent
350 pre-dawn over the other clustering schemes considered in this study. When variables are not perfectly predictive of
351 category membership, the average silhouette index tends to correlate negatively with the number of variables
352 because each additional variable generates another dimension in which distance can be measured. Comparing
353 average silhouette indices across clustering schemes with different numbers of variables is therefore not a sound
354 method to identify natural clusters. Based on *a priori* expectations about song categories in wood-warblers, we
355 expected that our samples should contain examples of all categories from all males, which was not the case in the
356 one-variable and two-variable schemes. Prior research indicates that two clusters were more likely than three, further
357 discounting the validity of the two-variable scheme (Spector 1992). The three-variable and four-variable schemes
358 were very similar, but we prefer the three-variable scheme because (1) it is easier to measure three variables than
359 four, (2) the cluster corresponding to category B is more compact in the three-variable scheme, (3) and song type
360 matching (which contributes to the four-variable scheme but not the three-variable scheme) is harder to accurately
361 estimate than the other variables. Future studies could assign novel song types to categories by comparing the
362 distance in feature space between novel songs and the centroids of the two song categories using the three-variable
363 clustering scheme (Table 3).

364 The goal of this study was to test for and characterize song categories. In doing so, we set the stage to
365 address functional differences between song categories in future studies. Several studies of the behavioral context in
366 which birds sing songs from the two categories have concluded that first category songs are directed at females and
367 second category songs are directed at other males in *Setophaga* (Bolsinger, 2000; Kroodsma et al., 1989; Spector,
368 1991; Staicer, 1996b; Wiley et al., 1994). Other studies, however, have failed to find support for key prediction of
369 one or both of those hypotheses (Beebee, 2004a; MacNally & Lemon, 1985). For example, both females (Beebee
370 2004a) and males (Beebee 2004a, MacNally & Lemon 1985, Weary et al. 1992, Weary et al. 1994, but see Kelly &
371 Ward 2017) tend to respond similarly to playback of category A and category B songs. Our finding that song type
372 matching and song sharing were higher for category B songs are consistent with the hypothesis that category B
373 songs are important for male-male vocal interactions in this species. It is not clear, however, why category B songs

374 would be limited to the breeding season if they are only used for male-male communication. Nor is clear how
375 between-category variation in song structure might facilitate communication with different classes of receivers.
376 Information about when females prospect for mates, how female presence affects males' choice of song categories,
377 and whether females attend to male-male singing interactions like song type matching (Logue & Forstmeier, 2008),
378 would help to clarify the functional differences between song categories.

379 In summary, we applied an unsupervised clustering algorithm to Adelaide's Warbler song types, and
380 identified two song categories with different song delivery parameters. The delivery styles in the two categories are
381 similar to patterns described in several other *Setophaga* species, and are largely consistent with previous studies on
382 the focal species. Our findings do not allow us to exclude the possibility that song categories are not entirely
383 discrete. Whether the use of song categories, as defined in this study, varies seasonally remains an open question
384 (Table 1). We found evidence that category B songs are used more than category A songs during male-male vocal
385 interactions, but further studies are required to understand the functional significance of song categories in this
386 species.

387

388 **References**

- 389 Anzanello, M. J., & Fogliatto, F. S. (2011). Selecting the best clustering variables for grouping mass-customized
390 products involving workers' learning. *International Journal of Production Economics*, 130(2), 268-276.
- 391 Baker, M. (2016). 1,500 scientists lift the lid on reproducibility. *Nature*, 533(7604), 452-454. doi:10.1038/533452a
- 392 Bates, D., Machler, M., Bolker, B. M., & Walker, S. C. (2015). Fitting linear mixed-effects models using lme4.
393 *Journal of Statistical Software*, 67(1), 1-48.
- 394 Beebee, M. D. (2002). Song sharing by yellow warblers differs between two modes of singing: Implications for song
395 function. *Condor*, 104(1), 146-155. doi:10.1650/0010-5422(2002)104[0146:Ssbywd]2.0.Co;2
- 396 Beebee, M. D. (2004a). The functions of multiple singing modes: Experimental tests in yellow warblers, *dendroica*
397 *petechia*. *Animal Behaviour*, 67(6), 1089-1097. doi:10.1016/j.anbehav.2003.05.016
- 398 Beebee, M. D. (2004b). Variation in vocal performance in the songs of a wood-warbler: Evidence for the function of
399 distinct singing modes. *Ethology*, 110(531-542).
- 400 Bolsinger, J. S. (2000). Use of two song categories by golden-cheeked warblers. *The Condor*, 102, 539-552.

401 Catchpole, C. K., & Slater, P. J. B. (2008). *Bird song: Biological themes and variations* (2 ed.). Cambridge:
402 Cambridge University Press.

403 Charrad, M., Ghazzali, N., Boiteau, V., & Niknafs, A. (2014). Nbclust: An r package for determining the relevant
404 number of clusters in a data set. *Journal of Statistical Software*, *61*(6), 1-36.

405 Curson, J., Quinn, D., & Beadle, D. (1984). *New world warblers*. London: A&C Black.

406 Demko, A. D., Reitsma, L. R., & Staicer, C. A. (2013). Two song categories in the canada warbler (*cardellina*
407 *canadensis*). *The Auk*, *130*(4), 609-616. doi:10.1525/auk.2013.13059

408 Hastie, R., & Dawes, R. M. (2010). *Rational choice in an uncertain world: The psychology of judgment and*
409 *decision making*: Sage.

410 Hedley, R. W., Logue, D. M., Benedict, L., & Mennill, D. J. (2018). Assessing the similarity of song-type transitions
411 among birds: Evidence for interspecies variation. *Animal Behaviour*, *140*, 161-170.
412 doi:10.1016/j.anbehav.2018.04.008

413 Hof, D., & Podos, J. (2013). Escalation of aggressive vocal signals: A sequential playback study. *Proceedings of the*
414 *Royal Society of London B: Biological Sciences* *280*.1768, 20131553.

415 Illes, A. E. (2015). Context of female bias in song repertoire size, singing effort, and singing independence in a
416 cooperatively breeding songbird. *Behavioral Ecology and Sociobiology*, *69*(1), 139-150.
417 doi:10.1007/s00265-014-1827-3

418 Kroodsmas, D. E., Bereson, R. C., Byers, B. E., & Minear, E. (1989). Use of song types by the chestnut-sided
419 warbler: Evidence for both intra-sexual and inter-sexual functions. *Canadian Journal of Zoology*, *67*(2),
420 447-456.

421 Lachlan, R. F. (2007). Luscinia: A bioacoustics analysis computer program. Version 1.0. *Computer program*.
422 Retrieved from luscinia. sourceforge. net on October, 8, 2012.

423 Lemon, R. E., Cotter, R., MacNally, R. C., & Monette, S. (1985). Song repertoires and song sharing by american
424 redstarts. *The Condor*, *87*(4), 457-470. doi:10.2307/1367942

425 Logue, D. M., & Forstmeier, W. (2008). Constrained performance in a communication network: Implications for the
426 function of song-type matching and for the evolution of multiple ornaments. *Am Nat*, *172*(1), 34-41.
427 doi:10.1086/587849

- 428 Macdougall-Shackleton, S. A. (1997). Sexual selection and the evolution of song repertoires. *Current ornithology*,
429 81-124.
- 430 MacNally, R. C., & Lemon, R. E. (1985). Repeat and serial singing modes in american redstarts (*setophaga*
431 *ruticilla*): A test of functional hypotheses. *Zeitschrift für Tierpsychologie*, 69, 191-202.
- 432 Molles, L. E., & Vehrencamp, S. L. (1999). Repertoire size, repertoire overlap, and singing modes in the banded
433 wren (*thryothorus pleurosticus*). *The Auk*, 116(3), 677-689.
- 434 Podos, J., Moseley, D. L., Goodwin, S. E., McClure, J., Taft, B. N., Strauss, A. V. H., . . . Lahti, D. C. (2016). A
435 fine-scale, broadly applicable index of vocal performance: Frequency excursion. *Animal Behaviour*, 116,
436 203-212. doi:10.1016/j.anbehav.2016.03.036
- 437 Price, J. J., & Crawford, C. L. (2013). Use and characteristics of two singing modes in pine warblers. *The Wilson*
438 *Journal of Ornithology*, 125(3), 552-561. doi:10.1676/13-006.1
- 439 Rousseeuw, P. J. (1987). Silhouettes: A graphical aid to the interpretation and validation of cluster analysis. *Journal*
440 *of Computational and Applied Mathematics*, 20, 53-65. doi:[https://doi.org/10.1016/0377-](https://doi.org/10.1016/0377-0427(87)90125-7)
441 [0427\(87\)90125-7](https://doi.org/10.1016/0377-0427(87)90125-7)
- 442 Schraft, H. A., Medina, O. J., McClure, J., Pereira, D. A., & Logue, D. M. (2017). Within-day improvement in a
443 behavioural display: Wild birds ‘warm up’. *Animal Behaviour*, 124, 167-174.
444 doi:10.1016/j.anbehav.2016.12.026
- 445 Spector, D. A. (1991). The singing behaviour of yellow warblers. *Behaviour*, 117(1/2), 29-52.
- 446 Spector, D. A. (1992). Wood-warbler song systems. In D. M. Power (Ed.), *Current ornithology* (pp. 199-238).
447 Boston, MA: Springer US.
- 448 Staicer, C. A. (1989). Characteristics, use, and significance of two singing behaviors in grace's warbler (*dendroica*
449 *graciae*). *The Auk*, 106(1), 49-63. doi:10.2307/4087756
- 450 Staicer, C. A. (1991). *The role of male song in the scioecology of the tropical resident adelaide's warbler*
451 (*dendroica adelaidae*). (PhD), University of Massachusetts.
- 452 Staicer, C. A. (1996a). Acoustical features of song categories of the adelaide's warbler (*dendroica adelaidae*). *The*
453 *Auk*, 113(4), 771-783.
- 454 Staicer, C. A. (1996b). Honest advertisement of pairing status: Evidence from a tropical resident wood-warbler.
455 *Anim Behav*, 51, 375-390.

456 Stutchbury, B. J., & Morton, E. S. (2001). *Behavioral ecology of tropical birds*: Academic Press.

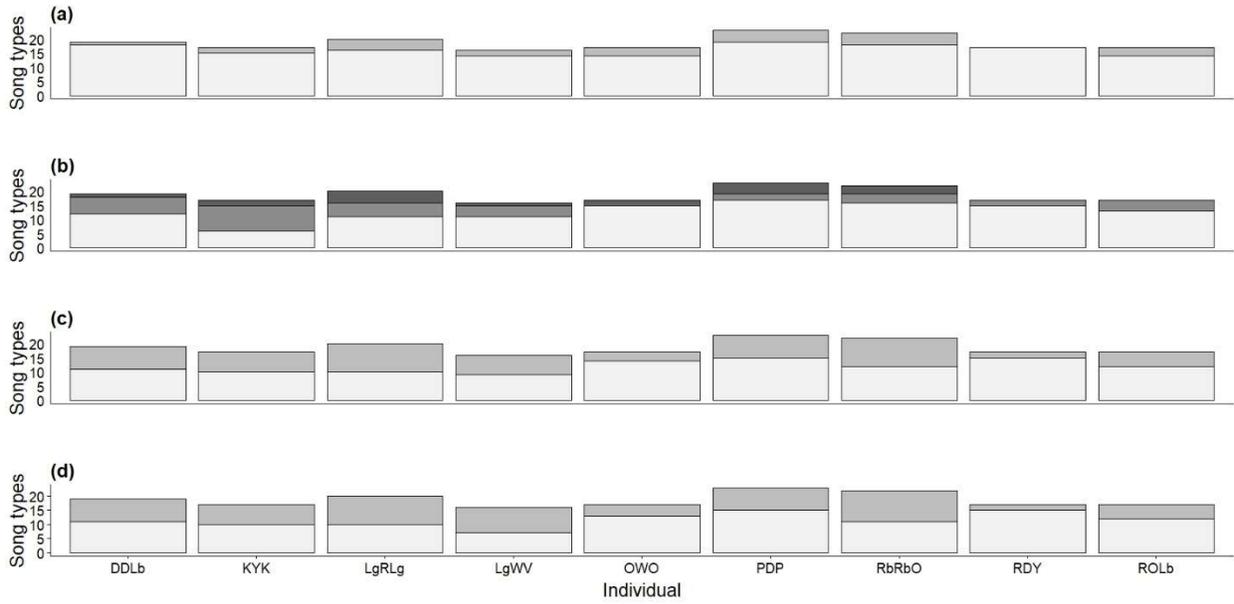
457 Toms, J. D. (2010). Adelaide's warbler (*setophaga adelaidae*). *Neotropical Birds Online*. version 1.0. Retrieved
458 from <https://doi.org/10.2173/nb.adewar1.01>

459 D.M Weary, R.E Lemon, S Perreault. (1992). Song repertoires do not hinder neighbor–stranger discrimination.
460 *Behavioral Ecology and Sociobiology*, 31 , 441-447.

461 D.M Weary, R.E Lemon, S Perreault. (1994). Different responses to different song types in American redstarts
462 *Auk*, 111, 730-734.

463 Wiley, H. R., Godard, R., & Thompson, A. D. (1994). Use of two singing modes by hooded warblers as adaptations
464 for signalling. *Behaviour*, 129(3), 243-278. doi:<http://dx.doi.org/10.1163/156853994X00631>

465



467

468

Figure 1. Assignment of song types to song categories by individual male Adelaide's Warbler according to the best

469

(a) four-variable, (b) three-variable, (c) two-variable, and (d) one-variable clustering schemes. White bars represent

470

category B songs, and gray bars represent category A songs. For the two-variable clustering scheme, dark gray

471

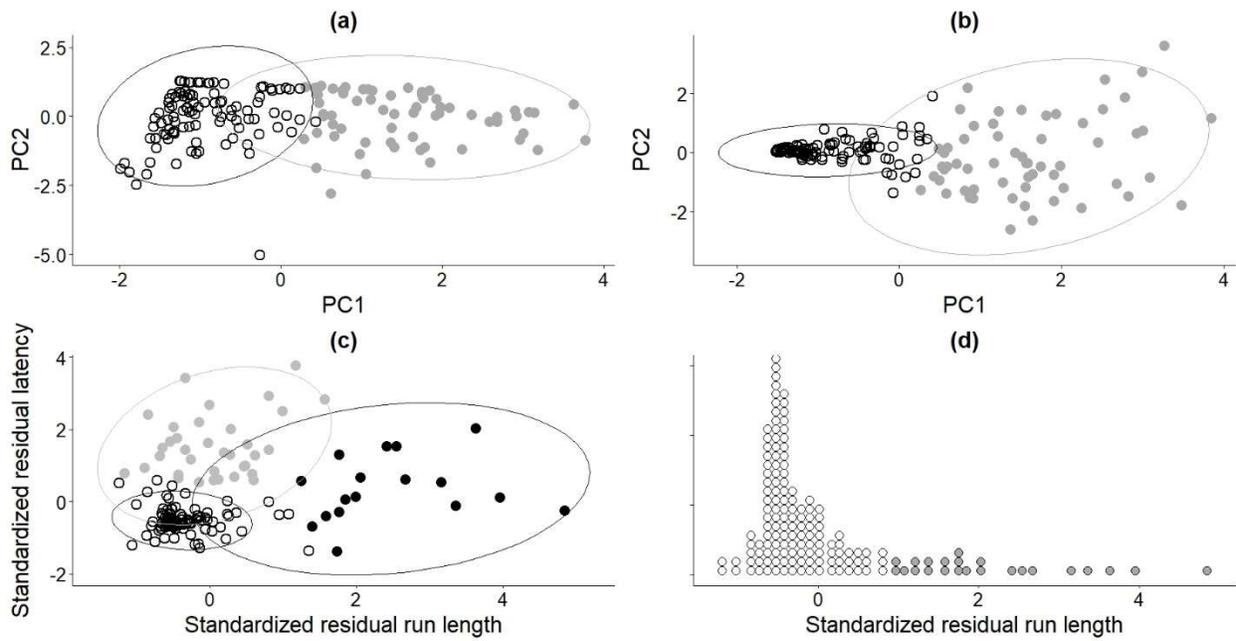
represents category A1 and light gray represents category A2.

472

473

474

475
476



477

478 **Figure 2.** Cluster plots showing the best (a) four-variable, (b) three-variable, (c) two-variable, and (d) one-variable

479 clustering schemes. Open circles represent category B songs, and filled circles represent category A songs. For the

480 two-variable clustering scheme, black circles represent category A1 songs and gray circles represent category A2

481 songs.

482

483

484

485

486

487 **Table 1.** Hypothesized song delivery patterns of category A and category B songs in Adelaide’s warbler.

Attribute	Category A	Category B
Time of year*	All year	Breeding season only
Time of day	After dawn	Before dawn and sporadically after dawn
Social context	Male-female interactions	Male-male interactions
Delivery mode	Eventual variety	Immediate variety
Latency between songs	Longer	Shorter

488 After Staicer (1991)

489 * Time of year was not examined in the present study.

490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527

528
 529
 530
 531
 532
 533

Table 2. Fifteen clustering schemes for Adelaide’s Warbler song types. Variables included in each model are marked with an “X.”

% predawn	residual latency	residual run length	% matching	# of variables	best k	ASI of best k
X	X	X	X	4	2	0.379
X	X	X		3	2	0.495
	X	X	X	3	2	0.433
X		X	X	3	5	0.404
X	X		X	3	2	0.402
	X	X		2	3	0.617
X		X		2	3	0.583
X	X			2	3	0.552
X			X	2	3	0.491
		X	X	2	3	0.490
	X		X	2	3	0.450
		X		1	2	0.771
X				1	2	0.738
	X			1	2	0.730
			X	1	7	0.688

534
 535
 536

ASI = Average silhouette index.
 The models with the highest ASI for each number of variables are in bold font.

537 **Table 3.** Means \pm standard deviations of song delivery variables from the best (highest average silhouette index)
 538 categorization schemes based on one, two, three, and four song delivery variables.

# of variables	cluster	% predawn	latency (s)	residual latency	run length (songs)	residual run length	% match	category
4	1	71.87 \pm 26.64	21.92 \pm 16.48	1.64 \pm 17.59	2.24 \pm 2.71	0.09 \pm 0.41	5.62 \pm 4.98	B
	2	7.06 \pm 13.43	112.43 \pm 48.25	70.87 \pm 50.59	5.85 \pm 4.60	1.26 \pm 1.49	3.40 \pm 4.23	A
3	1	69.89 \pm 28.38	23.79 \pm 18.54	2.74 \pm 18.16	2.15 \pm 2.23	0.07 \pm 0.35	5.17 \pm 4.54	B
	2	6.31 \pm 12.24	115.09 \pm 48.86	73.52 \pm 51.16	6.25 \pm 4.91	1.37 \pm 1.51	4.05 \pm 5.24	A
2	1	63.21 \pm 33.81	27.41 \pm 22.69	3.16 \pm 15.68	2.35 \pm 2.38	0.1 \pm 0.43	5.02 \pm 4.48	B
	2	3.22 \pm 6.47	90.72 \pm 41.89	45.1 \pm 42.49	11.65 \pm 5.32	3.31 \pm 1.13	4.16 \pm 6.70	A1
	3	15.40 \pm 24.69	135.80 \pm 46.55	102.09 \pm 40.99	3.93 \pm 2.48	0.6 \pm 0.7	4.25 \pm 4.92	A2
1	1	4.07 \pm 6.93	102.95 \pm 61.51	55.34 \pm 62.87	11.45 \pm 4.97	2.93 \pm 1.17	3.67 \pm 5.86	A
	2	54.02 \pm 37.30	49.02 \pm 49.87	23.68 \pm 43.79	2.37 \pm 1.71	0.15 \pm 0.44	4.95 \pm 4.63	B

539

540

541 **Table 4:** Effects of song category on song structure, based on the three-variable clustering scheme. Estimated
 542 effects are relative to category A songs. See Table S2 for equivalent data from the other clustering schemes.

	duration (ms)	notes	trill rate (notes / s)	FEX	F_{min} (kHz)	F_{max} (kHz)	bandwidth (kHz)
<i>fixed effects</i>							
Intercept	2098.16	25.00	11.91	66.11	3178.41	6137.28	2951.89
category B	-123.67***	-2.12***	-0.31*	1.44	-46.02	-22.22	32.10
<i>random effects</i>							
song type	5479	2.72	0.28	16.15	16.805	11.78	17.82
bird:day	3998	1.01	0.15	6.14	5.767	11.32	7.52
Bird	691	0.52	0.06	2.25	0.283	3.81	1.29
<i>Average ± SD</i>							
A	2119.66 ± 291.14	25.70 ± 5.24	12.09 ± 1.56	65.87 ± 9.70	3190.03 ± 402.29	6115.27 ± 475.84	2925.25 ± 451.16
B	2119.66 ± 291.14	25.70 ± 5.24	12.09 ± 1.56	65.87 ± 9.70	3190.03 ± 402.29	6115.27 ± 475.84	2925.25 ± 451.16

543 * $P < 0.05$, ** $P < 0.01$, *** $P < 0.0001$

544

545

546

547

548